

# Curvature measures of singular sets

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- I Sets with positive reach and curvatures
- II Normal cycles - curvature measures as currents
- III Extensions of normal cycles, sets defined by means of DC functions

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# I. Sets with positive reach and curvatures

1. Curvatures of sets with smooth boundaries
2. Curvatures of convex bodies
3. Sets with positive reach and Steiner formula
4. Basic formulas of integral geometry
5. Positive reach and semiconvexity
6. Geometry of sets with positive reach

# Curvatures of sets with smooth boundaries

$X \subset \mathbb{R}^d$  - compact  $C^2$ -domain (locally epigraph of a  $C^2$ -function)

$\nu : \partial X \rightarrow S^{d-1}$  - Gauss map

$(\nu(x))$  - unit outer normal vector to  $X$  at  $x$

$D\nu(x) : \text{Tan}(\partial X, x) \cong \nu(x)^\perp \rightarrow \text{Tan}(S^{d-1}, \nu(x)) \cong \nu(x)^\perp$

- Weingarten mapping, selfadjoint

$\kappa_1(x), \dots, \kappa_{d-1}(x)$  - eigenvalues - **principal curvatures**

$b_1(x), \dots, b_{d-1}(x)$  - eigenvectors - **principal directions**

$S_k(x) = \sum_{|I|=d-1-k} \prod_{i \in I} \kappa_i(x)$  - symmetric functions of principal curvatures

$\mathbf{C}_k(X) = \frac{1}{(d-k)\omega_{d-k}} \int_{\partial X} S_k(x) \mathcal{H}^{d-1}(dx)$  - total curvature integrals

$\omega_j := \pi^{j/2} / \Gamma(1 + \frac{j}{2})$

▶  $\mathbf{C}_{d-1}(X) = \frac{1}{2} \mathcal{H}^{d-1}(\partial X)$

▶  $\mathbf{C}_0(X) = \chi(X)$  (Gauss-Bonnet formula)

# Curvatures of convex bodies

$K \subset \mathbb{R}^d$  convex, compact

$K_r := K + rB$  - parallel  $r$ -neighbourhood ( $r \geq 0$ ,  $B = B(0, 1)$ )

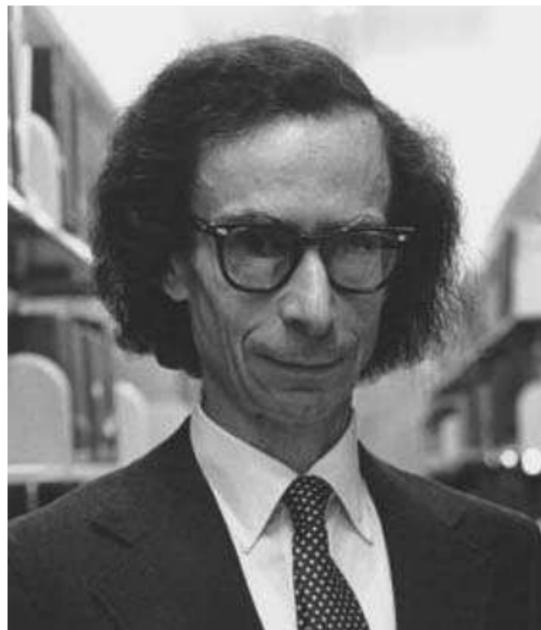
Steiner formula:

$$\mathcal{L}^d(K_r) = \sum_{k=0}^d \omega_k r^k V_{d-k}(K)$$

$V_k(\cdot)$  - intrinsic volumes - can be interpreted as “quermassintegrals”:

$$V_k(K) = \binom{d}{k} \frac{\omega_d}{\omega_k \omega_{d-k}} \int_{G(d,k)} \mathcal{L}^k(\pi_L K) \nu_k^d(dL)$$

►  $K$   $C^2$ -smooth  $\implies V_k(K) = C_k(K)$



Herbert Federer (1920-2010)

## Definition

$\text{reach } X := \sup\{r \geq 0 : \text{each } y \text{ with } \text{dist}(y, X) \leq r \text{ has its unique footpoint } \Pi_X(y) \in X\}$ .

- ▶ curvature measures can be defined by means of a local Steiner formula
- ▶ curvature measures satisfy the Gauss-Bonnet and Principal Kinematic Formulas
- ▶ extension of both closed convex sets and sets with  $C^2$  smooth boundary

## H. Federer, Curvature measures, 1959 (from the Introduction)

“The results of the theory of area have greatly contributed to the understanding of first order tangential properties of point sets, and one can hope for similar success in dealing with **second order differential geometric concepts such as curvature...**”

“Neither the definition of the curvature measures nor the statement of any important theorem about them may contain explicit assumptions of differentiability, because arbitrary **convex sets** are to be admissible objects.”

“Of course, in order to be worth while, such a theory must contain natural generalizations of the **Principal kinematic formula** and of the **Gauss-Bonnet Theorem.**”

“This problem presents a timely challenge to a worker in modern **real function theory**, which was originally created in large part for the study of geometric questions.”

