

KOLMOGOROV  
COMPLEXITY

1.4.2019

MARTIN RAŠKA

# INTRODUCTION

HOW TO MEASURE COMPLEXITY  
OF OBJECTS ?

OBJECTS  $\rightsquigarrow$  STRINGS  $\rightsquigarrow \{0,1\}^*$

S: 0101010101010101010101

T: 11010001011101001011

## INTRODUCTION

HOW TO MEASURE COMPLEXITY ?

- BY THE LENGTH OF THE SHORTEST DESCRIPTION.

$S = \text{"01" 10-TIMES}$

$T = ?$

COMPLEXITY  $\approx$  COMPRESSIBILITY

## INTRODUCTION

DOES THERE EXIST AN OPTIMAL  
DESCRIPTION LANGUAGE ?

CONSIDER DESCRIPTION LANGUAGE  $U$  WHERE  
STRINGS ARE DESCRIBED BY (SUITABLE CODING OF)  
TURING MACHINES WHICH COMPUTES THEM.

→ DECODING IS COMPUTED BY UNIVERSAL TURING  
MACHINE



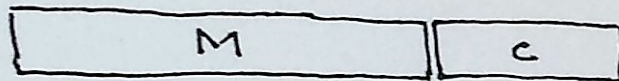
## INTRODUCTION

### OPTIMALITY OF $U$ :

CONSIDER ANY OTHER LANGUAGE  $D$   
DECODING FUNCTION FOR  $D$  IS COMPUTABLE  
BY TURING MACHINE  $M$

FOR STRING  $S$ , LET  $C$  BE THE SHORTEST  
DESCRIPTION OF  $S$  IN  $D$ .

