

# Locality for qc-sheaves associated with tilting

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In honour of Mike Prest

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# Tilting modules and classes

## Definition

A (right  $R$ -) module  $T$  is **tilting** provided that

- (T1)  $T$  has finite projective dimension,
- (T2)  $\text{Ext}_R^i(T, T^{(\kappa)}) = 0$  for each cardinal  $\kappa$  and each  $i > 0$ , and
- (T3) there exists an exact sequence  $0 \rightarrow R \rightarrow T_0 \rightarrow \cdots \rightarrow T_r \rightarrow 0$  such that  $r < \omega$ , and for each  $i \leq r$ ,  $T_i \in \text{Add}(T)$ ,  
i.e.,  $T_i$  is a direct summand in a direct sum of copies of  $T$ .

If  $\text{pd}_R(T) \leq n$ , then  $T$  is called  **$n$ -tilting**.

0-tilting modules = projective generators (possibly infinitely generated).

$\mathcal{B} = \bigcap_{i>0} \text{KerExt}_R^i(T, -)$  is the **(right) tilting class** induced by  $T$ .

$\mathcal{A} = \text{KerExt}_R^1(-, \mathcal{B})$  is the **left tilting class** induced by  $T$ .

A tilting module  $\tilde{T}$  is **equivalent** to  $T$  in case  $\text{Add}(T) = \text{Add}(\tilde{T})$ .

# Basic restriction for the commutative setting

Let  $R$  be a commutative ring.

- (i) If  $T$  is a tilting module of projective dimension  $> 0$ , then  $T$  is not finitely generated.
- (ii) If  $T \in \text{mod-}R$  and  $1 \leq \text{pd}_R(T) = n < \infty$ , then  $\text{Ext}_R^n(T, T) \neq 0$ . Hence  $T$  fails condition (T2).

## Proof of (ii)

All syzygies of  $T$  are finitely generated, so  $\text{pd}_R(T) = \max_m \text{pd}_{R_m}(T_m)$ . Take  $m \in \text{mSpec}(R)$  such that  $\text{pd}_{R_m}(T_m) = n$ . Then  $T_m \in \text{mod-}R_m$  and  $(\text{Ext}_R^n(T, T))_m \cong \text{Ext}_{R_m}^n(T_m, T_m)$ , so w.l.o.g.,  $R$  is local. Let  $\mathcal{F}$  be the minimal free resolution of  $T$ .  $\mathcal{F}$  is given by an iteration of projective covers, so  $d_k(F_k) \subseteq mF_{k-1}$  for each  $k > 0$  where  $d_k$  is the differential. As  $\text{Ext}_R^n(T, -)$  is right exact, the epimorphism  $T \rightarrow T/mT$  induces a surjection  $\text{Ext}_R^n(T, T) \rightarrow \text{Ext}_R^n(T, T/mT)$ . However,  $\text{Ext}_R^n(T, T/mT) \cong \text{Ext}_R^1(\Omega^{n-1}(T), T/mT) \cong \text{Hom}_R(F_n, T/mT) \neq 0$ .  $\square$

# Tilting abelian groups

## Theorem

Let  $P \subseteq \text{Spec}(\mathbb{Z}) \setminus \{0\}$ , and  $\mathbb{Z} \subseteq A_P \subseteq \mathbb{Q}$  and  $A_P/\mathbb{Z} \cong \bigoplus_{p \in P} \mathbb{Z}_{p^\infty}$ .

- $T_P = A_P \oplus A_P/\mathbb{Z}$  is a tilting abelian group,
- $\mathcal{B}_P = \{A \in \text{Mod-}\mathbb{Z} \mid A_p = A \text{ for all } p \in P\}$ .

Each tilting abelian group is equivalent to  $T_P$  for some  $P \subseteq \text{Spec}(\mathbb{Z}) \setminus \{0\}$ .

# Finite type

## Theorem

Let  $T$  be a tilting module with the induced left and right tilting classes  $\mathcal{A}$  and  $\mathcal{B}$ , respectively.

Then there is a set  $\mathcal{S}$  consisting of strongly finitely presented modules in  $\mathcal{A}$ , such that

$$\mathcal{B} = \text{KerExt}_R^1(\mathcal{S}, -).$$

One can always take  $\mathcal{S} = \mathcal{A} \cap \text{mod-}R$ ; in this case  $\mathcal{A} \subseteq \varinjlim \mathcal{S}$ .

Terminology: The set  $\mathcal{S}$  **witnesses** the finite type of  $T$ .

## Abelian groups, cont.

For  $P \subseteq \text{Spec}(\mathbb{Z}) \setminus \{0\}$ , we can take  $\mathcal{S}_P = \{\mathbb{Z}_p \mid p \in P\}$ .