# Unit normal bundle

$0 < r < \text{reach } X$

$d_X(\cdot) := \text{dist}(\cdot, X)$  is differentiable on  $X_r \setminus X$ , with gradient

$$\nabla d_X(z) = \frac{z - \Pi_X(z)}{d_X(z)}$$

$f : X_r \setminus X \rightarrow \partial X \times S^{d-1}$

$$z \mapsto \left( \Pi_X(z), \frac{z - \Pi_X(z)}{d_X(z)} \right)$$

- locally Lipschitz mapping

$\partial X_s$  is a  $C^{1,1}$  surface ( $0 < s < \text{reach } X$ ) (Implicit function theorem)

$\text{nor } X := \text{im } f$  - unit normal bundle of  $X$

$$f^{(s)} := f|_{\partial X_s} : \partial X_s \rightarrow \text{nor } X$$

bi-Lipschitz mapping

## Unit normal bundle - cont.

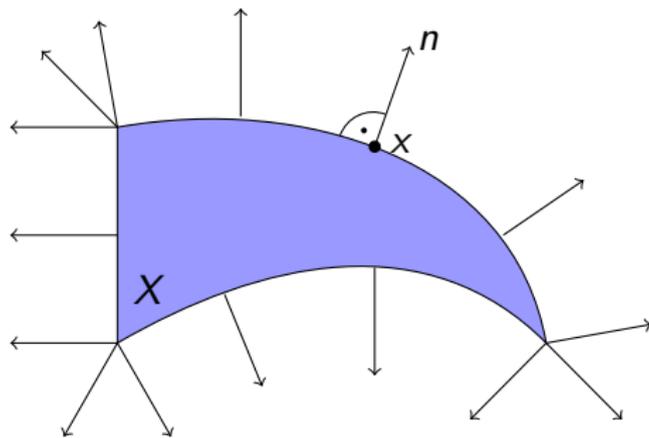


Figure: A set  $X \subset \mathbb{R}^2$  with positive reach and its unit normal bundle.

# Principal curvatures

$\partial X_s$  is  $C^{1,1}$ ; by Rademacher's theorem it is " $C^2$  almost everywhere"

$z \in \partial X_s$ ,  $f(z) =: (x, n) \in \text{nor } X$

$\kappa_i^{(s)}(x, n)$ ,  $b_i^{(s)}(x, n)$ ,  $i = 1, \dots, d-1$  - principal curvatures and directions of  $\partial X_s$  at  $z$

$$\kappa_i(x, n) := \frac{\kappa_i^{(s)}(x, n)}{1 - s\kappa_i^{(s)}(x, n)} = \lim_{s \rightarrow 0^+} \kappa_i^{(s)}(x, n) \in [-\text{reach } X, \infty],$$

$$b_i(x, n) := b_i^{(s)}(x, n), \quad i = 1, \dots, d-1$$

- independent of  $s$
- **principal curvatures and directions** of  $X$  at  $(x, n) \in \text{nor } X$

We will always assume that  $\{b_1, \dots, b_{d-1}, n\}$  is positively oriented

# Steiner formula

Jacobian of  $f : X_r \setminus X \rightarrow \text{nor } X$ :

$$J_{d-1}f(z) = \prod_{i=1}^{d-1} \frac{\sqrt{1 + \kappa_i(x, n)^2}}{1 + s\kappa_i(x, n)}, \quad (x, n) = f(z), s = d_X(z)$$

$$(J_{d-1}f(z))^{-1} = \sum_{k=0}^{d-1} S_k(X; x, n) s^{d-1-k},$$

$$S_k(X; x, n) := \sum_{|I|=d-1-k} \frac{\prod_{i \in I} \kappa_i(x, n)}{\prod_{i=1}^{d-1} \sqrt{1 + \kappa_i(x, n)^2}}$$

Co-area formula for  $f$  implies

$$\mathcal{L}(X_r \setminus X) = \sum_{k=0}^{d-1} \frac{r^{d-k}}{d-k} \int_{\text{nor } X} S_k(X; x, n) \mathcal{H}^{d-1}(d(x, n))$$

Theorem (Steiner formula for sets with positive reach)

$X \subset \mathbb{R}^d$  compact,  $\text{reach } X > 0 \implies$

$$\mathcal{L}^d(X_r \setminus X) = \sum_{k=0}^{d-1} \omega_{d-k} r^{d-k} \mathbf{C}_k(X), \quad 0 < r < \text{reach } X,$$

with

$$\mathbf{C}_k(X) := \frac{1}{(d-k)\omega_{d-k}} \int_{\text{nor } X} S_k(X; x, n) \mathcal{H}^{d-1}(d(x, n)).$$

(local version - curvature measures  $C_k(X, \cdot)$ )

# Gauss-Bonnet formula

## Theorem

$X \subset \mathbb{R}^d$  compact,  $\text{reach } X > 0 \implies$

$$\mathbf{C}_0(X) = \chi(X).$$

## Proof.

Let  $0 < r < \text{reach } X$ .

- ▶ the mapping  $H : (z, t) \mapsto (1 - t)z + z\Pi_X(z)$  defines a homotopy of  $X_r$  onto  $X$ . Hence,  $\chi(X_r) = \chi(X)$ .
- ▶  $X_r$  is a " $C^{1,1}$ -domain" for which the Gauss-Bonnet formula holds:  $\mathbf{C}_0(X_r) = \chi(X_r)$ .
- ▶  $\mathbf{C}_0(X) = \lim_{r \rightarrow 0^+} \mathbf{C}_0(X_r)$  (from the Steiner formula).



# Principal kinematic formulas

Set  $\mathbf{C}_d(X) = \mathcal{L}^d(X)$ . Let  $\mathcal{G}_d$  be the group of all isometries in  $\mathbb{R}^d$  with normalized invariant measure  $dg$ .

## Theorem

Let  $X, Y \subset \mathbb{R}^d$  be two compact sets with positive reach. Then for any  $0 \leq k \leq d$ ,

$$\int_{\mathcal{G}_d} \mathbf{C}_k(X \cap gY) dg = \sum_{r+s=k+d} c_{d,r,s} \mathbf{C}_r(X) \mathbf{C}_s(Y).$$

## Remark

$\text{reach } X > 0, \text{ reach } Y > 0 \not\Rightarrow \text{reach}(X \cap Y) > 0!!$  But  
 $\text{reach}(X \cap gY) > 0$  for a.a.  $g \in \mathcal{G}_d$ .

