

## CSP lecture 24/25 – Problem Set 1

$\mathbb{A} = (A; R_1, R_2, \dots)$  is called a *relational structure* if

- $A$  is a set, called *domain*,
- $R_1, R_2, \dots$  are *relations* on  $A$ , i.e.  $R_i \subseteq A^{n_i}$  for some finite arity  $n_i \geq 1$ .

**CSP( $\mathbb{A}$ )**

**Given** a list of constraints  $R_i(x_{i_1}, \dots, x_{i_r}), R_j(x_{j_1}, \dots, x_{j_s}), R_k(x_{k_1}, \dots, x_{k_t}), \dots$   
**Decide** whether they are satisfiable.

Consider the following relations on  $\{0, 1\}$ :

- $C_i := \{i\}$ , for  $i \in \{0, 1\}$
- $R := \{(0, 0), (1, 1)\}$
- $N := \{(0, 1), (1, 0)\}$
- $S_{ij} := \{0, 1\}^2 \setminus \{(i, j)\}$ , for  $i, j \in \{0, 1\}$
- $H := \{0, 1\}^3 \setminus \{(1, 1, 0)\}$
- $G_1 := \{(0, 0, 0), (0, 1, 1), (1, 0, 1), (1, 1, 0)\}$ ,  $G_2 := \{(0, 0, 1), (0, 1, 0), (1, 0, 0), (1, 1, 1)\}$

**Problem 1.** Find a polynomial-time algorithm for CSP( $\mathbb{A}$ ), where

1.  $\mathbb{A} = (\{0, 1\}; R)$
2.  $\mathbb{A} = (\{0, 1\}; R, C_0, C_1)$
3.  $\mathbb{A} = (\{0, 1\}; S_{10})$
4.  $\mathbb{A} = (\{0, 1\}; S_{10}, C_0, C_1)$
5.  $\mathbb{A} = (\{0, 1\}; S_{01}, S_{10}, C_0, C_1)$
6.  $\mathbb{A} = (\{0, 1\}; N)$
7.  $\mathbb{A} = (\{0, 1\}; R, N, C_0, C_1)$
8.  $\mathbb{A} = (\{0, 1\}; R, N, C_0, C_1, S_{00}, S_{01}, S_{10}, S_{11})$
9.  $\mathbb{A} = (\{0, 1\}; \text{all unary and binary relations})$

**Problem 2.** Find a polynomial-time algorithm for CSP( $\{0, 1\}; H, C_0, C_1$ ).

**Problem 3.** Find a polynomial-time algorithm for CSP( $\{0, 1\}; C_0, C_1, G_1, G_2$ ).

**Problem 4.** Find a polynomial-time algorithm for CSP( $\mathbb{Q}; <$ ).

**Problem 5.** Prove that CSP( $\mathbb{Q}; <$ )  $\neq$  CSP( $\mathbb{A}$ ), for every finite relational structure  $\mathbb{A} = (A; R)$ .

## CSP lecture 24/25 – Problem Set 2

The *type* of a relational structure  $(A; R_1, \dots, R_s)$  is the tuple  $(\text{ar}(R_1), \dots, \text{ar}(R_s))$ , where  $\text{ar}(R)$  is the arity of the relation  $R$ .

Suppose the type of  $\mathbb{A} = (A; R_1, \dots, R_t)$  and  $\mathbb{B} = (B; S_1, \dots, S_t)$  is  $(n_1, \dots, n_t)$ . A mapping  $\phi : A \rightarrow B$  is called a *homomorphism* from  $\mathbb{A}$  to  $\mathbb{B}$  if  $(a_1, \dots, a_{n_i}) \in R_i \Rightarrow (\phi(a_1), \dots, \phi(a_{n_i})) \in S_i$  for every  $i$ . If such a homomorphism exists we write  $\mathbb{A} \rightarrow \mathbb{B}$ . A homomorphism  $\mathbb{A} \rightarrow \mathbb{A}$  is an *endomorphism*, a bijective endomorphism is an *automorphism*.

Hom( $\mathbb{A}$ )
<b>Given</b> a finite relational structure $\mathbb{X}$ of the same type as $\mathbb{A}$ . <b>Decide</b> whether $\mathbb{X} \rightarrow \mathbb{A}$ .