# Characteristic sequences over commutative rings

- A subset  $P \subseteq \text{Spec}(R)$  is **Thomason**, if  $P = \bigcup_{I \in \mathcal{I}} V(I)$  for a set  $\mathcal{I}$  consisting of finitely generated ideals of  $R$ .  
Here,  $V(I) = \{p \in \text{Spec}(R) \mid I \subseteq p\}$ .
- If  $M \in \text{Mod-}R$  and  $p \in \text{Spec}(R)$ , then  $p$  is **vaguely associated** to  $M$ , if  $R/p$  is contained in the smallest subclass of  $\text{Mod-}R$  containing  $M$  and closed under submodules and direct limits. Def.:  $p \in \text{Vass}(M)$ .

**Example:** If  $R$  is noetherian, then Thomason subsets = upper subsets, and weakly associated primes = associated primes.

Let  $R$  be a commutative ring. A sequence  $\bar{P} = (P_0, \dots, P_{n-1})$  of Thomason subsets of  $\text{Spec}(R)$  is called **characteristic**, if

- $P_0 \supseteq P_1 \supseteq \dots \supseteq P_{n-1}$ , and
- $\text{Vass}(\Omega^{-i}(R)) \cap P_i = \emptyset$  for all  $i < n$ .

# Structure of tilting classes over commutative rings

For  $R$  commutative and  $n \geq 1$ , there is a bijection between:

- characteristic sequences of length  $n$ , and
- right  $n$ -tilting classes in  $\text{Mod-}R$ .

The right  $n$ -tilting class  $\mathcal{T}_{\bar{P}}$  corresponding to a characteristic sequence  $\bar{P} = (P_0, \dots, P_{n-1})$ , where  $P_i = \bigcup_{I \in \mathcal{I}_i} V(I)$  for each  $i < n$ , equals

$$\mathcal{T}_{\bar{P}} = \{M \in \text{Mod-}R \mid \text{Tor}_i^R(R/I, M) = 0 \ \forall i < n \ \forall I \in \mathcal{I}_i\}.$$

For an  $n$ -tilting module  $T$  inducing the  $n$ -tilting class  $\mathcal{T}$ , the corresponding characteristic sequence  $\bar{P}_T = (P_0, \dots, P_{n-1})$  satisfies for each  $i < n$

$$P_i = \{p \in \text{Spec}(R) \mid \exists i < k \leq n : \text{Tor}_k^R(E(R/p), T) \neq 0\}.$$

(Note: the structure of tilting modules is still an open problem ...)

# Quasi-coherent sheaves as representations

Let  $X$  be a scheme and  $\mathcal{R} = (\mathcal{R}(U) \mid U \subseteq X, U \text{ open affine})$  be its structure sheaf.

A **quasi-coherent sheaf**  $\mathcal{M}$  on  $X$  can be represented by an assignment

- to every affine open subset  $U \subseteq X$ , an  $\mathcal{R}(U)$ -module  $\mathcal{M}(U)$  of sections, and
- to each pair of open affine subsets  $V \subseteq U \subseteq X$ , an  $\mathcal{R}(U)$ -homomorphism  $f_{UV} : \mathcal{M}(U) \rightarrow \mathcal{M}(V)$  such that

$$\text{id}_{\mathcal{R}(V)} \otimes f_{UV} : \mathcal{R}(V) \otimes_{\mathcal{R}(U)} \mathcal{M}(U) \rightarrow \mathcal{R}(V) \otimes_{\mathcal{R}(U)} \mathcal{M}(V) \cong \mathcal{M}(V)$$

is an  $\mathcal{R}(V)$ -isomorphism.

+ compatibility conditions: if  $W \subseteq V \subseteq U$ , then  $f_{UV} f_{VW} = f_{UW}$ .



# Properties of the representations

## Exactness

The functors  $\mathcal{R}(V) \otimes_{\mathcal{R}(U)} -$  are exact, i.e., the  $\mathcal{R}(U)$ -modules  $\mathcal{R}(V)$  are flat (in fact, they are “very flat”).

## Non-uniqueness of the representations

Not all affine open subsets are needed: a set of them,  $\mathcal{S}$ , covering both  $X$ , and all  $U \cap V$  where  $U, V \in \mathcal{S}$ , will do.

## The affine case (Grothendieck)

If  $X = \text{Spec}(R)$  for a commutative ring  $R$ , then  $\mathcal{S} = \{X\}$  is enough, so quasi-coherent sheaves on  $X = R$ -modules.

