

“General Example”: Formal concepts

In Formal Concept Analysis (*Rudolf Wille* (~ 1970)),

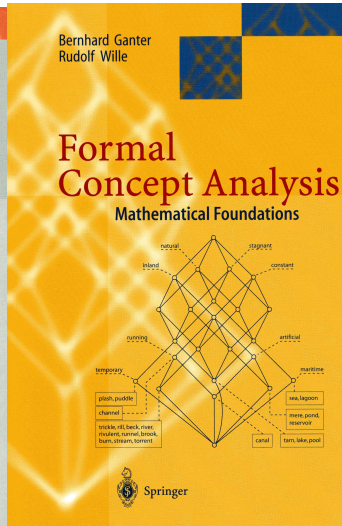
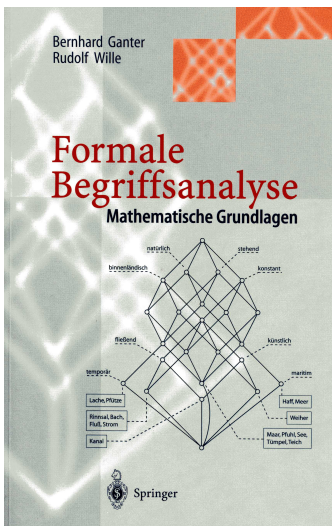
$$(G, M, I) := (M_1, M_2, R)$$

is called *formal context*.

($g \in G$ *objects* (*G*egenstände), $m \in M$ *attributes* (*M*erkmale))

$I \subseteq G \times M$ *incidence relation*: $gIm : \iff$ object g has attribute m

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have two components (*Galois closures*)

extent X and *intent* Y (*dyadic view*)

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Galois connections: antitone vs. monotone

Recall:

A pair (φ, ψ) , $\varphi : \mathfrak{P}(M_1) \rightarrow \mathfrak{P}(M_2)$, $\psi : \mathfrak{P}(M_2) \rightarrow \mathfrak{P}(M_1)$ is a *Galois connection*,

iff $\forall X \subseteq M_1, Y \subseteq M_2 :$

$$Y \subseteq \varphi(X) \iff \psi(Y) \supseteq X$$

(φ^* upper adjoint, ψ^* lower adjoint)

Definition via a binary relation $R \subseteq M_1 \times M_2$:

$$\varphi(X) := \{b \in M_2 \mid \forall a \in X : (a, b) \in R\}, \quad \psi(Y) := \{a \in M_1 \mid \forall b \in Y : (a, b) \in R\},$$

$$\varphi^*(X) := M_2 \setminus \{b \in M_2 \mid \forall a \in X : (a, b) \in R\} = \{b \mid \exists a \in X : (a, b) \notin R\},$$

$$\psi^*(Y) := \{a \in M_1 \mid \forall b \in M_2 \setminus Y : (a, b) \in R\},$$

$$\text{note } (a, b) \in R \iff b \notin \varphi^*(\{a\}) \iff a \in \psi^*(M_2 \setminus \{b\})$$

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A pair (φ, ψ) , $\varphi : \mathfrak{P}(M_1) \rightarrow \mathfrak{P}(M_2)$, $\psi : \mathfrak{P}(M_2) \rightarrow \mathfrak{P}(M_1)$ is a *(antitone) Galois connection*,

A pair (φ^*, ψ^*) , $\varphi^* : \mathfrak{P}(M_1) \rightarrow \mathfrak{P}(M_2)$, $\psi^* : \mathfrak{P}(M_2) \rightarrow \mathfrak{P}(M_1)$ is a *monotone Galois connection* iff $\forall X \subseteq M_1, Y \subseteq M_2$:

$$Y \subseteq \varphi(X) \iff \psi(Y) \supseteq X$$

$$Y \subseteq \varphi^*(X) \iff \psi^*(Y) \subseteq X$$

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Rudolf Wille (1937–2017)

A black and white photograph of two men seated at a table. The man on the left, with a receding hairline and wearing a white long-sleeved shirt, has his arms crossed and is looking towards the right. The man on the right, with a full beard and mustache and wearing a vertically striped long-sleeved shirt, is holding a white rectangular object (possibly a book or a card) and looking towards the camera. The table is covered with a checkered tablecloth and holds a glass of liquid, a cup of coffee on a saucer, and a small bowl. The background is a plain, light-colored wall.

THE Galois connection Pol – Inv

induced by the relation

function f preserves relation ϱ :

$$f \triangleright \varrho$$

$$\begin{array}{ccccccc} f(& \text{green bar} & \text{green bar} & \dots & \text{green bar} &) = & \text{red bar} \\ f(& a_{11} & a_{12} & \dots & a_{1n} &) = & \bullet \\ f(& a_{21} & a_{22} & \dots & a_{2n} &) = & \bullet \\ & & & & & & \\ f(& a_{m1} & a_{m2} & \dots & a_{mn} &) = & \bullet \\ & \text{green circle} & \text{green circle} & \dots & \text{green circle} & \Rightarrow & \text{red circle} \\ & \in \varrho & \in \varrho & \dots & \in \varrho & & \in \varrho \end{array}$$

$F \subseteq \text{Op}(A)$ (set of all finitary operations $f : A^n \rightarrow A$) (“objects”)

$Q \subseteq \text{Rel}(A)$ (set of all finitary relations $\varrho \subseteq A^m$) (“attributes”)

$\text{Inv } F := \{ \varrho \in R_A \mid \forall f \in F : f \triangleright \varrho \}$ invariant relations

$\text{Pol } Q := \{ f \in \text{Op}(A) \mid \forall \varrho \in Q : f \triangleright \varrho \}$ polymorphisms