**Problem 1.** Find a polynomial algorithm for Hom( $\mathbb{A}$ ) where

1.  $\mathbb{A} = (\{0, 1\}; N)$  (notation is from the 1st problem set)
2.  $\mathbb{A} = (\{0, 1\}; N, C_0, C_1)$  (notation is from the 1st problem set)
3.  $\mathbb{A} = (\{0, 1\}; S_{00}, S_{11})$  (notation is from the 1st problem set)

Recall that a decision problem  $\mathcal{P}_1$  is *polynomially reducible* to  $\mathcal{P}_2$  if there exists a polynomial-time algorithm that transforms an input  $I$  of  $\mathcal{P}_1$  to an input  $r(I)$  of  $\mathcal{P}_2$  so that  $I$  is a Yes-instance iff  $r(I)$  is a Yes-instance. In such a case, we write  $\mathcal{P}_1 \leq_P \mathcal{P}_2$ . When  $\mathcal{P}_1 \leq_P \mathcal{P}_2 \leq_P \mathcal{P}_1$ , we write  $\text{CSP}(\mathbb{A}) \sim_P \text{CSP}(\mathbb{B})$  and say that the two problems are *polynomially equivalent*.

**Problem 2.**  $\mathbb{A} = (\{0, 1, 2\}; N)$ , where  $N = \{0, 1, 2\}^2 \setminus \{(0, 0), (1, 1), (2, 2)\}$ . Prove that  $\text{CSP}(\mathbb{A})$  is polynomially equivalent to Hom( $\mathbb{A}$ ).

**Problem 3.**  $\mathbb{A}$  is a relational structure. Prove that  $\text{CSP}(\mathbb{A})$  is polynomially equivalent to Hom( $\mathbb{A}$ ).

Observe that if  $\text{CSP}(\mathbb{A}) \leq_P \text{CSP}(\mathbb{B})$  and  $\text{CSP}(\mathbb{B})$  is in P (i.e., solvable in polynomial time), then  $\text{CSP}(\mathbb{A})$  is in P. Similarly, if  $\text{CSP}(\mathbb{A}) \leq_P \text{CSP}(\mathbb{B})$  and  $\text{CSP}(\mathbb{A})$  is NP-complete, then  $\text{CSP}(\mathbb{B})$  is NP-complete.

**Problem 4.** Prove that  $\text{CSP}(\mathbb{A}) \sim_P \text{CSP}(\mathbb{B})$ , where

- $\mathbb{A} = (\{0, 1, 2\}; C_0, C_1, Q)$ , where

$$C_0 = \{0\}, C_1 = \{1\}, Q = \{000, 110, 120, 210, 101, 102, 201, 202, 011, 012, 021\}$$

( $Q$  is a ternary relation, we omit the commas and parentheses, eg. 110 stands for  $(1, 1, 0)$ .)

- $\mathbb{B} = (\{0, 1\}; C_0, C_1, G_1)$  (where the notation is from the 1st problem set).

Hint: use homomorphisms  $\mathbb{A} \rightarrow \mathbb{B}$  and  $\mathbb{B} \rightarrow \mathbb{A}$ .

**Problem 5.** Prove that for each finite relational structure  $\mathbb{A}$  there exists a relational structure  $\mathbb{B}$  such that

- there exists a homomorphism  $\mathbb{A} \rightarrow \mathbb{B}$  and a homomorphism  $\mathbb{B} \rightarrow \mathbb{A}$ , and
- $\mathbb{B}$  is a *core*, that is, each endomorphism of  $\mathbb{B}$  is an automorphism.

**Problem 5.1.** Deduce that we can WLOG concentrate on CSPs over cores.

**Problem 5.2.** Prove that such a core is unique up to isomorphism.

**Problem 5.3.** Find a relational structure  $\mathbb{A}$  such that every structure  $\mathbb{B}$  with homomorphisms  $\mathbb{A} \rightarrow \mathbb{B}$  and  $\mathbb{B} \rightarrow \mathbb{A}$  is *not* a core. Hint:  $\mathbb{A}$  can be taken to be a directed graph.