# Extending properties from modules to qc-sheaves

## Definition

Let  $\mathfrak{P}$  be a property of modules. A qc-sheaf  $\mathcal{M}$  on a scheme  $X$  is a **locally  $\mathfrak{P}$**  qc-sheaf provided that for **each** open affine set  $U$  of  $X$ ,  $\mathcal{M}(U)$  satisfies  $\mathfrak{P}$  as an  $\mathcal{R}(U)$ -module.

**Requirement:** Properties studied for qc-sheaves should be “independent of coordinates”, i.e., for each scheme  $X$ , it should be possible to test for the property using an (arbitrary) open affine covering of  $X$ .

## Definition

The notion of a locally  $\mathfrak{P}$  qc-sheaf is **Zariski local** if for each scheme  $X$ , each open affine covering  $X = \bigcup_{V \in \mathcal{S}} V$  of  $X$ , and each qc-sheaf  $\mathcal{M}$  on  $X$ , if  $\mathcal{M}(V)$  satisfies  $\mathfrak{P}$  as  $\mathcal{R}(V)$ -module for each  $V \in \mathcal{S}$ , then  $\mathcal{M}$  is a locally  $\mathfrak{P}$ -qc-sheaf.

# Ascent and descent along flat base changes

## Definition

A property of modules  $\mathfrak{P}$  is called an **ad-property** provided that

- if  $\varphi : R \rightarrow S$  is any flat ring homomorphism, then  $\mathfrak{P}$  **ascends** along  $\varphi$  (i.e., if  $M$  satisfies  $\mathfrak{P}$  as  $R$ -module, then so does  $M \otimes_R S$  as  $S$ -module), and
- if  $\varphi : R \rightarrow S$  is any faithfully flat ring monomorphism, then  $\mathfrak{P}$  **descends** along  $\varphi$  (i.e., if  $M \otimes_R S$  satisfies  $\mathfrak{P}$  as  $S$ -module, then so does  $M$  as  $R$ -module).

# Sufficient conditions for Zariski locality

## Lemma

*Let  $\mathfrak{P}$  be an ad-property of modules over commutative rings.  
Then the notion of a locally  $\mathfrak{P}$  qc-sheaf is Zariski local.*

## Affine Communication Lemma (ACL)

A weaker property is sufficient:

- (1)  $\mathfrak{P}$  ascends along all localizations  $\varphi : R \rightarrow R_f$  where  $f \in R$ , and
- (2)  $\mathfrak{P}$  descends along all faithfully flat ring monomorphisms of the form  $\varphi_{f_0, \dots, f_{m-1}} : R \rightarrow \prod_{j < m} R_{f_j}$  where  $R = \sum_{j < m} f_j R$ .

# The basic example: vector bundles

## Definition

A qc-sheaf  $\mathcal{M}$  on a scheme  $X$  is an (infinite dimensional) **vector bundle**, if  $\mathcal{M}(U)$  is a projective  $\mathcal{R}(U)$ -module for each open affine set  $U$  of  $X$ .

(So vector bundles are exactly the locally projective qc-sheaves.)

## Theorem

*The notion of a vector bundle is Zariski local.*

(Conjectured by Grothendieck in the 1960's, proved in 1971 by Raynaud and Gruson, by showing that projectivity is an ad-property.)

# Locally tilting quasi-coherent sheaves

## Definition

Let  $n < \omega$ . A qc-sheaf  $\mathcal{M}$  on a scheme  $X$  is **locally  $n$ -tilting**, if  $\mathcal{M}(U)$  is an  $n$ -tilting  $\mathcal{R}(U)$ -module for each open affine set  $U$  of  $X$ .

Let  $0 \leq n$ . A qc-sheaf  $\mathcal{M}$  on a scheme  $X$  is **locally left  $n$ -tilting** (**locally Add- $n$ -tilting**), if for each open affine set  $U$  of  $X$ , there exists an  $n$ -tilting  $\mathcal{R}(U)$ -module  $\mathcal{T}(U)$  such that  $\mathcal{M}(U) \in \mathcal{A}_{\mathcal{T}(U)}$  ( $\mathcal{M}(U) \in \text{Add}(\mathcal{T}(U))$ ).

**Problem:** Are these three notions Zariski local for each  $n$ ?

(The latter two are Zariski local for  $n = 0$  by the Theorem of Raynaud and Gruson above, as they coincide with the notion of a vector bundle.)

# Tilting and flat base change

## Ascent-Descent Lemma

Let  $\varphi : R \rightarrow S$  be a flat ring homomorphism of commutative rings, and  $T$  be an  $n$ -tilting  $R$ -module with the left and right tilting classes  $\mathcal{A}$  and  $\mathcal{B}$ , respectively.