## Definition

$f : U \subset \mathbb{R}^d \rightarrow \mathbb{R}$  is **semiconvex** if  $f(x) = g(x) - c\|x\|^2$  for some  $g$  convex and  $c \geq 0$ .

$\text{epi } f := \{(x, t) : t \geq f(x)\}$  (epigraph of  $f$ )

## Theorem (Fu, 1985)

$f : \mathbb{R}^{d-1} \rightarrow \mathbb{R}$  locally Lipschitz. Then

$$\text{reach}(\text{epi } f) > 0 \iff f \text{ is semiconvex.}$$

## Theorem (Federer, 1959; R&Zajíček, 2017)

If  $X \subset \mathbb{R}^d$  is a Lipschitz manifold of dimension  $1 \leq k \leq d - 1$  and  $\text{reach } X > 0$  then  $X$  is a  $C^{1,1}$  manifold.

**Lytchak (2005)** showed that it is enough to assume that  $X$  is a topological manifold.

## Theorem (R&Zajíček, 2017)

*The boundary of a set with positive reach can be locally covered by a finite number of semiconvex graphs.*

## Definition

$c \in f(\mathbb{R}^d)$  is a *weakly regular value* of a locally Lipschitz function  $f : \mathbb{R}^d \rightarrow \mathbb{R}$  if  $\liminf_{i \rightarrow \infty} |v_i| > 0$  whenever  $v_i \in \partial f(x_i)$ ,  $x_i \rightarrow x$  and  $f(x_i) > 0 = f(x)$ .

## Theorem (Kleinjohann 1981, Bangert 1982)

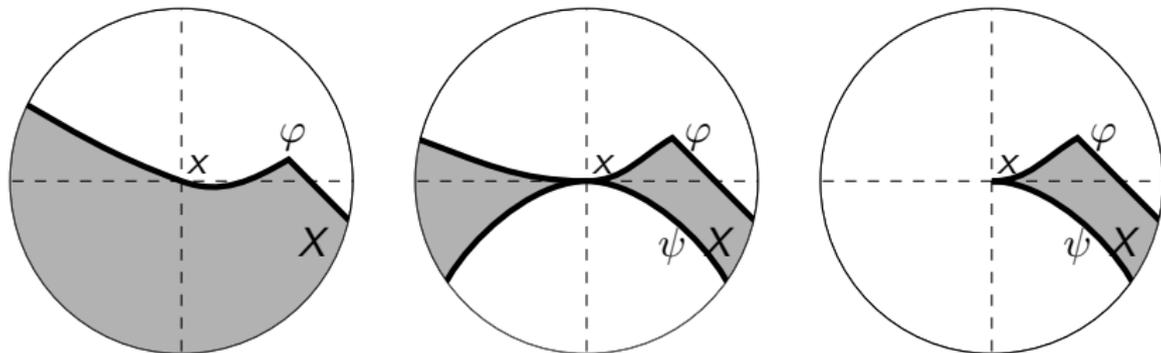
$X \subset \mathbb{R}^d$  has locally positive reach iff  $X$  is a weakly regular sublevel set of a semiconvex function (i.e.,  $X = f^{-1}((-\infty, c])$ ) for  $f : \mathbb{R}^d \rightarrow \mathbb{R}$  semiconvex,  $c$  weakly regular value of  $f$ ).

# Geometry of sets with positive reach

Characterization in the plane:

**Theorem (RZ 2017)**

*A closed set  $X \subset \mathbb{R}^2$  has locally positive reach iff each  $x \in X$  is either an isolated point, or an interior point, or one of the following three situations occurs ( $\varphi$  semiconcave,  $\psi$  semiconvex):*



In higher dimension - more complicated

## Theorem (Lytchak 2005)

Let  $X \subset \mathbb{R}^d$  be compact. Then  $\text{reach } X > 0$  iff  $\exists r, L > 0$  such that any two points  $x, y \in X$  of distance less than  $r$  can be connected in  $X$  by a  $C^{1,1}$ -curve of length less than  $L|y - x|$ .

True even in Riemannian manifolds.

## Question

Are  $\mathbf{C}_k(X)$  intrinsic characteristics (considering  $X$  as a length space)?

## II. Normal cycles - curvature measures as currents

1. Motivation, history
2. Multilinear algebra, differential forms
3. Currents, rectifiable currents
4. Normal cycles for sets with positive reach
5. Properties of normal cycles

# Why currents?

Curentns - generalized (oriented) manifolds

$M_k$  - oriented  $k$ -manifold

$\phi \mapsto \int_{M_k} \phi$  - linear form on  $k$ -forms -  $k$ -current

**Plató's minimal problem:** Given a simple closed curve  $\gamma$  in  $\mathbb{R}^3$ , find a surface with boundary  $\gamma$  of minimal surface area.

- ▶ Not always solvable by classical means
- ▶ Solvable in the setting of currents (uses compactness of integral currents of bounded mass)

**Idea:** Use currents to represent curvature measures and extend curvature measures via approximations.

## M. Zähle: Integral and current representations... (1986)



“The first order (local) integral geometric behaviour of rectifiable sets is characterized by Hausdorff type measures or certain variants. Here Federer’s co-area theorem as a counterpart to Hausdorff’s area formula plays a fundamental role. It has been applied for the last years in many other fields of mathematics. ”

“Although curvature measures describe second order properties of the sets, the “first order theory” suffices for deriving integral geometric relations. The key is to consider the unit normal bundle of the sets as a locally  $(d - 1)$ -rectifiable subset of  $\mathbb{R}^{2d}$  and to observe that the first order infinitesimal behaviour of the unit normal bundle determines the curvature measures.”