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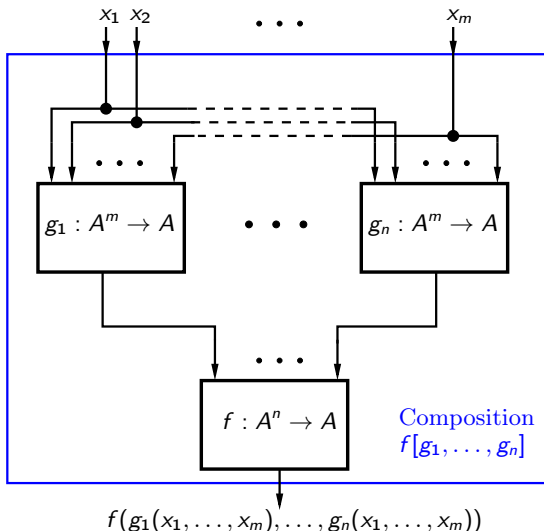
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What are clones?



Dietlinde Lau (1950–2018)

Dietlinde Lau (May 1, 1950 – June 12, 2018)

Das Institut für Mathematik der Universität Rostock trauert um

Prof. Dr. Dietlinde Lau

*01.05.1950

† 12.06.2018



Wir müssen Abschied nehmen von einer liebenswerten Kollegin und hervorragenden Hochschullehrerin. Frau Professor Dietlinde Lau hat ihre wissenschaftliche Karriere an der Universität Rostock absolviert. Durch eine Kinderlähmung war sie stark behindert, umso höher ist ihr äußerst erfolgreicher Weg wertzuschätzen. Sie begann ihr Mathematikstudium im Jahr 1969 und nach einem Forschungsstudium promovierte sie 1977 und habilitierte sich 1985 auf dem Gebiet der Diskreten Mathematik. Schließlich wurden ihre großen Leistungen bereits 1999 mit der Berufung zur außerplanmäßigen Professorin für Diskrete Mathematik gewürdigt.

Frau Professor Lau hat sich mit sehr großen Engagement für eine gut durchdachte, anspruchsvolle und erfolgsorientierte Lehre eingesetzt. Sie hat die Mathematik-Ausbildung für Informatiker aufgebaut und bis zu ihrem Ruhestand durchgeführt. Ein Denkmal hat sie sich mit ihren 2 Lehrbüchern und einem Übungsbuch zur Mathematik-Ausbildung für Informatiker gesetzt. Einzigartig hier in Rostock waren ihre hervorragenden Kenntnisse zur Geschichte der Mathematik, die sie in ihren Vorlesungen den Studierenden vermittelte.

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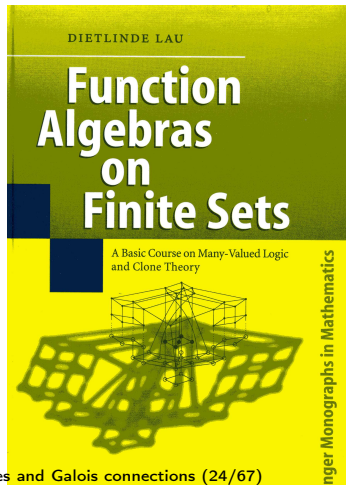
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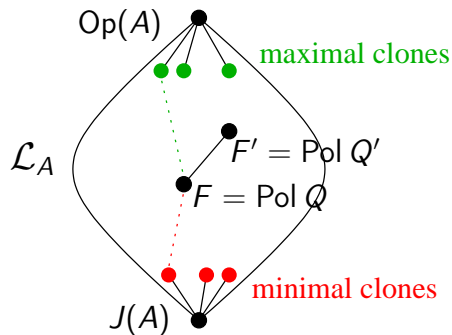
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CHNISCHE
IVERSITÄT
ESDEN

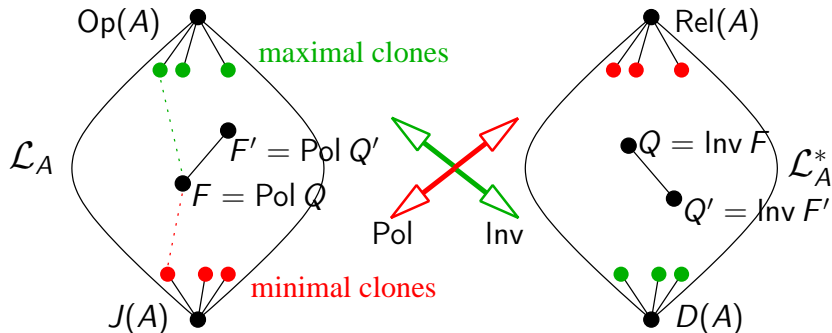
A circular photograph showing a woman with short brown hair and glasses, wearing a red dress, seated in a wheelchair. She is outdoors, with a yellow wall and a window with blinds in the background. To her left, another person in a grey suit and red top is partially visible. The foreground is filled with various potted plants, including yellow and orange flowers, and a large blue pot. A small, light-colored pig figurine is also visible near the wheelchair.

The lattice \mathcal{L}_A of clones on A



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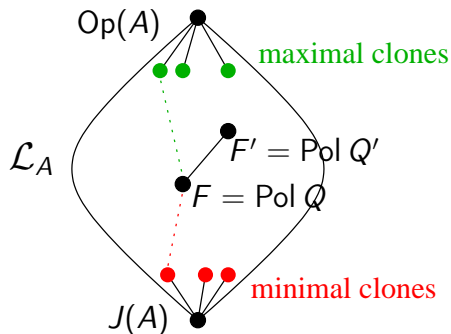
dyadic view



$$F \vee F' = Pol(Q \cap Q')$$

$$Q \vee Q' = Pol(F \cap F')$$

The lattice \mathcal{L}_A of clones on A



Minimal clones: complete description still **open problem**

Ivo Rosenberg's 1970 publication on maximal clones



Summerschool in Stara Lesna 2009



Summerschool in Podlesi 2011



What and how to modify?

