

CHARLES UNIVERSITY PRAGUE

faculty of mathematics and physics



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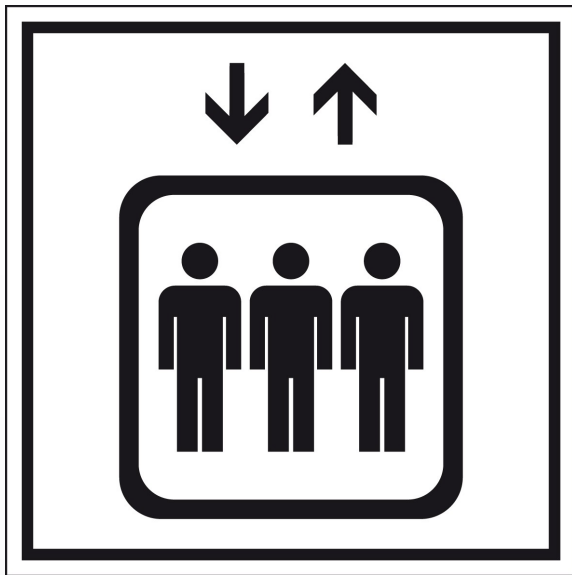
Department of algebra

British Elevator I

Theoretical background

Fall school of algebra 2016

- p -adic numbers
- Formal groups and formal logarithm



Definition

If $0 \neq x \in \mathbb{Z}$, the p -adic valuation of x is

$$v_p(x) = \max\{r : p^r | x\} \geq 0$$

For $a/b \in \mathbb{Q}$, the p -adic valuation of a/b

$$v_p\left(\frac{a}{b}\right) = v_p(a) - v_p(b)$$

We also introduce the convention that $v_p(0) = \infty$.

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Lemma

If $x, y \in \mathbb{Q}$, the v_p has the following properties:

- ① $v_p(x) = \infty$ if and only if $x = 0$;
- ② $v_p(xy) = v_p(x) + v_p(y)$;
- ③ $v_p(x + y) \geq \min\{v_p(x), v_p(y)\}$ with equality if $v_p(x) \neq v_p(y)$.

Definition

For $x \in \mathbb{Q}$, let the p -adic norm of x be given by

$$|x|_p = \begin{cases} p^{-v_p(x)} & \text{if } x \neq 0, \\ p^{-\infty} = 0 & \text{if } x = 0. \end{cases}$$

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Lemma

The function $||_p : \mathbb{Q} \rightarrow \mathbb{R}^+$ has the properties:

- ① $|x|_p = 0$ if and only if $x = 0$;
- ② $|xy|_p = |x|_p |y|_p$;
- ③ $|x + y|_p \leq \max\{|x|_p, |y|_p\}$, with equality if $|x|_p \neq |y|_p$.

Definition

The *distance* between $x, y \in R$ with respect to $||_p$ is

$$d_p(x, y) = |x - y|_p \in \mathbb{R}^+$$

Lemma (The Isosceles Triangle Principle)

Let $x, y, z \in R$ such that $d_p(x, z) \neq d_p(z, y)$. Then

$$d_p(x, y) = \max\{d_p(x, z), d_p(z, y)\}.$$

Definition

The sequence (a_n) *tends to the limit* $a \in R$ with respect to $\|_p$ if

$$\forall \epsilon > 0 \exists M \in \mathbb{N} \text{ such that } n > M \implies |a - a_n|_p = d_p(a, a_n) < \epsilon$$

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Definition

The sequence (a_n) is *Cauchy with respect to* $\|\cdot\|_p$ if

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Example

Sequence (a_n) , where $a_n = 1 + p + p^2 + \dots + p^{n-1}$ is Cauchy and has a limit in \mathbb{Q} with respect to $\|\cdot\|_p$.

Theorem

If $\lim^{(p)} a_n$ exists, then (a_n) is Cauchy with respect to $\| \cdot \|_p$.

Cauchy sequences

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Example

Consider $(a_n) \subseteq \mathbb{Q}$ whose n -th term is the decimal expansion of $\sqrt{2}$ up to the n -th decimal place, i.e., $a_1 = 1.4$, $a_2 = 1.41$, $a_3 = 1.414$, etc.