THEN WE OBTAIN DESCRIPTION OF  $S$  IN  $U$



## INTRODUCTION

DENOTING RESPECTIVE KOLMOGOROV  
COMPLEXITIES  $K_U(s)$ ,  $K_D(s)$  FOR  $U, D$

WE OBTAIN

$$K_U(s) \leq |M| + |c| = |M| + K_D(s)$$

# INTRODUCTION

~~~~~> INVARIANT THEOREM :

TWO TURING COMPLETE DESCRIPTION  
LANGUAGES  $U, V$  ARE EQUALLY EFFICIENT

I.E.  $\exists m \in \mathbb{N} :$

$$\forall s \in \{0,1\}^* : |K_U(s) - K_V(s)| \leq m$$



## INTRODUCTION

CALL STRING  $s$

- COMPRESSIBLE, IF

$$K(s) \ll |s|$$

- INCOMPRESSIBLE, OTHERWISE



## INTRODUCTION

HOW MANY (IN)COMPRESSIBLE  
STRINGS THERE ARE?

MORE CONCRETELY: HOW MANY OF STRINGS OF  
LENGTH  $m$  ARE COMPRESSIBLE BY 4?

- AT MOST AS MANY AS PROGRAMS OF  
LENGTH  $\leq m-4$

$$\leq 1 + 2 + 2^2 + \dots + 2^{m-4} = 2^{m-3} - 1$$

## INTRODUCTION

AT MOST  $2^{m-3}$  OUT OF  $2^m$  STRINGS  
OF LENGTH  $m$  ARE COMPRESSIBLE BY 4

→ PROBABILITY THAT RANDOMLY CHOSEN  
STRING IS COMPRESSIBLE BY 4 IS

$$\leq \frac{2^{m-3}}{2^m} = 2^{-3} = \frac{1}{8}$$

→ MOST OF THE STRINGS ARE COMPLEX

## INTRODUCTION

HOW TO DETERMINE KOLMOGOROV  
COMPLEXITY  $K(s)$  FOR PARTICULAR STRING?



# INTRODUCTION

UPPER BOUNDS FOR  $K(s)$ :

SUFFICES TO FIND A PROGRAM  $P$   
THAT OUTPUTS  $s$

$$\leadsto K(s) \leq |P|$$

TRIVIAL APPROACH:

PRINT " LITERAL NOTATION OF  $s$  "

$$\leadsto K(s) \leq |s| + 8$$

# INTRODUCTION

## LOWER BOUNDS FOR $K(s)$ :

NAIVE APPROACH:

GO THROUGH ALL PROGRAMS  
OF LENGTH  $< m$

IF NO ONE OUTPUTS  $s$ , THEN

$$K(s) \geq m$$

~> HALTING PROBLEM

## INTRODUCTION

IT TURNS OUT  
THAT WE ARE UNABLE TO DETERMINE  $K(s)$   
FOR ALMOST ALL STRINGS  $s$



## CHAITIN'S INCOMPLETENESS THEOREM

THERE EXISTS A CONSTANT  $L$  SUCH THAT  
FOR NO STRING OF BITS  $s$  WE CAN PROVE  
 $K(s) > L$ .

## CHAITIN'S INCOMPLETENESS THEOREM

WHAT DOES IT MEAN "TO PROVE  $K(s) > L$ "?

CHOOSE YOUR FAVOURITE FORMAL THEORY  $T$   
STRONG ENOUGH TO TALK ABOUT KOLMOGOROV  
COMPLEXITY

→ ZFC, PEANO ARITHMETICS, ...

# CHAITIN'S INCOMPLETENESS THEOREM

16

WHAT IS THEORY ?

- LANGUAGE = FUNCTION AND RELATION SYMBOLS
- SET OF AXIOMS (= FORMULAS) IN THAT LANGUAGE

PROOF OF FORMULA  $A$  IN THEORY  $T$

= SEQUENCE OF FORMULAS

$$B_0, B_1, \dots, B_m = A$$

SUCH THAT ...



## CHAITIN'S INCOMPLETENESS THEOREM

IN ADDITION, WE REQUIRE THAT WE CAN  
VERIFY IN SOME MECHANICAL WAY, WHETHER  
GIVEN FORMULA IS AXIOM OF THE THEORY

## CHAITIN'S INCOMPLETENESS THEOREM

WHAT DOES IT MEAN THAT

"THEORY  $T$  CAN TALK ABOUT KOLMOGOROV COMPLEXITY" ?

- FOR GIVEN STRING  $s$  AND  $m \in \mathbb{N}$ , WE CAN EXPRESS THE CONDITION " $K(s) > m$ " BY FORMULA  $A_{s,m}$  SO THAT

IF  $T$  PROVES  $A_{s,m}$ , THEN  $K(s) > m$

- WE ALSO REQUIRE FORMULAS  $A_{s,m}$  TO BE RECOGNIZABLE IN MECHANICAL WAY

## CHAITIN'S INCOMPLETENESS THEOREM

BACK TO THE THEOREM ...

THERE EXISTS A CONSTANT  $L$  SUCH THAT  
FOR NO STRING  $s$  WE CAN PROVE

$$K(s) > L.$$

- WE FIXED THEORY  $T$
- WE HAVE FORMULAS  $A_{s,m}$  SAYING " $K(s) > m$ "



# CHAITIN'S INCOMPLETENESS THEOREM

IDEA OF THE PROOF:

FIRST NOTE THE FOLLOWING...

IF  $P$  IS A PROGRAM WHICH FOR INPUT  $m \in \mathbb{N}$   
OUTPUTS STRING  $s_m$ , THEN

$$K(s_m) \leq |P| + \log_2 m$$

## CHAITIN'S INCOMPLETENESS THEOREM

IDEA OF THE PROOF :

CONSIDER A PROGRAM  $P$  WHICH FOR INPUT  $m \in \mathbb{N}$  WILL GO THROUGH ALL PROOFS IN THE THEORY  $T$  LOOKING FOR A PROOF OF  $A_{s,m}$  FOR SOME  $s$ .

IF SUCH PROOF IS FOUND, PROGRAM OUTPUTS THE STRING  $s$ .

## CHAITIN'S INCOMPLETENESS THEOREM

RUNNING THE PROGRAM  $P$  ON INPUT  $m$ ,  
THERE ARE TWO CASES :

THERE IS NO PROOF OF ANY FORMULA  $A_{s,m}$ ,  
SO THE PROGRAM WILL RUN FOREVER

OR, THERE IS A STRING  $s$  FOR WHICH  
THE PROOF OF  $A_{s,m}$  EXISTS; THEN  $P$   
OUTPUTS SUCH STRING  $s$

IN THE SECOND CASE, WE HAVE  $K(s) \leq |P| + \log_2 m$ ,  
BUT ALSO  $K(s) > m$ , BECAUSE  $T$  PROVES IT.



## CHAITIN'S INCOMPLETENESS THEOREM

PUTTING THESE INEQUALITIES TOGETHER,  
WE OBTAIN

$$m < K(s) \leq |P| + \log_2 m$$

$$m < |P| + \log_2 m$$

IF WE CHOOSE CONSTANT  $L$  LARGE ENOUGH  
SO THAT

$$L \geq |P| + \log_2 L,$$

THE SECOND CASE CAN'T OCCUR,

## CHAITIN'S INCOMPLETENESS THEOREM

THAT IS, FOR SUCH  $L$  PROGRAM WILL NEVER STOP, BECAUSE THERE IS NO PROOF OF  $A_{s,L}$  FOR ANY STRING  $s$

IN OTHER WORDS, WE CAN'T PROVE

$$K(s) > L$$

FOR ANY STRING  $s$ .

## CHAITIN'S INCOMPLETENESS THEOREM

REMAINS TO SHOW THAT SUCH PROGRAM P  
REALLY EXISTS ...

```
PROGRAM P (m: INTEGER);  
  FOR i := 1 TO INFINITY DO  
    FOR EACH STRING d OF LENGTH i DO  
      IF d IS PROOF OF FORMULA  $A_{s,m}$  THEN  
        RETURN s;
```

WE ARE ABLE TO VERIFY BY COMPUTER WHETHER  
GIVEN STRING IS FORMULA, AXIOM OF T, PROOF IN T,  
FORMULA  $A_{s,m}$  AND FINALLY PROOF OF  $A_{s,m}$  IN T



# CHAITIN'S INCOMPLETENESS THEOREM

(COUNTER)EXAMPLE :

CONSIDER THE THEORY

$$T' := \text{ZFC} + \{A_{s|m} ; K(s) > m\}$$

FOR ALL  $L$ , THERE EXISTS STRING  $S$   
 SUCH THAT  $K(S) > L$ , THEREFORE  $A_{s,L} \in T'$ ,  
 IN PARTICULAR  $A_{s,L}$  IS PROVABLE IN  $T'$

IS IT AGAINST THE STATEMENT OF THE THEOREM?