**Problem 6.** Suppose

- $\mathbb{A} = (A; R_1, R_2, R_4)$  is a relational structure, where each  $R_i$  is an  $i$ -ary relation.
- $E$  is the equality relation, i.e.  $E = \{(a, a) : a \in A\}$
- $S$  is the ternary relation on  $A$  defined by

$$S(x, y, z) = R_1(x) \wedge R_2(x, z) \wedge R_4(y, z, y, x)$$

- $T$  is the binary relation defined by  $T(x, y) = (\exists z \in A) S(x, y, z)$

Prove that

1.  $\text{CSP}(A; R_1, R_2, R_4, E) \leq_P \text{CSP}(\mathbb{A})$
2.  $\text{CSP}(A; R_1, R_2, R_4, E, S) \leq_P \text{CSP}(\mathbb{A})$
3.  $\text{CSP}(A; R_1, R_2, R_4, E, S, T) \leq_P \text{CSP}(\mathbb{A})$

**Problem 6.1.** Try to formulate a general theorem covering these particular cases.

**Problem 7.** Prove that

1.  $\text{CSP}(\{0, 1, 2\}; C_0, C_1, N) \sim_P \text{CSP}(\{0, 1, 2\}; C_0, C_1, C_2, N)$
2.  $\text{CSP}(\{0, 1, 2\}; N) \sim_P \text{CSP}(\{0, 1, 2\}; N')$
3.  $\text{CSP}(\{0, 1\}; C_0, C_1, R) \sim_P \text{CSP}(\{0, 1\}; R')$

where

$$\begin{aligned} N &= \{0, 1, 2\}^2 \setminus \{(0, 0), (1, 1), (2, 2)\} & N' &= \{0, 1, 2\}^3 \setminus \{(0, 0, 0), (1, 1, 1), (2, 2, 2)\} \\ R &= \{0, 1\}^3 \setminus \{(0, 0, 0), (1, 1, 1)\} & R' &= \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\} \end{aligned}$$

Hint: try to use the general theorem from Problem 6.1.

**Problem 8.** Prove that  $\text{CSP}(\mathbb{A})$ ,  $\text{CSP}(\mathbb{B})$  and  $\text{CSP}(\mathbb{C})$  are polynomially equivalent, where

$$\begin{aligned} \mathbb{A} &= (\{0, 1, 2\}; C_0, C_1, C_2, N), & N &= \{0, 1, 2\}^2 \setminus \{(0, 0), (1, 1), (2, 2)\} \\ \mathbb{B} &= (\{0, 1\}; S_{000}, S_{001}, S_{011}, S_{111}), & S_{ijk} &= \{0, 1\}^3 \setminus \{(i, j, k)\} \\ \mathbb{C} &= (\{0, 1\}; C_0, C_1, R), & R &= \{0, 1\}^3 \setminus \{(0, 0, 0), (1, 1, 1)\} \end{aligned}$$

**Problem 9.** Prove that  $\text{CSP}(\mathbb{A}) \sim_P \text{CSP}(\{0, 1, 2\}; N)$ , where  $\mathbb{A}, N$  are from the previous problem.

**Problem 10.** For each finite relational structure  $\mathbb{A}$ , find an input of  $\text{CSP}(\mathbb{A})$  whose solutions precisely correspond to endomorphisms of  $\mathbb{A}$ .

**Problem 11.** Let  $\mathbb{A}$  be a finite *core* and let  $\mathbb{B}$  be the relational structure formed from  $\mathbb{A}$  by adding all the unary relations  $C_a = \{a\}$ ,  $a \in A$ . Prove that  $\text{CSP}(\mathbb{A}) \sim_P \text{CSP}(\mathbb{B})$ .

**Problem 12.** Let  $\mathbb{A}$  be a finite relational structure such that  $\text{CSP}(\mathbb{A})$  is in P. Prove that there is a polynomial-time algorithm for finding a solution of  $\text{CSP}(\mathbb{A})$ .

## CSP lecture 24/25 – Problem Set 3

An  $n$ -ary operation on a set  $A$  is a mapping  $A^n \rightarrow A$ . The  $n$ -ary projection onto the  $i$ -th coordinate (on a set  $A$ ) is the operation  $\pi_i^n$  defined by  $\pi_i^n(a_1, \dots, a_n) = a_i$  for any  $a_1, \dots, a_n \in A$ .