$\bigwedge_k \mathbb{R}^d, \bigwedge^k \mathbb{R}^d$  - space of  $k$ -vectors,  $k$ -covectors in  $\mathbb{R}^d$  - linear spaces of dimension  $\binom{d}{k}$

Wedge product (antisymmetric):  $\xi \wedge \zeta = -\zeta \wedge \xi$

basis of  $\bigwedge_k \mathbb{R}^d$ :  $\{e_{i_1} \wedge \cdots \wedge e_{i_k}, 1 \leq i_1 < \cdots < i_k \leq d\}$

basis of  $\bigwedge^k \mathbb{R}^d$ :  $\{dx_{i_1} \wedge \cdots \wedge dx_{i_k}, 1 \leq i_1 < \cdots < i_k \leq d\}$

$\Omega_d := dx_1 \wedge \cdots \wedge dx_d \in \bigwedge_d \mathbb{R}^d$  - volume form in  $\mathbb{R}^d$

Pairing:  $\langle \xi, \phi \rangle \in \mathbb{R}, \xi \in \bigwedge_k \mathbb{R}^d, \phi \in \bigwedge^k \mathbb{R}^d$

Interior products:  $\xi \in \bigwedge_k \mathbb{R}^d, \alpha \in \bigwedge^m \mathbb{R}^d$

$m \leq k \implies \xi \lrcorner \alpha \in \bigwedge_{k-m} \mathbb{R}^d: \langle \xi \lrcorner \alpha, \beta \rangle = \langle \xi, \alpha \wedge \beta \rangle$

$m \geq k \implies \xi \lrcorner \alpha \in \bigwedge^{m-k} \mathbb{R}^d: \langle \xi, \alpha \lrcorner \beta \rangle = \langle \xi \wedge \alpha, \beta \rangle$

# Multivectors and linear subspaces

*simple*  $k$ -vectors:  $u_1 \wedge \cdots \wedge u_k$ ,  $u_1, \dots, u_k \in \mathbb{R}^d$

$\xi \in \bigwedge_k \mathbb{R}^d$  ...  $L(\xi) := \{u \in \mathbb{R}^d : u \wedge \xi = 0\}$  - associated linear subspace

$L = L(u_1 \wedge \cdots \wedge u_k) \iff \{u_1, \dots, u_k\}$  is a basis of  $L$   
unit simple  $k$ -vectors correspond to “oriented linear  $k$ -subspaces”

# Differential forms

$U \subset \mathbb{R}^d$  open

$\mathcal{D}^k(U) := C_c^\infty(U, \wedge^k \mathbb{R}^d)$  (differential  $k$ -forms on  $U$  with compact support)

$$\phi \in \mathcal{D}^k(U) : \quad \phi(x) = \sum_{1 \leq i_1 < \dots < i_k \leq d} \phi_{i_1, \dots, i_k}(x) dx_{i_1} \wedge \dots \wedge dx_{i_k}$$

$f^\# \phi$  - pull-back of  $\phi$  by a smooth mapping  $f : G \subseteq \mathbb{R}^n \rightarrow U$

$$\langle \xi, f^\# \phi(x) \rangle := \langle (\wedge_k Df(x))\xi, \phi(f(x)) \rangle.$$

$d\phi \in \mathcal{D}^{k+1}(U)$  - exterior derivative of  $\phi$

$$\langle v_1 \wedge \dots \wedge v_{k+1}, d\phi(x) \rangle := \sum_{i=1}^{k+1} (-1)^{i-1} \langle v^{(i)}, D\phi(x)v_i \rangle,$$

where  $v^{(i)} = v_1 \wedge \dots \wedge v_{i-1} \wedge v_{i+1} \wedge \dots \wedge v_{k+1}$

$\mathcal{D}_k(U) := (\mathcal{D}^k(U))^*$  -  $k$ -currents on  $U$

- ▶ *Boundaries:*  $\partial T \in \mathcal{D}_{k-1}(U)$  ( $k \geq 1$ ),  $(\partial T)(\phi) = T(d\phi)$
- ▶ *Rectifiable currents.*  $T = (\mathcal{H}^k \llcorner W) \wedge \xi \in \mathcal{D}_k(U)$ , where  $W \subset U$  is locally  $\mathcal{H}^k$ -rectifiable and  $\xi : W \rightarrow \bigwedge_k \mathbb{R}^d$  is locally  $\mathcal{H}^k$ -integrable and for  $\mathcal{H}^k$ -a.a.  $x \in W$ ,  $\xi(x)$  is a simple  $k$ -vector associated with  $\text{Tan}^k(W, x)$ :

$$\left( (\mathcal{H}^k \llcorner W) \wedge \xi \right) (\phi) := \int_W \langle \xi(x), \phi(x) \rangle d\mathcal{H}^k(x)$$

Defined even for  $\phi : W \rightarrow \bigwedge^k \mathbb{R}^d$  locally  $\mathcal{H}^k$ -integrable.  
 $|\xi(x)|$  - *multiplicity* of the current

- ▶ *Integral currents.*  $T \in \mathcal{D}_k(U)$  is an integral current if both  $T, \partial T$  are rectifiable currents with integer multiplicities.

# Normal cycles of sets with positive reach

$X \subset \mathbb{R}^d$ ,  $\text{reach } X > 0$

$\text{nor } X \subset \partial X \times S^{d-1}$  - unit normal cycle

$\kappa_i(x, n), b_i(x, n), i = 1, \dots, d-1$  - principal curvatures and directions (defined at a.a.  $(x, n) \in \text{nor } X$ )

$$a_X := \bigwedge_{i=1}^{d-1} \left( \frac{1}{\sqrt{1 + \kappa_i^2}} b_i, \frac{\kappa_i}{\sqrt{1 + \kappa_i^2}} b_i \right)$$

$L(a_X(x, n)) = \text{Tan}(\text{nor } X, (x, n))$  for  $\mathcal{H}^{d-1}$  a.a.  $(x, n) \in \text{nor } X$