- (A) Restricting the operations and/or relations under consideration but keeping the invariance relation “ ϱ is invariant for f ”.
- (B) Generalizing the operations (e.g. to partial operations or multioperations) and/or relations with “canonically” modified invariance relation.
- (C) Considering “natural” closure operators on operations and/or relations and trying to characterize the closed sets as Galois closures of a suitable Galois connection.
- (D) Modifying the preservation property “ $f \triangleright \varrho$ ”.

(A) Restrictions on operations and relations

Restricting arities

$E := \text{Op}^{(m)}(A)$ or $R := \text{Rel}^{(m)}(A)$.

$\mathcal{A} = \langle A, F \rangle$ **finite** algebra.

Theorem (Characterization of the Galois closures)

- $m\text{-Loc } F = \text{Pol Inv}^{(m)} F$ (*m -locally closed clones, clones with m -interpolation property*),
- $m\text{-LOC}[Q]_{(\exists, \wedge, =)} = \text{Inv Pol}^{(m)} Q$ (*m -locally closed relational clones*).

Restricting relations

Restricting relations to graphs g^\bullet of operations g leads to the Galois connection on operations induced by \perp : For $f, g \in \text{Op}(A)$

$$f \perp g \text{ (} f \text{ commutes with } g \text{)} \iff g \in \text{Pol } f^\bullet \iff f \in \text{Pol } g^\bullet.$$

Arity bounds for centralizer clones

By the finiteness result [BurW1987], for any $k \in \mathbb{N}$, there must exist a bound $m \in \mathbb{N}$ such that every centralizer clone F on a k -element set is determined by the set $F^{(m)}$ of its m -ary operations, i.e.

$$F = \text{Pol Pol } F^{(m)}.$$

Upper bound: $m \leq k^{k^4 - k^3 + k^2}$ ([BurW1987], [Pö]),

improvement: $m \leq k^k$

Challenging conjecture: $m = k$
(or at least a polynomial upper bound)

The Galois connections $\text{Aut} - \text{Maj}$ and $\text{Aut} - \text{Spr}$

Keeping the relation $f \perp g$, further restrictions to permutations and majority functions **Maj** (or semiprojections **Spr**, resp.)

Proposition (*Behrisch/Pö Aug. 2018*)

For $G \subseteq \text{Sym}(A)$ we have:

$$4\text{-Loc } G \text{ Aut Maj } G \text{ Aut Spr}^{(3)} G 3\text{-Loc } G.$$

here $k\text{-Loc } G = \text{Aut Inv}^{(k)} G$.

Proof: : The graph of a ternary function is a quaternary relation.

: Encoding ternary irreflexive relations ϱ by majority operations (semiprojections, resp.) f :

$$f(x, y, z) = \begin{cases} x & \text{if } (x, y, z) \in \varrho \\ y & \text{if } (x, y, z) \in A^3 \setminus \varrho \\ \dots & \text{according to majority conditions,} \\ & \text{resp. } z, \text{ otherwise} \end{cases} \quad \begin{array}{l} \text{Then } \sigma \perp f \iff \sigma \triangleright \varrho, \\ \text{which implies} \\ \text{Aut Maj } G \\ \subseteq \text{Aut Inv}^{(3)} G. \end{array}$$

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$$f(x, y, z) = \begin{cases} x & \text{if } (x, y, z) \in \varrho \\ y & \text{if } (x, y, z) \in A_{\neq}^3 \setminus \varrho \\ \dots & \text{according to majority conditions,} \\ & \text{resp. } z, \text{ otherwise} \end{cases}$$

Then $\sigma \perp f \iff \sigma \triangleright \varrho$,
which implies
 $\text{Aut Maj } G$
 $\subseteq \text{Aut Inv}^{(3)} G$.

" $=$ ": For $\sigma \in \text{Sym}(A)$ and $f \in \text{Maj}$, $g \in \text{Spr}^{(3)}$ with

$f(x, y, z) = g(x, y, z)$ for $(x, y, z) \in A_{\neq}^3$ we have $\sigma \perp f \iff \sigma \perp g$. \square

The Galois connections $\text{Aut} - \text{Maj}$ and $\text{Aut} - \text{Spr}$

Keeping the relation $f \perp g$, further restrictions to permutations and majority functions **Maj** (or semiprojections **Spr**, resp.)

Proposition (*Behrisch/Pö Aug. 2018*)

For $G \subseteq \text{Sym}(A)$ we have:

$$4\text{-Loc } G \subseteq \text{Aut Maj } G = \text{Aut Spr}^{(3)} G \subseteq 3\text{-Loc } G.$$

here $k\text{-Loc } G = \text{Aut Inv}^{(k)} G$.

Proof: " \subseteq ": The graph of a ternary function is a quaternary relation.

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Remark

In general, both inclusions can be strict.

$$4\text{-Loc } G \subseteq \text{Aut Maj } G = \text{Aut Spr}^{(3)} G \subseteq 3\text{-Loc } G.$$

“right \subseteq ”: Let $G \leq \text{Sym}(A)$ be a sharply 3-transitive but not 4-transitive permutation group,

e.g., $\text{PGL}(2, 5)$ acting on the 6-element set A of 1-dimensional subspaces of the 2-dimensional vector space over $\text{GF}(5)$, thanks to *Sven Reichard*,

and let $a^G = \{b \in A^4 \mid \exists g \in G : a^g = b\}$ be the orbit generated by a quadrupel $a = (a_1, a_2, a_3, a_4) \in A_{\neq}^4$ (with pairwise different components).