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A sequence (a_n) is called a *null sequence* if $\lim^{(p)} a_n = 0$.

- $\text{CS}(R)$ = the set of Cauchy sequences in R with respect to $\|_p$,
- $\text{Null}(R)$ = the set of null sequences in R with respect to $\|_p$.

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- $\text{CS}(R)$ = the set of Cauchy sequences in R with respect to $\|_p$,
- $\text{Null}(R)$ = the set of null sequences in R with respect to $\|_p$.
- addition and multiplication in $\text{CS}(R)$

$$(a_n) + (b_n) = (a_n + b_n), \quad (a_n) \times (b_n) = (a_n b_n)$$

Definition

A ring with the norm $\| \cdot \|_p$ is *complete with respect to the norm $\| \cdot \|_p$* if every Cauchy sequence has a limit in R with respect to $\| \cdot \|_p$.

Definition

Quotient ring $CS(R)/\text{Null}(R)$ is called the *completion of R with respect to the norm $\| \cdot \|_p$* , and is denoted \hat{R} .

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Definition

The unit ball about $0 \in \mathbb{Q}_p$ is the set of *p -adic integers*,

$$\mathbb{Z}_p = \{\alpha \in \mathbb{Q}_p : |\alpha|_p \leq 1\} = \{\alpha \in \mathbb{Q}_p : v_p(\alpha) \geq 0\}.$$

Theorem

The set of p -adic integers \mathbb{Z}_p is a subring of \mathbb{Q}_p . Every element of \mathbb{Z}_p is the limit of a sequence of (non-negative) integers and conversely, every Cauchy sequence in \mathbb{Q} consisting of integers has a limit in \mathbb{Z}_p .

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- p -adic expansion of $\alpha \in \mathbb{Z}_p$:

$$\alpha = \alpha_0 + \alpha_1 p + \alpha_2 p^2 + \dots = \dots \alpha_2 \alpha_1 \alpha_0$$

- this expansion is *unique*

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- p -adic expansion of $\alpha \in \mathbb{Q}_p$:
 - Suppose $|\alpha|_p = p^k$, with $k > 0$.
 - Consider $\beta = p^k \alpha$, which has $|\beta|_p = 1$.

$$\beta = \beta_0 + \beta_1 p + \beta_2 p^2 + \dots$$

- Then

$$\alpha = \beta_0 p^{-k} + \beta_1 p^{-k+1} + \dots + \beta_{k-1} p^{-1} + \beta_k + \beta_{k+1} p + \dots + \beta_{k+r} p^r + \dots$$

- Can be written as

$$\alpha = \dots \beta_{k+2} \beta_{k+1} \beta_k, \beta_{k-1} \dots \beta_1 \beta_0$$

Theorem

Every p -adic number $\alpha \in \mathbb{Q}_p$ has a unique p -adic expansion

$$\alpha = \alpha_{-r}p^{-r} + \alpha_{1-r}p^{1-r} + \dots + \alpha_{-1}p^{-1} + \alpha_0 + \alpha_1p + \alpha_2p^2 + \dots$$

with $a_n \in \mathbb{Z}$ and $0 \leq \alpha_n \leq (p-1)$. Furthermore, $\alpha \in \mathbb{Z}_p$ if and only if $\alpha_{-r} = 0$ whenever $r > 0$.

- Operations same as in p -adic representation of naturals.

Theorem

Let $f(X) \in \mathbb{Z}_p[X]$ be a polynomial and let $\alpha \in \mathbb{Z}_p$ be a p -adic number for which

$$|f(\alpha)|_p < 1, |f'(\alpha)|_p = 1.$$

Define a sequence in \mathbb{Q}_p by setting $\alpha_0 = \alpha$ and in general

$$\alpha_{n+1} = \alpha_n - \frac{f(\alpha_n)}{f'(\alpha_n)}.$$

Then each α_n is in \mathbb{Z}_p and moreover

$$|f(\alpha_n)|_p < \frac{1}{p^n}.$$

Hence the sequence (α_n) is Cauchy with respect to $\|\cdot\|_p$ and

$$f(\lim_{n \rightarrow \infty}^{(p)} \alpha_n) = 0.$$



Definition (Formal group)

A (one-dimensional) *formal group* \mathcal{F} over a commutative ring R is a power series $F(X, Y) \in R[[X, Y]]$, such that

- ① $F(X, Y) = X + Y + \text{terms of higher degree}$
- ② $F(X, F(Y, Z)) = F(F(X, Y), Z)$ (associativity)
- ③ $F(X, Y) = F(Y, X)$ (commutativity)
- ④ $\exists!$ power series $i(T) \in R[[T]]$, such that $F(T, i(T)) = 0$ (inverse)
- ⑤ $F(X, 0) = X$ and $F(0, Y) = Y$

We call $F(X, Y)$ the *formal group law*.