An  $n$ -ary operation  $f : A^n \rightarrow A$  preserves an  $m$ -ary relation  $R \subseteq A^m$  if  $f(\mathbf{r}_1, \dots, \mathbf{r}_n) \in R$  (operation is applied coordinate-wise) whenever  $\mathbf{r}_1, \dots, \mathbf{r}_n \in R$ . In other words, for any  $m \times n$  matrix whose columns are in  $R$ ,  $f$  applied to the rows of this matrix gives a tuple in  $R$ . In such a situation, we also say that  $R$  is compatible with  $f$ , or  $R$  is invariant under  $f$ , or  $f$  is a polymorphism of  $R$ .

An operation  $A^n \rightarrow A$  is a polymorphism of a relational structure  $\mathbb{A} = (A; \dots)$  if it preserves all the relations in  $\mathbb{A}$ . The set of all polymorphisms of  $\mathbb{A}$  is denoted  $\text{Pol}(\mathbb{A})$ .

**Problem 1.** Observe that

1.  $f : A^n \rightarrow A$  is compatible with every singleton unary relation  $\{a\}$ ,  $a \in A$ , iff  $f(a, \dots, a) = a$  for all  $a \in A$ ;
2. the constant unary operation  $c_a : A \rightarrow A$  (defined by  $c_a(x) = a$  for any  $x \in A$ ) is compatible with  $R \subseteq A^n$  iff  $R$  contains the tuple  $(a, a, \dots, a)$ .

**Problem 2.** Let  $A$  be a set. Prove that  $f$  preserves every relation on  $A$  if and only if  $f$  is a projection.

**Problem 3.** Let  $\mathbb{A} = (A; \dots)$  be a relational structure,  $f \in \text{Pol}(\mathbb{A})$  a binary polymorphism and  $g \in \text{Pol}(\mathbb{A})$  a ternary polymorphism. Then the 4-ary operation  $h$  defined by

$$h(x_1, x_2, x_3, x_4) = g(x_1, f(x_3, g(x_2, x_2, x_4)), x_3)$$

is a polymorphism of  $\mathbb{A}$  as well. Try to formulate a general statement.

**Problem 4.** Find all unary and binary polymorphisms of the structure  $\mathbb{A} = (\{0, 1\}; H, C_0, C_1)$  from Problem Set 1 (Problem 2 – HORN-SAT).

**Problem 5.** Find all unary and binary polymorphisms of the structure

$$\mathbb{A} = (\{0, 1\}; \text{all unary and binary relations})$$

from Problem Set 1 (Problem 1 – 2-SAT). Find some nice nontrivial (= not a projection) polymorphism of  $\mathbb{A}$ .

**Problem 6.** Find all unary, binary, and ternary polymorphisms of  $\mathbb{A} = (\{0, 1\}; C_0, C_1, G_1, G_2)$  from Problem Set 1 (Problem 3 – LIN-EQ( $\mathbb{Z}_2$ )).

A relation  $R \subseteq A^m$  is *pp-definable* from  $\mathbb{A} = (A; \dots)$  if it can be defined from relations in  $\mathbb{A}$  by a pp-formula, that is, a formula which only uses conjunction, equality, and existential quantification. A relational structure  $\mathbb{B} = (B; \dots)$  is pp-definable from  $\mathbb{A}$  if  $A = B$  and each relation in  $\mathbb{B}$  is pp-definable from  $\mathbb{A}$ . We also say that  $\mathbb{A}$  pp-defines  $\mathbb{B}$ .

**Problem 7.** Prove that any relation pp-definable from  $\mathbb{A}$  is invariant under every polymorphism of  $\mathbb{A}$ .

**Problem 8.** Find all polymorphisms of the structure  $\mathbb{B}$  in Problem Set 2 (Problem 8 – 3-SAT). Hint: only projections; possible approach: (1) pp-define the four-ary relations of the form  $R_{a,b,c,d} = \{0, 1\}^4 \setminus \{(a, b, c, d)\}$ , (2) pp-define all four-ary relations (3) similarly, pp-define every relation, (4) use Problem 2.