- $T' = T \otimes_R S$  is an  $n$ -tilting  $S$ -module. So the property of being an  $n$ -tilting module ascends along  $\varphi$ .
- Let  $\mathcal{B}'$  be the right  $n$ -tilting class induced by  $T'$ . Then  $\mathcal{B}' = \mathcal{B} \cap \text{Mod-}S$ , and for each module  $N \in \text{Mod-}R$ ,  $N \in \mathcal{B}$ , iff  $N \otimes_R S \in \mathcal{B}'$ .

- If  $\varphi$  is a faithfully flat ring monomorphism, then for each  $M \in \text{Mod-}R$ ,  $M \in \mathcal{A}$ , iff  $M \otimes_R S \in \mathcal{A}'$ , where  $\mathcal{A}'$  is the left  $n$ -tilting class induced by  $T'$ .

# Tools: Mittag-Leffler conditions

## Definition

An inverse system of modules  $\mathcal{H} = (H_i, h_{ij} \mid i \leq j \in I)$  is **Mittag-Leffler**, if for each  $k \in I$  there exists  $k \leq j \in I$ , such that  $\text{Im}(h_{kj}) = \text{Im}(h_{ki})$  for each  $j \leq i \in I$ , that is, the terms of the decreasing chain  $(\text{Im}(h_{ki}) \mid k \leq i \in I)$  of submodules of  $H_k$  stabilize.

Let  $B$  be a module and  $\mathcal{M} = (M_i, f_{ji} \mid i \leq j \in I)$  a direct system of finitely presented modules. An application of  $\text{Hom}_R(-, B)$  yields the induced inverse system  $\mathcal{H} = (H_i, h_{ij} \mid i \leq j \in I)$ , where  $H_i = \text{Hom}_R(M_i, B)$  and  $h_{ij} = \text{Hom}_R(f_{ji}, B)$  for all  $i \leq j \in I$ .

Let  $\mathcal{B}$  be a class of modules. A module  $M$  is  **$\mathcal{B}$ -stationary**, if  $M$  is a direct limit of a direct system  $\mathcal{M}$  of finitely presented modules so that for each  $B \in \mathcal{B}$ , the induced inverse system  $\mathcal{H}$  is Mittag-Leffler.



# Tools: Mittag-Leffler conditions and generalized dévissage

## Lemma

In the setting of the Ascent-Descent Lemma, let  $S = \mathcal{A} \cap \text{mod-}R$ . Let  $C \in \text{Mod-}R$  and  $C' = C \otimes_R S$ .

- If  $C \in \varinjlim S$  is countably presented, then  $C \in \mathcal{A}$ , iff  $C$  is  $\mathcal{B}$ -stationary.
- Assume that  $\varphi$  is faithfully flat, and  $C' \in \varinjlim (S \otimes_R S)$  is countably presented. Then  $C \in \varinjlim S$  and  $C$  is countably presented. Moreover, the equivalent conditions above are further equivalent to  $C'$  being  $\mathcal{B}'$ -stationary, and hence to  $C' \in \mathcal{A}'$ .

- If  $\varphi$  is faithfully flat, then for each  $M \in \text{Mod-}R$ ,  $M \in \mathcal{A}$ , iff  $M \otimes_R S \in \mathcal{A}'$ .

# Characteristic sequences and flat base change

Let  $\varphi : R \rightarrow S$  be the faithfully flat ring monomorphism from condition (2) of the ACL.

- If  $\bar{P}$  is a characteristic sequence in  $\text{Spec}(R)$  corresponding to an  $n$ -tilting module  $T$ , then the characteristic sequence in  $\text{Spec}(S)$  corresponding to  $T' = T \otimes_R S$  is  $\bar{Q}_{\bar{P}}$ , where for each  $i < n$ ,  $Q_i$  is defined by  $Q_i = \{q \in \text{Spec}(S) \mid \exists p \in P_i : pS \subseteq q\}$ .
- Let  $T \in \text{Mod-}R$  be such that  $T' = T \otimes_R S$  is an  $n$ -tilting  $S$ -module. Then the characteristic sequence  $\bar{Q}$  in  $\text{Spec}(S)$  corresponding to  $T'$  is of the form  $\bar{Q}_{\bar{P}}$  for some characteristic sequence  $\bar{P}$  in  $\text{Spec}(R)$ . Hence  $T$  satisfies conditions (T1) and (T2).

## Theorem

- *The notions of a locally left  $n$ -tilting, locally Add- $n$ -tilting, and locally  $n$ -tilting quasi-coherent sheaves are Zariski local for each  $n \geq 0$ .*
- *If  $R$  is noetherian, then for each  $n \geq 0$ , the property of being an  $n$ -tilting module is an ad-property.*

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