$N_X := (\mathcal{H}^{d-1} \llcorner \text{nor } X) \wedge a_X$  - normal cycle of  $X$

-rectifiable current with integer multiplicity

## Proposition

1.  $N_X$  is a cycle:  $\partial N_X = 0$  (hence,  $N_X$  is an integral current)
2.  $N_X$  is Legendrian:  $N_X \llcorner \alpha = 0$ , where  $\alpha \in \mathcal{D}^1(\mathbb{R}^{2d})$ ,

$$\langle (u, v), \alpha(x, n) \rangle = u \cdot n, \quad u, v, x, n \in \mathbb{R}^d.$$

## Idea of proof.

(i) The bi-Lipschitz homeomorphism  $g^{(r)} : \text{nor } X \rightarrow \partial X_r$ ,  
 $(x, n) \mapsto x + rn$ , transforms  $N_X$  onto the current corresponding to the oriented closed  $C^1$  surface  $\partial X_r$  which is a cycle.

(ii) Follows from:

$$(u, v) \in \text{Tan}(\text{nor } X, (x, n)) \implies u \cdot n = v \cdot n = 0. \quad \square$$

# Normal cycle and curvature measures

## Definition

$\varphi_k \in C^\infty(\mathbb{R}^{2d}, \wedge^k \mathbb{R}^{2d})$  - Lipschitz-Killing curvature form of order  $0 \leq k \leq d-1$ :

$$\begin{aligned} & \langle a_1 \wedge \cdots \wedge a_{d-1}, \varphi_k(x, n) \rangle \\ &= \frac{1}{(d-k)\omega_{d-k}} \sum_{\substack{j_1 + \cdots + j_{d-1} = d-k-1 \\ j_i \in \{0,1\}}} \langle \pi_{j_1} a_1 \wedge \cdots \wedge \pi_{j_{d-1}} a_{d-1} \wedge n, \Omega_d \rangle, \end{aligned}$$

$a_1, \dots, a_{d-1} \in \mathbb{R}^{2d}, (x, n) \in \mathbb{R}^{2d}$ .

## Theorem

If  $X \subset \mathbb{R}^d$  is compact and has positive reach then

$$\mathbf{C}_k(X) = N_X(\varphi_k).$$

## Proof.

Compare the integral representation of  $\mathbf{C}_k(X)$  and the definitions of  $N_X, \varphi_k$ .



**Additivity property:** If all sets  $X, Y, X \cup Y, X \cap Y$  have positive reach then

$$\mathbf{C}_k(X \cup Y) + \mathbf{C}_k(X \cap Y) = \mathbf{C}_k(X) + \mathbf{C}_k(Y), \quad k = 0, \dots, d,$$

$$N_{X \cup Y} + N_{X \cap Y} = N_X + N_Y.$$

Idea - **extension to finite unions:** if  $X, Y, X \cap Y$  have positive reach, define

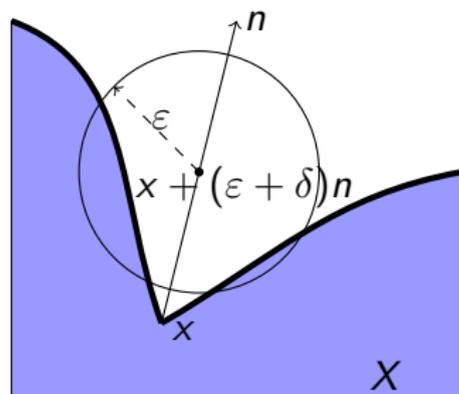
$$N_{X \cup Y} := N_X + N_Y - N_{X \cap Y}.$$

Problems: representation is not unique.

## Definition

For any  $X \subset \mathbb{R}^d$ ,  $x \in \mathbb{R}^d$  and  $n \in S^{d-1}$ :

$$i_X(x, n) = \mathbf{1}_X(x) \left( 1 - \lim_{\varepsilon \rightarrow 0^+} \lim_{\delta \rightarrow 0^+} \chi(X \cap B(x + (\varepsilon + \delta)n, \varepsilon)) \right)$$



the *index of  $X$  at  $x$  in direction  $n$* ; provided that the right hand side is determined, where  $\chi$  denotes the Euler-Poincaré characteristic in the sense of singular homology.

$$i_X(x, n) = 1 - 2 = -1.$$

### Definition

$X \subset \mathbb{R}^d$  is a  $\mathcal{U}_{\text{PR}}$ -set if it can be represented as locally finite union

$$X = \bigcup_i X^i$$

with  $\text{reach}(\bigcap_{i \in I} X^i) > 0$  for any  $I \subset \mathbb{N}$  finite.

### Proposition

1.  $i_X(x, n)$  is determined for any  $X \in \mathcal{U}_{\text{PR}}$ ,  $x \in X$  and  $n \in S^{d-1}$ .
2.  $\text{reach } X > 0 \implies i_X = \mathbf{1}_{\text{nor } X}$ .
3.  $i_{X \cup Y} + i_{X \cap Y} = i_X + i_Y$  whenever  $X, Y, X \cap Y, X \cup Y \in \mathcal{U}_{\text{PR}}$ .