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Definition

The *formal additive group*, denoted by $\hat{\mathbb{G}}_a$, is defined by

$$F(X, Y) = X + Y.$$

The *formal multiplicative group*, denoted by $\hat{\mathbb{G}}_m$, is defined by

$$F(X, Y) = X + Y + XY.$$

Definition

Let (\mathcal{F}, F) and (\mathcal{G}, G) be formal groups defined over R . A *homomorphism from \mathcal{F} to \mathcal{G} defined over R* is a power series $f \in R[[T]]$ that satisfies

$$f(F(X, Y)) = G(f(X), f(Y)).$$

The formal groups \mathcal{F} and \mathcal{G} are *isomorphic over R* if there are homomorphisms $f : \mathcal{F} \rightarrow \mathcal{G}$ and $g : \mathcal{G} \rightarrow \mathcal{F}$ defined over R such that

$$f(g(T)) = g(f(T)) = T.$$

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Definition

Let R be a complete local ring with maximal ideal \mathcal{M} and \mathcal{F} a formal group defined over R , with formal group law $F(X, Y)$. The *group associated to \mathcal{F}/R* , denoted by $\mathcal{F}(\mathcal{M})$, is the set \mathcal{M} endowed with the group operations.

$$\begin{aligned} x \oplus_{\mathcal{F}} y &= F(x, y) \quad (\text{addition}) \quad \text{for } x, y \in \mathcal{M}, \\ \ominus_{\mathcal{F}} x &= i(x) \quad (\text{inversion}) \quad \text{for } x \in \mathcal{M}. \end{aligned}$$

Lemma

Let \mathcal{F}/R be a formal group defined over a complete local ring with maximal ideal \mathcal{M} . Then for each $n \geq 1$, the map

$$\frac{\mathcal{F}(\mathcal{M}^n)}{\mathcal{F}(\mathcal{M}^{n+1})} \rightarrow \frac{\mathcal{M}^n}{\mathcal{M}^{n+1}}$$

induced by identity map $x + \mathcal{M}^{n+1} \mapsto x + \mathcal{M}^{n+1}$ on sets is an isomorphism of groups.

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For any $x, y \in \mathcal{M}^n$ we have

$$\begin{aligned} x \oplus_{\mathcal{F}} y &= F(x, y) \\ &= x + y + (\text{higher-order terms}) \\ &= x + y \pmod{\mathcal{M}^{2n}}. \quad \square \end{aligned}$$

Definition

An *invariant differential* on a formal group \mathcal{F}/R is a differential form

$$\omega(T) = P(T)dT \in R[[T]]dT$$

satisfying

$$\omega \circ F(T, S) = \omega(T).$$

Writing this out, $\omega(T) = P(T)dT$ is an invariant differential if it satisfies

$$P(F(T, S))F_X(T, S) = P(T),$$

where $F_X(T, S)$ is the partial derivative of F with respect to its first variable. An invariant differential is said to be *normalized* if $P(0) = 1$.

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- On the additive group $\hat{\mathbb{G}}_a$, the differential $\omega = dT$ is invariant.
- On the multiplicative group $\hat{\mathbb{G}}_m$, the differential $\omega = \frac{dT}{1+T}$ is invariant.

Theorem

Let \mathcal{F}/R be a formal group. There exists a unique normalized invariant differential on \mathcal{F}/R . It is given by the formula

$$\omega = F_X(0, T)^{-1} dT.$$

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Suppose that $P(T)dT$ is an invariant differential on \mathcal{F}/R . Put $T = 0$. Then

$$P(S)F_X(0, S) = P(0).$$

From this we see that every invariant differential is of the form $a\omega$ with $a \in R$ and $\omega = F_X(0, T)^{-1} dT$.