**Problem 9.** Let  $\mathbb{A}$  be a finite structure. Prove that a relation invariant under every polymorphism of  $\mathbb{A}$  is pp-definable from  $\mathbb{A}$ . Proof strategy:

- (i) Denote  $R = \{(c_{11}, \dots, c_{1k}), \dots, (c_{m1}, \dots, c_{mk})\}$
- (ii) Let  $\mathbf{a}_1, \dots, \mathbf{a}_n$  be a complete list of  $m$ -tuples of elements of  $A$  (i.e.  $n = |A|^m$ )
- (iii) Prove that the relation

$$S = \{(f(\mathbf{a}_1), \dots, f(\mathbf{a}_n)) : f \text{ is an } m\text{-ary polymorphism}\}$$

is pp-definable from  $\mathbb{A}$  (no need to use existential quantification)

- (iv) Existentially quantify over all coordinates but those corresponding to  $(c_{11}, \dots, c_{m1}), \dots, (c_{1k}, \dots, c_{mk})$
- (v) Prove that the obtained relation contains  $R$  (because of projections) and is contained in  $R$  (because of compatibility)

**Problem 10.** Let  $\mathbb{A} = (\mathbb{Z} \times \mathbb{Z}; R, U)$ , where

$$R = \{((x, y), (x', y')) \mid x = x', |y' - y| \in \{1, 2\}\}, \quad U = \{(0, 0)\}.$$

Prove that  $\{(0, y) \mid y \in \mathbb{Z}\}$  is invariant under every polymorphism of  $\mathbb{A}$ , but that this set is not pp-definable from  $\mathbb{A}$ .

**Problem 11.** Observe that, for finite structures  $\mathbb{A}$  and  $\mathbb{B}$ ,

1.  $\mathbb{A}$  pp-defines  $\mathbb{B}$  iff  $\text{Pol}(\mathbb{A}) \subseteq \text{Pol}(\mathbb{B})$  and in such a case  $\text{CSP}(\mathbb{B}) \leq_P \text{CSP}(\mathbb{A})$ ;
2. any CSP over a two-element structure is polynomially reducible to 3-SAT
3. if  $\text{Pol}(\mathbb{A}) \subseteq \text{Pol}(\mathbb{B})$ , then the proof of Problem 9 gives an explicit pp-formulas defining relations in  $\mathbb{B}$  from relations in  $\mathbb{A}$ .
4. In particular, for  $\mathbb{B}$  and  $\mathbb{C}$  as in Problem Set 2, Problem 4, we get  $\text{CSP}(\mathbb{C}) \leq \text{CSP}(\mathbb{B})$ . How large are the explicit formulas defining relations in  $\mathbb{C}$  from relations in  $\mathbb{B}$ ?

## CSP lecture 24/25 – Problem Set 4

A set of operations on a set  $A$  is a (*function*) *clone* on  $A$  if it contains all projections and is closed under composition (as in Problem 3, Problem Set 3). A function clone on  $A$  is called *idempotent* if for every operation  $f$  in it and every  $a \in A$ ,  $f(a, a, \dots, a) = a$ . For a se

**Problem 1.** Recall that for any relational structure  $\mathbb{A}$ ,  $\text{Pol}(\mathbb{A})$  is a clone.

In this problem set, we focus on function clones on the set  $A = \{0, 1\}$ . We use the following notation for some special operations on  $\{0, 1\}$ :

$\wedge$  the binary minimum operation

$\vee$  the binary maximum operation

$\text{maj}$  the ternary majority operation defined by  $\text{maj}(a, a, b) = \text{maj}(a, b, a) = \text{maj}(b, a, a) := a$  for every  $a, b \in \{0, 1\}$

$\text{min}$  the ternary minority operation defined by  $\text{min}(a, a, b) = \text{min}(a, b, a) = \text{min}(b, a, a) := b$  for every  $a, b \in \{0, 1\}$

An operation  $f : A^n \rightarrow A$  is called *essentially unary* if there exist  $i$  and a unary operation  $\alpha : A \rightarrow A$  such that  $f(x_1, \dots, x_n) = \alpha(x_i)$  for every  $x_1, \dots, x_n \in A$ .

**Problem 2.** Assume that  $\mathcal{A}$  is an idempotent clone on  $A = \{0, 1\}$  that contains neither  $\wedge$  nor  $\vee$ . Show that the only binary operations in  $\mathcal{A}$  are the two projections.

**Problem 3.** Assume that  $\mathcal{A}$  is an idempotent clone on  $A = \{0, 1\}$  that contains neither of the operations  $\wedge, \vee, \text{maj}, \text{min}$ . Show that the only binary and ternary operations in  $\mathcal{A}$  are the projections.