## Normal cycles of $\mathcal{U}_{\text{PR}}$ sets

For  $X \in \mathcal{U}_{\text{PR}}$ , define

$$\text{nor } X := \text{spt } i_X = \{(x, n) : i_X(x, n) \neq 0\}$$

- ▶  $\text{nor } X$  is locally  $(d - 1)$ -rectifiable (not necessarily closed).
- ▶  $i_X$  is locally  $\mathcal{H}^{d-1}$ -integrable.
- ▶ For  $\mathcal{H}^{d-1}$ -a.a.  $(x, n) \in \text{nor } X$ ,  $\text{Tan}(\text{nor } X, (x, n))$  is a  $(d - 1)$ -subspace; let  $a_X(x, n)$  be the associated unit simple  $k$  vector with orientation given by

$$\lim_{\varepsilon \rightarrow 0} \langle \wedge_{d-1}(\pi_0 + \varepsilon \pi_1) a_X(x, n) \wedge n, \Omega_d \rangle > 0.$$

### Definition

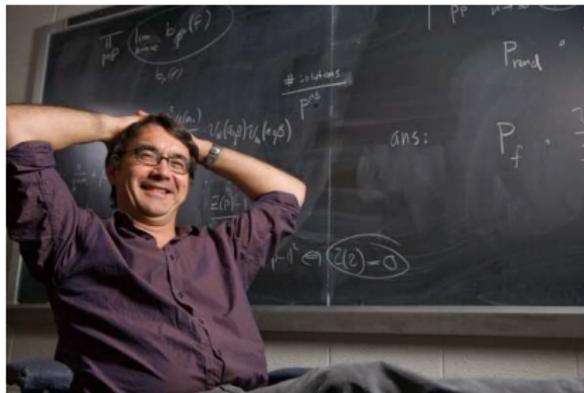
$$N_X := (\mathcal{H}^{d-1} \llcorner \text{nor } X) \wedge i_X a_X$$

$X \in \mathcal{U}_{\text{PR}}$

- ▶  $N_X$  is a cycle
- ▶  $N_X$  is Legendrian
- ▶  $N_X(\varphi_0)$  (Gauss-Bonnet formula)

# Extensions of normal cycles

1. Legendrian cycles
2. Slicing of currents
3. Normal cycle - definition
4. Some easy examples (e.g., convex boundaries)
5. Differential cycles
6. Auras
7. A deformation lemma for auras
8. DC functions as auras
9. WDC sets



From the Introduction:

“Given a singular set  $X \subset \mathbb{R}^d$ , when does a normal cycle  $N_X$  exist? How can it be characterized? How can it be constructed? We are able also to give a satisfactory characterization of the normal cycle of an arbitrary compact subset of  $\mathbb{R}^d$ ”

... “we also describe a construction valid for a more general class that contains, for example, the sets with positive reach. Still, this construction lacks formal beauty and seems far from definitive, so we have emphasized instead the subanalytic aspects.”



## *Delta-convex* (DC) functions

- ▶ Framework that perfectly fits into Fu's general construction
- ▶ Lacks "geometric intuition"
- ▶ Still not the most general setting

# Legendrian cycle

## Definition

A *Legendrian cycle* in  $\mathbb{R}^d$  is an integral  $(d - 1)$ -current  $T$  in  $\mathbb{R}^{2d}$  with the following properties:

- ▶  $\text{spt } T \subset \mathbb{R}^d \times S^{d-1}$ ,
- ▶  $\partial T = 0$  ( $T$  is a cycle),
- ▶  $T \llcorner \alpha = 0$  ( $T$  is Legendrian),

( $\alpha$  is the contact form in  $\mathbb{R}^{2d}$  acting as  $\langle (u, v), \alpha(x, n) \rangle = u \cdot n$ ).

$\pi_0(x, n) \mapsto x$ ,  $\pi_1(x, n) \mapsto n$  - projections

$\sigma : S^{d-1} \rightarrow \bigwedge_{d-1} \mathbb{R}^d$  unit orienting vectorfield ( $\sigma(u) \wedge u = +1$ )

## Theorem

For any compactly supported Legendrian cycle  $T$  we have

- (i)  $(\pi_1)_\# T = T(\varphi_0)(\mathcal{H}^{d-1} \llcorner S^{d-1}) \wedge \sigma$ ,
- (ii)  $T(\varphi_0) = \sum_{x \in \mathbb{R}^d} \iota_T(x, n)$  for almost all  $n \in S^{d-1}$ .

# Legendrian cycle - representation

$T$  - Legendrian cycle:  $T = (\mathcal{H}^{d-1} \llcorner W_T) \wedge i_T a_T$

- ▶  $W_T \subset \mathbb{R}^d \times S^{d-1}$  locally  $\mathcal{H}^{d-1}$ -rectifiable (“carrier” of  $T$ ),
- ▶  $a_T(x, n)$  - unit simple  $(d-1)$ -vector associated with  $\text{Tan}^{d-1}(W_T, (x, n))$ ,
- ▶  $i_T(x, n)$  - integer multiplicity

## Proposition

$$a_T := \bigwedge_{i=1}^{d-1} \left( \frac{1}{\sqrt{1 + \kappa_i^2}} b_i, \frac{\kappa_i}{\sqrt{1 + \kappa_i^2}} b_i \right)$$

for some  $\kappa_i \equiv \kappa_i(x, n) \in (-\infty, \infty]$  (principal curvatures) and  $b_i \equiv b_i(x, n) \perp n$  orthonormal vectors ( $i = 1, \dots, d-1$ ) (principal directions).

## Theorem (Co-area formula for currents)

Let  $T$  be a locally  $k$ -rectifiable current in  $U \subset \mathbb{R}^d$  and let  $f : \text{spt } T \rightarrow \mathbb{R}^n$  be locally Lipschitz,  $n \leq k$ . Then, for  $\mathcal{L}^n$ -almost all  $y \in \mathbb{R}^n$  there exists a locally  $(k - n)$ -rectifiable current

$$\langle T, f, y \rangle \in \mathcal{D}_{k-n}(U)$$

so that  $\text{spt} \langle T, f, y \rangle \subset f^{-1}\{y\} \cap \text{spt } T$  and for any  $g \in C^\infty(\mathbb{R}^n)$ ,

$$T \llcorner f^\#(g \wedge \Omega_n)(\cdot) = \int g(y) \langle T, f, y \rangle(\cdot) \mathcal{L}^n(dy).$$

## Definition

The current  $\langle T, f, y \rangle$  from the above theorem is called *slice of  $T$  through  $f$  at  $y$* .