Define a majority operation f on A_{\neq}^3 via $f(b_1, b_2, b_3) = b_4$ whenever $(b_1, b_2, b_3, b_4) \in a^G$ (f is well-defined because G is sharply 3-transitive). Clearly, $f \in \text{Maj } G$.

$\text{Inv}^{(3)} G$ is trivial (by 3-transitivity of G), thus $\text{Aut Inv}^{(3)} G = \text{Sym}(A)$, but $\text{Aut Maj } G \subseteq \text{Aut}\{f\} \neq \text{Sym}(A)$.

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Further Galois connections by restricting to E and R

| E | R | Galois closure | References |
|---|----------------|---------------------------|--|
| $Op(A)$ | $Rel(A)$ | $Pol\ Inv\ F$ | [BodKKR69a], [BodKKR69b], [Gei68], [BakP75], [Rom76], [Rom77a], [Rom77b], [PösK79], cf. 2.3, 2.5 |
| <i>Generalization to infinitary relations or operations</i> | | | |
| | | $Inv\ Pol\ Q$ | [Gei68], [BodKKR69a], [BodKKR69b], [Sza78], [PösK79], [Pös80a], cf. 2.3, 2.5 |
| | | $Pol\ Inv^\infty F$ | [Ros72], [KraP76], [Poi81] |
| | | $Inv^\infty Pol\ Q$ | [Ros79] |
| | | $Pol^\infty Inv^\infty F$ | [Kra76b], [KraP76] |
| | | $Inv^\infty Pol^\infty Q$ | [Poi81] |
| <i>arity restrictions</i> | | | |
| $Op(A)$ | $Rel^{(m)}(A)$ | $Pol\ Inv^{(m)} F$ | [Gei68], [BakP75], [Pös80a] |
| | | $Inv^{(m)} Pol\ Q$ | [Ros78] |
| $Op(A)$ | $Rel^{(1)}(A)$ | $Pol\ Sub\ F$ | [Sch82, Thm. 1.6], [Pös80a] |
| | | $Sub\ Pol\ Q$ | see below |
| $Op^{(m)}(A)$ | $Rel(A)$ | $Inv\ Pol^{(m)} Q$ | [Sza78], [Pös80a] |
| $Tr(A)$ | $Rel(A)$ | $Inv\ End\ Q$ | [Kra38], [Kra50], [Kra76a], [Kra86], [Gou68], [BodKKR69a], [Pös80a], [Bör00] |
| | | $End\ Inv\ F$ | |
| $Sym(A)$ | $Rel(A)$ | $Inv\ Aut\ Q$ | [Kra38], [BodKKR69a], [BodKKR69b], [Gou72a], [Pös80a], [Bör00] |
| | | $(slnv\ Aut\ Q)$ | |
| | | $wAut\ Inv\ F$ | |
| | | $Aut\ slnv\ F$ | |
| $Sym(A)$ | $Rel^{(m)}(A)$ | $Aut\ Inv^{(m)} F$ | [Wie69] |
| <i>restriction to (graphs of) operations only</i> | | | |
| $Op(A)$ | $Op(A)^*$ | $Pol\ Pol\ F$ | [Sza78, Thm. 13], [Faj77], [Dan77](for $ A = 3$), (also Kuznecov, cf. [Val76]) |
| $Op(A)$ | $Tr(A)^*$ | $Pol\ End\ F$ | [Saus82], ([Rei82] implicit operations) |
| | | $End\ Pol\ Q$ | see below |

| <i>concrete characterization problems</i> | | | |
|---|--|--------------------------------------|---|
| E | R | Galois closure | References |
| $Op(A)$ | $Sym(A)^*$ | $Aut\ Pol\ Q$ | <i>concrete characterization of $Aut\ A$</i> [Jón68] (cf. [Jón72, (2.4.3)]), [Kra50], [ArmS64], [Sza75], [Bre76] |
| $Op^{(m)}(A)$ | $Sym(A)^*$ | $Aut\ Pol^{(m)} Q$ | <i>concrete characterization of $Aut\ A$ for algebras A with at most m-ary operations</i> [Plo68], [Jón72, (2.4.1)], [Gou72a] |
| $Op(A)$ | $\mathfrak{P}(A)$ | $Sub\ Pol\ Q$ | <i>concrete characterization of $Sub\ A$</i> [BirF48] (cf. [Jón72, (3.6.4)]), [Gou72b] for unary algebras: [Jón72, (3.6.7)], [JohS67] |
| $Op(A)$ | $Eq(A)$ | $Con\ Pol\ Q$ | <i>concrete characterization of $Con\ A$</i> [Arm70](partial solution), [Jón72, (4.4.1)], [QuaW71], [Wer74], [Dra74] $Pol\ Con\ F$ for p -rings $(A; F)$ [Isk72] |
| $Op(A)$ | $Tr(A)^*$ | $End\ Pol\ Q$ | <i>concrete characterization of $End\ A$</i> [Lam68], [GrüL68], [Saus77a], [Sto69], [Sto75], [Jes72], [Sza78, Thm. 15] |
| $Op(A)$ | $Sym(A)^* \cup \mathfrak{P}(A)$ $Sym(A)^* \cup Eq(A)$ | $Aut\ A \ \& \ Con\ A$ | <i>concrete characterization of $Aut\ A \ \& \ Sub\ A$</i> [Sto72], [Gou72b], cf. 3.5 [Wer74](conjecture) cf. [Pös80b], (for simple A [Sch64]), cf. 3.5 |
| | $Op(A)^* \cup Sub(A)$ | $End\ A \ \& \ Sub\ A$ | [Saus77b](cf. [Jón74]) |
| | $Op(A)^* \cup Sub(A) \cup Eq(A)$ | $Aut\ A \ \& \ Sub\ A \ \& \ Con\ A$ | [Sza78], [Pös80a], cf. 3.5 |

(B) Generalizing operations and/or relations with
“canonically” modified invariance relation