**Problem 4.** Assume that  $\mathcal{A}$  is an idempotent clone on  $A = \{0, 1\}$  that contains neither of the operations  $\wedge, \vee, \text{maj}, \text{min}$ . Show that  $\mathcal{A}$  contains only projections.

Hint: possible strategy

- Let  $f \in \mathcal{A}$  be  $n$ -ary with  $n \geq 4$ .
- Assume first  $f(1, 0, 0, \dots, 0) = 1$ . Use the binary operation  $g(x, y) := f(x, y, \dots, y)$  to show that  $f(0, 1, \dots, 1) = 0$ . Use ternary operations of the form  $g(x, y, z) := f(w_1, w_2, \dots)$  where  $w_1, w_2, \dots \in \{x, y, z\}$  to show that  $f$  is the projection onto the first coordinate.
- Deduce that if  $f$  is not a projection, then  $f(x, \dots, x, y, x, \dots, x) = x$  for every  $x, y$  and every position of  $y$ .
- Assuming this and using appropriate ternary operations (similar as above) show that  $f(x, \dots, x, y, y) = x, \dots$ , etc, and derive a contradiction

**Problem 5.** Let  $\mathcal{A}$  be a clone on  $A = \{0, 1\}$  with an operation which is not essentially unary. Prove that  $\mathcal{A}$  contains a constant unary operation, or at least one of the operations  $\wedge, \vee, \text{maj}, \text{min}$ .

Hint: try to reduce to the idempotent case

## CSP lecture 24/25 – Problem Set 5

A ternary operation  $m : A^3 \rightarrow A$  is called a *majority operation* if  $m(a, a, b) = m(a, b, a) = m(b, a, a) = a$  for each  $a, b \in A$  (note that for  $|A| \leq 2$  there is a unique majority operation on  $A$ , otherwise there are more of them).

**Problem 1.** Let  $R \subseteq A^n$  be a relation compatible with a majority operation on  $A$ . Denote  $\pi_{i,j}(R)$  the projection of  $R$  onto the coordinates  $i, j$  ( $1 \leq i, j \leq n$ ), that is,

$$\pi_{i,j}(R) = \{(a_i, a_j) : (a_1, \dots, a_n) \in R\} .$$

Prove that  $R$  is determined by these binary projections, that is,

$$(a_1, \dots, a_n) \in R \text{ if and only if } (\forall i, j, 1 \leq i, j \leq n) (a_i, a_j) \in \pi_{i,j}(R)$$

Hint: start with  $n = 3$

**Problem 2.** Let  $\mathbb{A} = (A; \dots)$  be a relational structure with a majority polymorphism. Show that there exists a relational structure  $\mathbb{B} = (A; \dots)$  which contains only binary relations such that  $\mathbb{A}$  is pp-definable from  $\mathbb{B}$  and  $\mathbb{B}$  is pp-definable from  $\mathbb{A}$ . For  $A = \{0, 1\}$ , conclude that  $\text{CSP}(\mathbb{A}) \leq_P 2\text{-SAT}$  (and thus  $\text{CSP}(\mathbb{A})$  is solvable in polynomial time).

**Problem 2.1.** Let  $\mathbb{A} = (\mathbb{Z}; R_1, \dots, R_k)$ , where all relations  $R_1, \dots, R_k$  admit a quantifier-free definition over the relations  $y < x + c$  and  $y = x + c$ , where  $c \in \mathbb{Z}$ . E.g.  $R$  can be the 4-ary relation that holds on  $(x, y, z, t)$  iff  $(x > y + 1 \vee x > z - 6) \wedge (x = z \Rightarrow t = y + 1)$  holds. Suppose that the ternary median operation is a polymorphism of  $\mathbb{A}$ . Show that  $\text{CSP}(\mathbb{A})$  is solvable in polynomial time.

**Problem 3.** Let  $\mathbb{A} = (\{0, 1\}; \dots)$  be a relational structure with polymorphism  $\min$  (from Problem Set 4). Show that each  $n$ -ary relation of  $\mathbb{A}$  is an affine subspace of  $\mathbb{Z}_2^n$ . Conclude that  $\text{CSP}(\mathbb{A})$  is solvable in polynomial time.