## Theorem

Let  $T$  be a locally  $k$ -rectifiable current on  $U \subseteq \mathbb{R}^d$  of the form

$$T = (\mathcal{H}^k \llcorner A) \wedge \xi,$$

let  $f : W \rightarrow \mathbb{R}^n$  be locally Lipschitz,  $n \leq k$ . Then, for  $\mathcal{H}^n$ -almost all  $y$ ,

$$\langle T, f, y \rangle = (\mathcal{H}^{k-n} \llcorner f^{-1}\{y\}) \wedge \zeta,$$

where

$$\zeta(x) = \xi(x) \llcorner (f^\# \Omega_n) / J_n f(x)$$

whenever  $J_n f(x)$  is defined and positive, and 0 otherwise.

## Remark

If  $n = k$  then  $\langle T, f, y \rangle$  is a 0-current, which is, in fact, a signed discrete measure.

## Slices of currents - example

$T$  Legendrian cycle,  $\pi_1 : (x, v) \mapsto v$ ,  $g \in C_c^\infty(\mathbb{R}^d \times S^{d-1})$

$$\langle T, \pi_0, v \rangle(g) = \sum_{x \in \mathbb{R}^d} \iota_T(x, v) g(x, v) \quad \text{for a.a. } v \in S^{d-1}$$

$$\iota_T := i_T(-1)^{\lambda_T}, \quad \lambda_T(x, v) := \#\{i : \kappa_i(x, v) < 0\}$$

by approximation with smooth functions ( $H_{v,t} := \{y : y \cdot v \leq t\}$ ):

$$\langle T, \pi_0, v \rangle(\mathbf{1}_{H_{v,t} \times S^{d-1}}) = \sum_{x \cdot v < t} \iota_T(x, v) \quad \text{for a.a. } (v, t) \in S^{d-1} \times \mathbb{R}$$

$X$  smooth:

$$\chi(X) = \sum_{x: \nu_X(x)=v} \iota_X(x) \quad \text{for a.a. } v \in S^{d-1}$$

$$\chi(X \cap H_{v,t}) = \sum_{x: x \cdot v \leq t, \nu_X(x)=v} \iota_X(x) \quad \text{for a.a. } (v, t) \in S^{d-1} \times \mathbb{R}$$

# Normal cycle

Notation:  $H_{v,t} := \{y \in \mathbb{R}^d : y \cdot v \leq t\}$ ,  $v \in S^{d-1}$ ,  $t \in \mathbb{R}$  (closed halfspaces)

$\Lambda : (x, v) \mapsto (v, x \cdot v)$ ,  $(x, v) \in \mathbb{R}^d \times S^{d-1}$

## Definition

We say that a compact set  $X \subset \mathbb{R}^d$  admits a *normal cycle* if there exists a compactly supported Legendrian cycle  $T$  such that

- (i)  $\mathcal{H}^d(\Lambda(\text{spt } T)) = 0$ ,
- (ii)  $\langle T, \pi_1, v \rangle(\mathbf{1}_{H_{v,t}}) = \chi(X \cap H_{v,t})$  for a.a.  $(v, t) \in S^{d-1} \times \mathbb{R}$ .

In such a case, we write  $N_X := T$  and call  $N_X$  the *normal cycle of  $X$* .

## Theorem

*Any compact set admits at most one normal cycle.*

- ▶ Sets with positive reach
- ▶  $\mathcal{U}_{\text{PR}}$  sets
- ▶ Complements of “full-dimensional” sets with positive reach

$$(x, n) \in \text{nor } X \implies (x, -n) \notin \text{nor } X$$

- ▶ Boundaries of “full-dimensional” sets with positive reach

# Fu's construction: differential cycle

$f : \mathbb{R}^d \rightarrow \mathbb{R}$  smooth ( $C^2$ )

$\text{graph } \nabla f = \{(x, \nabla f(x)) : x \in \mathbb{R}^d\}$  -  $C^1$ -surface

orientation  $\xi = (e_1, \cdot) \wedge \cdots \wedge (e_d, \cdot)$ : follows positive orientation in  $\mathbb{R}^d$

$\mathbb{D}f := (\mathcal{H}^d \llcorner \text{graph } \nabla f) \wedge \xi$  - differential cycle of  $f$

## Definition

$f : \mathbb{R}^d \rightarrow \mathbb{R}$  is **strongly approximable** if  $\exists f_k \in C^2(\mathbb{R}^d)$ ,  $f_k \rightarrow f$  in  $L^1_{\text{loc}}$  and

$$\int_K \left| \det \left( \frac{\partial^2 f_k}{\partial u_i \partial u_j} \right)_{i \in I, j \in J} \right| du \leq \text{const}(f, K),$$

$K$  compact,  $I, J \subset \{1, \dots, d\}$ ,  $|I| = |J|$ .

## Differential cycle - cont.

Theorem (Compactness theorem, Federer-Fleming (1960))

Let  $(T_i)$  be a sequence of integral currents with uniformly bounded masses of both  $T_i$  and  $\partial T_i$ . Then there exists a subsequence converging weakly to an integral current  $T$ .