Definition (Formal logarithm)

Let R be a torsion-free ring, let $K = R \otimes \mathbb{Q}$, let \mathcal{F}/R be a formal group, and let

$$\omega(T) = (1 + c_1 T + c_2 T^2 + \dots) dT$$

be the normalized invariant differential on \mathcal{F}/R . The *formal logarithm* of \mathcal{F}/R is the power series

$$\log_{\mathcal{F}}(T) = \int \omega(T) = T + \frac{c_1}{2} T^2 + \frac{c_2}{3} T^3 + \dots \in K[[T]].$$

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The formal group law and invariant differential of the formal multiplicative group $\mathcal{F} = \hat{\mathbb{G}}_m$ are

$$F_{\mathcal{F}}(X, Y) = X + Y + XY \quad \text{and} \quad \omega_{\mathcal{F}}(T) = (1 + T)^{-1} dT.$$

Theorem

Let R be a torsion-free ring and let \mathcal{F}/R be a formal group. Then

$$\log_{\mathcal{F}} : \mathcal{F} \rightarrow \hat{\mathbb{G}}_a$$

is an isomorphism of formal groups over $K = R \otimes \mathbb{Q}$.

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is an isomorphism of formal groups over $K = R \otimes \mathbb{Q}$.

Let $\omega(T)$ be the normalized invariant differential on \mathcal{F}/R , so

$$\omega(F(T, S)) = \omega(T).$$

Integrating both sides with respect to T gives

$$\log_{\mathcal{F}} F(T, S) = \log_{\mathcal{F}}(T) + C(S)$$

for some constant of integration $C(S) \in K[[S]]$. Taking $T = 0$ shows that $C(S) = \log_{\mathcal{F}}(S)$. □

Lemma

Let R be a ring of characteristic 0 that is complete with respect to a discrete valuation v , and let $p \in \mathbb{Z}$ be a prime with $v(p) > 0$. Let $f(T)$ be a power series of the form

$$f(T) = \sum_{n=1}^{\infty} \frac{a_n}{n} T^n \text{ with } a_n \in R.$$

If $x \in R$ satisfies $v(x) > 0$, then $f(x)$ converges in R .

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If $x \in R$ satisfies $v(x) > 0$, then $f(x)$ converges in R .

For a general term of $f(x)$ we have

$$\begin{aligned} v(a_n x^n / n) &\geq nv(x) - v(n) \\ &\geq nv(x) - (\log_p n)v(p). \end{aligned}$$

That means sequence $(\frac{a_n}{n} x^n)$ is a null sequence, which means sequence (s_n) is Cauchy, where

$$s_n = \sum_{m=0}^n \frac{a_m}{m} x^m.$$

Therefore $f(x)$ converges.



Theorem

Let K be a field of characteristic 0 that is complete with respect to a normalized discrete valuation v , i.e., $v(K^*) = \mathbb{Z}$, let R be the valuation ring of K , let \mathcal{M} be the maximal ideal of R , and let p be a prime with $v(p) > 0$. Consider a formal group \mathcal{F}/R . Then the formal logarithm induces injective homomorphism

$$\log_{\mathcal{F}} : \mathcal{F}(\mathcal{M}) \rightarrow K,$$

where the group law on K is addition.

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where the group law on K is addition.

We already know that

$$\log_{\mathcal{F}}(F(X, Y)) = \log_{\mathcal{F}}(X) + \log_{\mathcal{F}}(Y)$$

Hence $\log_{\mathcal{F}}$ will be a homomorphism on \mathcal{M} provided that $\log_{\mathcal{F}}(x)$ converges for $x \in \mathcal{M}$. But $\log_{\mathcal{F}}(m)$ converges for every $m \in \mathcal{M}$ from previous theorem. □

Corollary

There is an injective homomorphism

$$\log_{\hat{E}} : \hat{E}(p\mathbb{Z}_p) \hookrightarrow \mathbb{Q}_p$$

where $\hat{E}(p\mathbb{Z}_p)$ is group associated to formal group E/\mathbb{Q}_p given by formal group law for elliptic curves.

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Corollary

$$\hat{E}(p\mathbb{Z}_p) \cong p\mathbb{Z}_p$$