(B) Generalizing operations and/or relations

Generalization to

- partial operations ($f : B \rightarrow A$ with $B \subseteq A^n$)
- power operations (multi-operations) ($f : A \rightarrow \mathfrak{P}(A)$)
- infinitary operations and/or relations [Ros1972]
- multisorted algebras $A = (A_s)_{s \in S}$ and relations [Pös1973]
- multisorted relation pairs $(\varrho, \varrho') = ((\varrho_s)_{s \in S}, (\varrho'_s)_{s \in S})$ and minor closed classes ("clonoids", "minions", "preclones") [LehPW2018]
-
-

(C) Characterizing closures as Galois closures

Minor closed classes of functions

$f : A^n \rightarrow A$ is a *minor* of $g : A^m \rightarrow A$ if there is a mapping $\lambda : \{1, \dots, m\} \rightarrow \{1, \dots, n\}$ such that

$$f(a_1, \dots, a_n) = g(a_{\lambda(1)}, \dots, a_{\lambda(m)})$$

(permuting/identifying variables, adding fictive variables)

Question: How to characterize the closure $\langle F \rangle_{\text{mc}}$ of $F \subseteq \text{Op}(A)$ under taking minors (*minor closed classes* (clonoids, minions)) as Galois closure of a suitable Galois connection?

Answer: Take the Galois connection $\text{mPol} - \text{mInv}$ of the context $(\text{Op}(A), \text{Rel}(A) \times \text{Rel}(A), \triangleright)$ where

$$f \triangleright (\varrho, \varrho') : \Longleftrightarrow f(r_1, \dots, r_n) \in \varrho' \text{ for } r_1, \dots, r_n \in \varrho$$

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The Galois connection $\text{mPol} - \text{mInv}$

$$f \triangleright (\varrho, \varrho') : \Longleftrightarrow \begin{array}{l} f \left(\begin{array}{c} a_{11} \\ a_{21} \\ \vdots \\ a_{m1} \end{array} \begin{array}{c} a_{12} \\ a_{22} \\ \vdots \\ a_{m2} \end{array} \dots \begin{array}{c} a_{1n} \\ a_{2n} \\ \vdots \\ a_{mn} \end{array} \right) = \begin{array}{c} \bullet \\ \bullet \\ \vdots \\ \bullet \end{array} \\ \begin{array}{c} \in \varrho \\ \in \varrho \\ \dots \\ \in \varrho \end{array} \Rightarrow \begin{array}{c} \in \varrho' \end{array} \end{array}$$

Theorem (Characterization of the Galois closures)

$$\langle F \rangle_{\text{mc}} = \text{mPol mInv } F \text{ for } F \subseteq \text{Op}(A),$$

$$[Q]_{\text{mc}} = \text{mInv mPol } Q \text{ for } Q \subseteq \text{Rel}(A) \times \text{Rel}(A).$$

[Pip2002] (*N. Pippenger*), [LehPW2018, Thm. 4.10]

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Logical operations

Let $\varphi(x_1, \dots, x_m)$ be a first order formula containing quantifiers and connectives from Φ only (with relation symbols $\varrho_1, \dots, \varrho_n$ and free variables x_1, \dots, x_m).

corresponding *logical operation* $\in \text{Lop}_A(\Phi)$ on $\text{Rel}(A)$:

$$L_\varphi(\varrho_1, \dots, \varrho_n) := \{(a_1, \dots, a_m) \mid \models \varphi(a_1, \dots, a_m)\}$$

$$\begin{aligned} \text{Example: } \varrho_1 \circ \varrho_2 &= \{(x, y) \mid \exists z : (x, z) \in \varrho_1 \wedge (z, y) \in \varrho_2\} \\ &= L_\varphi(\varrho_1, \varrho_2) = \{(x, y) \in A^2 \mid \models \varphi(\varrho_1, \varrho_2; x, y)\} \end{aligned}$$

for the first order formula

$$\varphi(\varrho_1, \varrho_2; x, y) \equiv \exists z : \varrho_1(x, z) \wedge \varrho_2(z, y) \in \text{Lop}_A(\exists, \wedge)$$

Question: How to characterize closures under logical operations $\text{Lop}_A(\Phi)$ (for particular Φ) as Galois closures of a suitable Galois connection?

Logical operations

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Question: How to characterize closures under logical operations $\text{Lop}_A(\Phi)$ (for particular Φ) as Galois closures of a suitable Galois connection?

Closures with logical operations

| | $\text{Lop}_A(\Phi)$ -closure with $\Phi =$ | Notation | Galois connection | |
|---------------------------|---|-------------|--------------------------|-----------------------------|
| | | | closed relational system | closed operational system |
| for finite base set A : | | | | |
| (1) | $(\exists, \wedge, \vee, \neg, =)$ | $[Q]_{KA}$ | sInV – Aut | |
| | | | Krasner algebra | group of permutations |
| (2) | $(\exists, \wedge, \vee, =)$ | $[Q]_{WKA}$ | Inv – End | |
| | | | weak Krasner algebra | monoid of unary functions |
| (3) | $(\exists, \wedge, =)$ | $[Q]_{RA}$ | Inv – Pol | |
| | | | relational algebra | clone of finitary functions |

| | | | | |
|------|---------------------------------|--------------------|------------------------------------|---|
| (4) | $(\wedge, =)$ | | Inv – pPol | |
| | | | weak system with identity | down-closed clone of finitary partial functions |
| (5) | (\wedge) | | Inv – mPol | |
| | | | weak system of relations | down-closed clone of finitary multifunctions |
| (6) | $(\exists, \wedge, \vee, \neg)$ | $[Q]_{\text{BSP}}$ | sInv – sEnd (sbmEnd, resp.) | |
| | | | BSP | Special monoid of unary func- tions |
| (6') | | | Boolean system with projections | (down-closed involuted mo- noid of bitotal multifunctions, resp.) |



| | $\text{Lop}_A(\Phi)$ -closure with $\Phi =$ | Notation | Galois connection | |
|---------------------------|---|--------------------|----------------------------------|--|
| | | | closed relational system | closed operational system |
| for finite base set A : | | | | |
| (7) | $(\wedge, \vee, \neg, =)$ | $[Q]_{\text{BSI}}$ | sInV – spmEnd | |
| | | | BSI Boolean system with identity | down-closed involuted monoid of pp-multifunctions (partial permutations) |
| (8) | (\wedge, \vee, \neg) | $[Q]_{\text{BS}}$ | sInV – smEnd | |
| | | | BS Boolean system | down-closed involuted monoid of unary multifunctions |