$f : \mathbb{R}^d \rightarrow \mathbb{R}$  Lipschitz,  $f_i \rightarrow f$  strong approximation by smooth functions

$\mathbb{D}f_i$  are integral currents with  $\partial \mathbb{D}f_i = 0$  and uniformly bounded masses, hence, a subsequence  $(\mathbb{D}f_{i_k})$  converges weakly to an integral current  $T$ . Fu showed that there can be only one such limit current and called it  $\mathbb{D}f$  - differential cycle of  $f$ . Hence,

$$\mathbb{D}f_i \rightarrow \mathbb{D}f \text{ weakly.}$$

Lemma

$$\text{spt } \mathbb{D}f \subset \text{graph } \partial f := \{(x, u) : u \in \partial f(x), x \in \mathbb{R}^d\}.$$

## Definition

A Lipschitz proper function  $f : \mathbb{R}^d \rightarrow [0, \infty)$  is an *aura* if

- ▶  $f$  is strongly approximable
- ▶ 0 is a weakly regular value of  $f$

If  $f$  is an aura and  $X := \{f = 0\}$  we define

$$N_X := -\nu_{\#} \partial(\mathbb{D}f \llcorner (f \circ \pi_0)^{-1}(0, \infty))$$

$$\nu : (x, u) \mapsto (x, \frac{u}{|u|})$$

## Remark

$\text{spt } N_X \subset \{\nu(x, u) : \exists x_i \rightarrow x, f(x_i) > 0 = f(x), \partial f(x_i) \ni u_i \rightarrow u\}$ .

Recall:  $\Lambda : (x, v) \mapsto (v, x \cdot v)$ ;  $\Lambda(\text{graph } \partial f)$  can be interpreted as the set of all *tangent hyperplanes* to  $\text{graph } f$ .

## Theorem (Fu)

If  $f$  is an aura and  $\Lambda(\text{graph } \partial f)$  has locally finite  $d$ -dimensional measure then  $N_X$  is the normal cycle of  $X = \{f = 0\}$ .

# Deformation lemma for auras

## Lemma

If  $f$  is an aura and  $X := \{f = 0\}$  compact then there exists an  $\varepsilon > 0$  and a deformation retraction

$$H : \{f < \varepsilon\} \times [0, 1] \rightarrow \{f < \varepsilon\}$$

such that  $\frac{\varepsilon}{2} \text{dist}(z, X) \leq f(z) \leq (\text{Lip } f) \text{dist}(z, X)$  whenever  $f(z) < \varepsilon$ .

- ▶ needed to prove the Gauss-Bonnet formula ( $N_X(\varphi_0) = \chi(X)$ ) and its “local” variant ( $\chi(X \cap H_{v,t}) = \dots$ ) in order to prove the property of normal cycle
- ▶ any  $r > 0$  small enough is a *regular value* of  $f$  and classical methods yield  $\chi\{f \leq r\} = \nu_{\#} \langle \mathbb{D}f, f \circ \pi_0, r \rangle(\varphi_0)$ .
- ▶ Basic calculus of currents gives  $\nu_{\#} \langle \mathbb{D}f, f \circ \pi_0, r \rangle(\varphi_0) \rightarrow N_X(\varphi_0)$ ,  $r \rightarrow 0_+$ .
- ▶ The deformation lemma yields  $\chi\{f \leq r\} \rightarrow \chi\{f = 0\}$ .

# DC functions come into play

- ▶ Crucial point (D. Pokorný, 2013): *Any DC function is strongly approximable.*
- ▶ If  $f : \mathbb{R}^d \rightarrow \mathbb{R}$  is DC then  $\Lambda(\text{graph } \partial f)$  has locally finite  $d$ -dimensional measure (follows from Pavlica & Zajíček (2007))

## Definition

A (closed) set  $X \subset \mathbb{R}^d$  is a (locally) *WDC set* if there exists a DC aura  $f : \mathbb{R}^d \rightarrow [0, \infty)$  such that  $X = \{f = 0\}$  (for any  $x \in X$  there exists a DC aura  $f$  such that  $X$  agrees with  $\{f = 0\}$  on some neighbourhood of  $x$ ).

## Example

DC manifolds, closed DC domains

## Theorem (Pokorný & R. (2013))

*Any compact (locally) WDC set admits a normal cycle.*

# Principal kinematic formula for WDC sets

Let  $f$  be a DC function on  $\mathbb{R}^d$ . The statement “ $\Lambda(\text{graph } \partial f)$  has locally finite  $d$ -dimensional measure” can be strengthened to

- ▶  $\text{graph } \partial f$  has locally finite  $d$ -dimensional Minkowski content

**Theorem (Fu, Pokorný & R. (2015))**

*If  $X \subset \mathbb{R}^d$  is a compact (locally) WDC set then the associated curvature measures  $\mathbf{C}_k(X) = N_X(\varphi_k)$  fulfill the principal kinematic formulas.*

- ▶ proved even in Riemannian manifolds

## Theorem (Pokorný, R. & Zajíček (2016+))

*The boundary of a (locally) WDC set can be locally covered by a finite number of DC hypersurfaces. (Hence, it is locally  $(d - 1)$ -rectifiable.)*

- ▶ follows from a quantitative refinement of a result on singularities of (delta)-convex functions (Zajíček, 1979): “The set of nondifferentiability of a convex function can be covered by countably many DC hypersurfaces”
- ▶ uses the Deformation lemma

## Theorem (PRZ (2016+))

*Any lower-dimensional Lipschitz manifold in  $\mathbb{R}^d$  which is locally WDC is a DC manifold.*

## Some open problems

- ▶ Is there some “geometric” characterization of (locally) WDC sets in  $\mathbb{R}^d$ ? (We have one in  $\mathbb{R}^2$  only.)
- ▶ Is  $\text{spt } N_X$  countably  $\mathcal{H}^{d-1}$ -rectifiable if  $X$  is WDC? Is  $\text{graph } \partial f$  countably  $\mathcal{H}^d$ -rectifiable if  $f : \mathbb{R}^d \rightarrow \mathbb{R}$  is DC?
- ▶ Let  $X$  be connected and WDC. Can any two points in  $X$  be joined by a Lipschitz curve in  $X$  of length smaller than a fixed multiple of their distance?
- ▶ There are known examples of auras which are not DC (though not many...). Is it possible to describe the maximal family of auras admitting differential cycles, or the maximal family of (compact) sets admitting normal cycles?