**Problem 4.** Let  $\mathbb{A} = (\{0, 1\}; C_0, C_1, H)$  be as in Problem Set 1 (the corresponding CSP is HORN-3-SAT). For every relation  $R \subseteq \{0, 1\}^n$  compatible with  $\wedge$  find a pp-definition from  $\mathbb{A}$ .

**Problem 5.** Prove that for each relational structure  $\mathbb{A} = (A; \dots)$  with  $A = \{0, 1\}$ , either  $\text{CSP}(\mathbb{A})$  is solvable in polynomial time or  $\text{CSP}(\mathbb{A})$  is NP-complete (this is *Schaefer's dichotomy theorem* (1978)). Describe the two cases in terms of polymorphisms.

## CSP lecture 24/25 – Problem Set 6

An instance of  $\text{CSP}(\mathbb{A})$  with set of variables  $V$  is called *1-minimal* if there exists a system of subsets  $P_x \subseteq A$ ,  $x \in V$  such that for every constraint  $R(x_1, \dots, x_k)$ , the projection of  $R$  onto the  $j$ -th coordinate is equal to  $P_{x_j}$ . We say the instance is *non-trivial* if none of the sets  $P_x$  is empty.

Two instances of the CSP are *equivalent* if they have the same set of solutions.

**Problem 1.** Devise a polynomial-time algorithm that transforms an instance of  $\text{CSP}(\mathbb{A})$  to an equivalent 1-minimal instance of  $\text{CSP}(\mathbb{B})$ , where  $\mathbb{B}$  is pp-definable in  $\mathbb{A}$ .

Recall that a *semilattice operation* on  $A$  is a binary operation  $s$  that is associative, commutative, and idempotent: that is, for all  $a, b, c \in A$ , the following equalities hold:

$$\begin{aligned} s(s(a, b), c) &= s(a, s(b, c)) \\ s(a, b) &= s(b, a) \\ s(a, a) &= a \end{aligned}$$

A *totally symmetric operation* on  $A$  of arity  $n$  is an operation  $t: A^n \rightarrow A$  such that  $t(a_1, \dots, a_n) = t(b_1, \dots, b_n)$  whenever  $\{a_1, \dots, a_n\} = \{b_1, \dots, b_n\}$ , i.e., the value of the operation only depends on the set of its arguments.

**Problem 2.** Give examples of semilattice operations.

**Problem 2.1.** Prove that every clone that contains a semilattice operation contains for every  $n \geq 1$  a totally symmetric operation of arity  $n$ .

**Problem 2.2.** Let  $\mathbb{A}$  be finite. Prove that if  $\text{Pol}(\mathbb{A})$  contains totally symmetric operations of all arities  $n \geq 1$ , then it contains a family of totally symmetric operations  $s_1, s_2, \dots$  where  $s_n$  has arity  $n$  and  $s_{n+1}(x_1, x_1, x_2, \dots, x_n) = s_n(x_1, \dots, x_n)$  holds for all  $x_1, \dots, x_n \in A$ .

**Problem 3.** Suppose that  $\mathbb{A}$  is a finite relational structure that has totally symmetric polymorphisms of all arities  $n \geq 1$ . Show that every non-trivial 1-minimal instance of  $\text{CSP}(\mathbb{A})$  has a solution. Conclude that  $\text{CSP}(\mathbb{A})$  is solvable in polynomial time.

Hint: apply the totally symmetric polymorphisms to the non-empty sets  $P_x$  whose existence is guaranteed by 1-minimality.

**Problem 4.** Show the converse: let  $\mathbb{A}$  be finite and suppose that every non-trivial 1-minimal instance of  $\mathbb{A}$  has a solution. Prove that  $\text{Pol}(\mathbb{A})$  contains totally symmetric polymorphisms of all arities  $n \geq 1$ .

Hint: Build an instance of  $\text{CSP}(\mathbb{A})$  whose variables are non-empty subsets of  $\mathbb{A}$ , and whose solutions define totally symmetric polymorphisms of  $\mathbb{A}$ . Show that an equivalent 1-minimal instance is non-trivial.