(D) Modifying the preservation property

Strong preservation

Modifying the Galois connection of the context $(\text{Sym}(A), \text{Rel}(A), \triangleright)$:
 $f \in \text{Sym}(A)$ *strongly preserves* $\varrho \in \text{Rel}(A)$:

$$f \triangleright_{\text{strong}} \varrho : \iff f \triangleright \varrho \text{ and } f^{-1} \triangleright \varrho.$$

The corresponding Galois connection (induced by $\triangleright_{\text{strong}}$):

$$\text{slnv } G := \{\varrho \in \text{Rel}(A) \mid \forall f \in G : f \triangleright_{\text{strong}} \varrho\},$$

$$\text{Aut } Q := \{f \in \text{Sym}(A) \mid \forall \varrho \in Q : f \triangleright_{\text{strong}} \varrho\}.$$

$$G \subseteq \text{Sym}(A), Q \subseteq \text{Rel}(A).$$

Note that in general $\text{Aut } Q \neq \text{wAut } Q = \{f \in \text{Sym}(A) \mid \forall \varrho \in Q : f \triangleright \varrho\}$
 (but equality holds for finite A).

Theorem (Characterization of the Galois closures)

$$\text{Loc}\langle G \rangle_{\text{Sym}(A)} = \text{Aut slnv } G$$

$$[Q]_{\text{KC}} = \text{slnv Aut } Q = \text{Inv Aut } Q$$

$$\text{Loc } G := \{f \in \text{Sym}(A) \mid \forall n \in \mathbb{N}_+ \forall B \subseteq A, |B| \leq n \exists g \in G : f|_B = g|_B\}$$

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The Galois connection e-Pol – e-Inv

induced by the relation f preserves ϱ at one place

function f e-preserves relation ϱ : $f \triangleright_e \varrho$

i.e., $\exists i : f$ preserves ϱ at i -th place

e-Inv $F := \{\varrho \in R_A \mid \forall f \in F : f \triangleright_e \varrho\}$ *e-invariant relations*

e-Pol $Q := \{f \in O_A \mid \forall \varrho \in Q : f \triangleright_e \varrho\}$ *e-polymorphisms*

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$$\begin{aligned} \exists i : \quad & f(a_{11} \ \cdots \ a_{1i} \ \cdots \ a_{1n}) = \bullet \\ & f(a_{21} \ \cdots \ a_{2i} \ \cdots \ a_{2n}) = \bullet \\ & \\ & f(a_{m1} \ \cdots \ a_{mi} \ \cdots \ a_{mn}) = \bullet \end{aligned}$$

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$$\begin{array}{l} \exists i : \quad f(a_{11} \cdots a_{1i} \cdots a_{1n}) = \bullet \\ \quad \quad f(a_{21} \cdots a_{2i} \cdots a_{2n}) = \bullet \\ \\ \quad \quad f(a_{m1} \cdots a_{mi} \cdots a_{mn}) = \bullet \\ \quad \quad \quad \circlearrowleft \varrho \end{array}$$

i.e., $\exists i : f$ preserves ϱ at i -th place

e-Inv $F := \{ \varrho \in R_A \mid \forall f \in F : f \triangleright_e \varrho \}$ *e-invariant relations*

e-Pol $Q := \{ f \in O_A \mid \forall \varrho \in Q : f \triangleright_e \varrho \}$ *e-polymorphisms*

The Galois connection e-Pol – e-Inv

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Connection between e-Pol and Pol

For $\varrho \in R_A^{(m)}$ let $\widehat{\varrho} := \{\varrho(n) \mid n \in \mathbb{N}_+\}$ where

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$$\text{e.g., } \varrho(2) = \{ (a_1, \dots, a_m, b_1, \dots, b_m) \mid (a_1, \dots, a_m) \in \varrho \text{ or } (b_1, \dots, b_m) \in \varrho \}.$$

Proposition (Characterization of e-Pol Q [Ros75], [Pös75])

Let $f \in O_A^{(n)}$, $\varrho \in R_A^{(m)}$, $Q \subseteq R_A$. Then

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$F \subseteq O_A$ *e-clone* (Galois closed) if $F = \text{e-Pol e-Inv } F$.

Which clones are e-clones?

Trivial observation:

Each clone of essentially unary operations is an e-clone.

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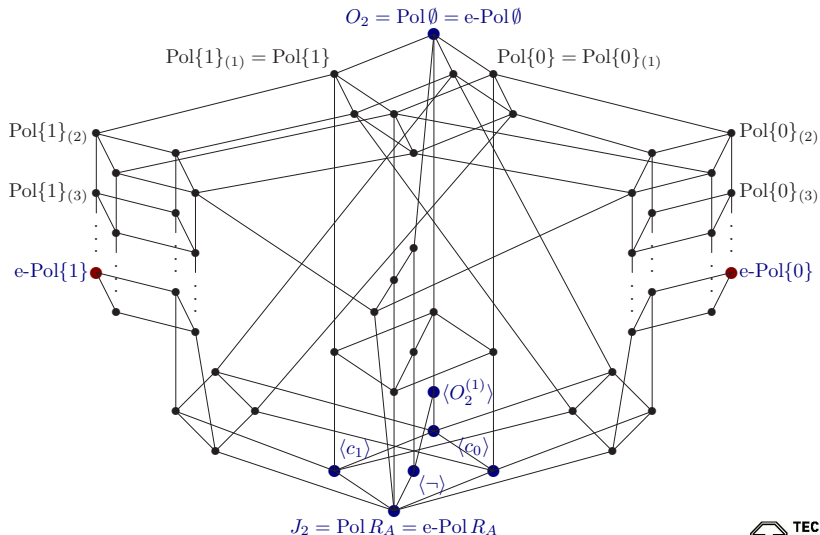
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The 9 e-clones on a 2-element set



Non-finitely related e-clones

If the descending chain

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does not terminate, then $\text{e-Pol } \varrho$ is non-finitely related.

Under which conditions this happens? Partial answer:

Proposition (Pös1975, Satz 15)

Let $\varrho \in R_A^{(1)}$ be a nonempty proper subset of A . Then $()$ is a non-terminating chain. In particular, $\text{e-Pol } \varrho$ is non-finitely related. Moreover, $(*)$ is non-refinable.*

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Some open problems for e-Pol – e-Inv

- Characterize relations $\varrho \in \text{Rel}^{(m)}(A)$ with non-finitely related e-clone e-Pol ϱ .
- For finite A , are there finitely or infinitely many e-clones?
- Find an “inner” characterization of the Galois closures, i.e., of
 - e-Pol e-Inv F ,
 - e-Inv e-Pol Q .
- Study analogously the other Galois connections introduced by *Ivo G. Rosenberg* in his 1975 paper.

*I.G. Rosenberg, Special types of universal algebras preserving a relation, Portugaliae Mathematica 34(1975), 173 – 188.
(communicated as preprint in 1972)*



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Thank you for your ATTENTION!



Answer to Problem 1

Can one express exponentiation

$$f(x, y) := x^y$$

as composition of addition and multiplication

$$g_+(x, y) := x + y, \quad g_*(x, y) := x \cdot y$$

?

$$(x, y \in \mathbb{N}_+)$$

Problem: $f \in \langle g_+, g_* \rangle$?

Answer: No.

Proof: Idea: Find $\varrho \in \text{Rel}(A)$

such that $g_+ \triangleright \varrho$, $g_* \triangleright \varrho$

but not $f \triangleright \varrho$,

because this would contradict
to $f \in \langle g_+, g_* \rangle$

$$\subseteq \text{Pol Inv}\{g_+, g_*\},$$

i.e. $f \triangleright \text{Inv}\{g_+, g_*\}$.

Take $\varrho := \{(x, x') \in \mathbb{N}_+^2 \mid 3 \text{ divides } x - x'\}$

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$$\begin{array}{lcl} f(2, 4) = 2^4 = 16 \\ f(2, 1) = 2^1 = 2 \\ \quad \in \varrho \qquad \quad \notin \varrho \end{array}$$

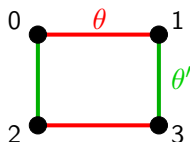
Problem 3

Let

$$A := \{0, 1, 2, 3\}$$

$$G := \{e, g\} := \{(0), (03)(12)\} \leq S_4 \text{ (permutation group)}$$

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θ_0, θ_1 trivial equivalence relations

Does there exist an algebra

$$\mathcal{A} = \langle A, F \rangle$$

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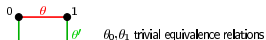
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$$(*) \exists F : G = \text{Aut } F, L = \text{Con } F ?$$

Answer: No.

Proof:

$$(*) \implies F \triangleright Q := \{g^*, \theta, \theta'\}$$

w.l.o.g. $F = \text{Pol } Q$. Then

$$\text{Inv } F = \text{Inv Pol } Q =_{\text{Thm.}} [Q]_{\text{RA}}$$

and we get from (*):

$$G^* = (\text{Aut } F)^* = S_A^* \cap \text{Inv } F = S_A^* \cap [Q]_{\text{RA}}$$

$$L = \text{Con } F = \text{Eq}(A) \cap \text{Inv } F = \text{Eq}(A) \cap [Q]_{\text{RA}}$$

in contradiction to $\exists h^* \in S_A^* \cap [Q]_{\text{RA}} : h \notin G$

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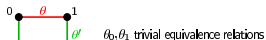
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w.l.o.g. $F = \text{Pol } Q$. Then

$$\text{Inv } F = \text{Inv Pol } Q =_{\text{Thm.}} [Q]_{\text{RA}}$$

and we get from (*):

$$G^* = (\text{Aut } F)^* = S_A^* \cap \text{Inv } F = S_A^* \cap [Q]_{\text{RA}}$$

$$L = \text{Con } F = \text{Eq}(A) \cap \text{Inv } F = \text{Eq}(A) \cap [Q]_{\text{RA}}$$

in contradiction to $\exists h^* \in S_A^* \cap [Q]_{\text{RA}} : h \notin G$

Answer to Problem 3

Answer to the problems

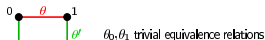
Problem 3

Let

$$A := \{0, 1, 2, 3\}$$

$$G := \{e, g\} := \{(0), (03)(12)\} \leq S_4 \text{ (permutation group)}$$

$$L := \{\theta_0, \theta, \theta', \theta_1\} \text{ (lattice of equivalence relations on } A\text{)}$$

 θ_0, θ_1 trivial equivalence relations

Does there exist an algebra

$$\mathcal{A} = \langle A, F \rangle$$

such that

$$G = \text{Aut } \mathcal{A} \text{ (automorphism group)}$$

$$L = \text{Con } \mathcal{A} \text{ (congruence lattice)}$$

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Problem:

$$(*) \exists F : G = \text{Aut } F, L = \text{Con } F ?$$

Answer: **No.**

Proof:

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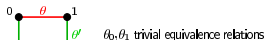
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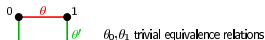
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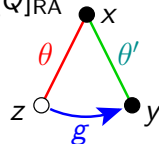
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in contradiction to $\exists h^\bullet \in S_A^\bullet \cap [Q]_{\text{RA}} : h \notin G$ namely $h^\bullet := L_\varphi(\theta, \theta', g^\bullet)$

$$= \{(x, y) \in A^2 \mid \underbrace{\exists z : x\theta z \wedge zg^\bullet y \wedge x\theta' y}_{\varphi}\}$$



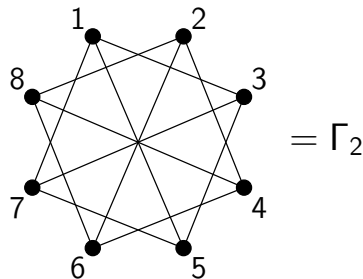
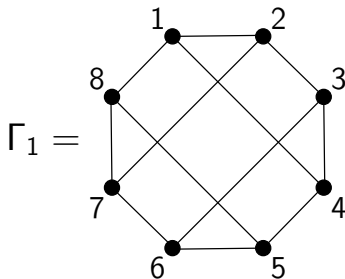
Problem 4

How to recognize whether

$$\text{Aut } \Gamma_1 \subseteq \text{Aut } \Gamma_2 \quad (*)$$

for graphs $\Gamma_1 = (V, E_1)$, $\Gamma_2 = (V, E_2)$?

e.g.



How to construct **all** graphs $\Gamma_2 = (V, E_2)$ satisfying (*) ?

Answer to Problem 4

Some problems THE Galois connection Pol – Inv Cones and algebras The lattice of clones Completeness results An

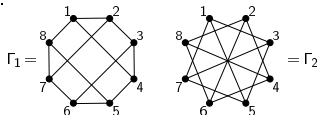
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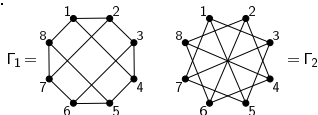
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General answer:

$$\text{Aut } \Gamma_1 = \text{Aut } E_1 \subset \text{Aut } E_2 = \text{Aut } \Gamma_2$$

$$\text{Inv Aut } E_1 \supseteq \text{Inv Aut } E_2$$

$$[E_1]_{\text{KA}} \supseteq [E_2]_{\text{KA}} \text{ (by Theorem)}$$

$$\exists \text{ first order formula } \varphi \in \Phi(\exists, \wedge, \vee, \neg, =) : E_2 = L_\varphi(E_1)$$

Answer to Problem 4

Some problems THE Galois connection Pol – Inv Cones and algebras The lattice of clones Completeness results An

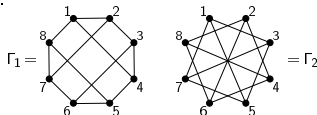
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e.g.



How to construct **all** graphs $\Gamma_2 = (V, E_2)$ satisfying (*)?



General answer:

Concrete answer for example:

YES!

$$E_2 = (E_1 \circ E_1) \setminus \Delta_V, \text{ i.e.}$$

$$E_2 = L_\varphi(E_1) = \{(x, y) \mid \exists z : \underbrace{x E_1 z \wedge z E_1 y \wedge \neg(x=y)}_\varphi\}$$

$$\implies \text{Aut } \Gamma_1 \subseteq \text{Aut } \Gamma_2.$$

$$\text{Aut } \Gamma_1 = \text{Aut } E_1 \subset \text{Aut } E_2 = \text{Aut } \Gamma_2$$

$$\text{Inv Aut } E_1 \supseteq \text{Inv Aut } E_2$$

$$[E_1]_{\text{KA}} \supseteq [E_2]_{\text{KA}} \text{ (by Theorem)}$$

$$\exists \text{ first order formula } \varphi \in \Phi(\exists, \wedge, \vee, \neg, =) : E_2 = L_\varphi(E_1)$$

Computational complexity of CSP (cf. Problem 5)

Theorem (*P. Jeavons* 1998). $\Gamma_1, \Gamma_2 \subseteq \text{Rel}(A)$ finite.

$$\Gamma_1 \subseteq \text{Inv Pol } \Gamma_2 \implies \text{CSP}(\Gamma_1) \text{ can be reduced to } \text{CSP}(\Gamma_2) \text{ in polynomial time.}$$

| | | | | | |
|-----------------------|---------------------------------|---------------------|-----------------------|----------------------|-------|
| Some problems open | THE Galois connection Pol – Inv | Clones and algebras | The lattice of clones | Completeness results | Arity |
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Problem 5

What can be said about the computational complexity of

Constraint Satisfaction Problems (CSP)

Γ set of (finitary) relations on a domain D .

General (algebraic) definition of CSP:

$\text{CSP}(\Gamma) :=$ set of problems of the form

Does there exist a relational homomorphism

$$(V, \Sigma) \rightarrow (D, \Sigma')$$

(between relational systems of the same type) where $\Sigma' \subseteq \Gamma$?

Special CSP:

GRAPH COLORABILITY, GRAPH ISOMORPHISM,
SATISFIABILITY (SAT)

in particular

$$\text{compl}(\text{CSP}(\Gamma)) = \text{compl}(\text{CSP}([\Gamma]_{\text{RA}}))$$

depends **only** on the clone $\text{Pol } \Gamma$.

large $\Gamma \longleftrightarrow$ small $\text{Pol } \Gamma$