An instance of a CSP with variables  $V = \{x_1, \dots, x_n\}$  over the set  $A$  is called *simple (2,3)-minimal* if it satisfies all the following conditions:

- For each  $1 \leq i \leq n$ , there is a single unary constraint  $P_i(x_i)$  where  $P_i \subseteq A$ ,
- For each  $i, j \in \{1, \dots, n\}$  with  $i \neq j$ , there is a single binary constraint  $P_{i,j}(x_i, x_j)$ , where  $P_{i,j} \subseteq A^2$ ,
- $P_{i,j} = P_{j,i}^{-1}$  (i.e.,  $P_{i,j} = \{(b, a) \mid (a, b) \in P_{j,i}\}$ ),
- There are no other constraints except the ones mentioned above,
- The instance is 1-minimal: for all  $i, j$ , the restriction of  $P_{i,j}$  to its first coordinate equals  $P_i$ ,
- For each triple  $i, j, k \in \{1, \dots, n\}$  of distinct integers and each  $(a, b) \in P_{i,j}$ , there exists a  $c \in P_k$  such that  $(a, c) \in P_{i,k}$  and  $(b, c) \in P_{j,k}$ .



**Problem 5.** Let us represent a simple  $(2, 3)$ -minimal instance as a multipartite graph as follows: each variable  $x_i$  corresponds to one set whose vertices are the elements of  $P_i$ , and for every distinct  $i, j$  and  $(a, b) \in P_{i,j}$ , there is an edge between the corresponding vertices  $a \in P_i$  and  $b \in P_j$ . Describe what the last two items in the definition of  $(2, 3)$ -minimality mean for this graph.

**Problem 6.** Let  $\mathbb{A}$  be a finite structure and have only unary and binary relations. Devise a polynomial-time algorithm that transforms any instance of  $\text{CSP}(\mathbb{A})$  into an equivalent simple  $(2, 3)$ -minimal instance of  $\text{CSP}(\mathbb{B})$ , where  $\mathbb{B}$  is pp-definable in  $\mathbb{A}$ .

**Problem 7.** Adapt the algorithm from the previous problem for the case where  $\mathbb{A}$  has relations of arbitrary arity but  $\text{Pol}(\mathbb{A})$  contains a majority operation.

**Problem 8.** Suppose that  $\mathbb{A}$  has a majority polymorphism. Show that every non-trivial simple  $(2, 3)$ -minimal instance of  $\text{CSP}(\mathbb{A})$  has a solution.

Hint: if  $V = \{x_1, \dots, x_n\}$  is the set of variables and  $h: \{x_1, \dots, x_i\} \rightarrow A$  is an assignment that satisfies all constraints involving only the variables from  $\{x_1, \dots, x_i\}$ , show that  $h$  can be extended to an assignment  $h': \{x_1, \dots, x_i, x_{i+1}\} \rightarrow A$  that satisfies all the constraints involving only the variables from  $\{x_1, \dots, x_i, x_{i+1}\}$ . Conclude that  $\text{CSP}(\mathbb{A})$  is solvable in polynomial time.

**Remark 1.** It is also possible to characterize the property “Every non-trivial  $(2, 3)$ -minimal instance of  $\text{CSP}(\mathbb{A})$  has a solution” in terms of  $\text{Pol}(\mathbb{A})$ , although the proof is beyond the scope of the course: the property is equivalent to  $\text{Pol}(\mathbb{A})$  containing for all  $n \geq 3$  an operation  $w$  of arity  $n$  that satisfies

$$w(x, y, \dots, y) = w(y, x, y, \dots, y) = \dots = w(y, \dots, y, x).$$

## CSP lecture 24/25 – Problem Set 7

We assume throughout this sheet that every set is finite. A *Maltsev operation* is an operation  $m: A^3 \rightarrow A$  that satisfies  $m(a, b, b) = m(b, b, a) = a$  for all  $a, b \in A$ .

**Problem 1.** A relation  $R \subseteq A^n$  is *rectangular* if for all  $i \in \{1, \dots, n\}$ , all  $\mathbf{a}, \mathbf{b} \in A^n$ ,  $c, d \in A$ , whenever  $(a_1, \dots, a_{i-1}, c, a_{i+1}, \dots, a_n), (b_1, \dots, b_{i-1}, c, b_{i+1}, \dots, b_n), (b_1, \dots, b_{i-1}, d, b_{i+1}, \dots, b_n) \in R$ , then  $(a_1, \dots, a_{i-1}, d, a_{i+1}, \dots, a_n) \in R$ . Show that every relation that is invariant under a Maltsev operation is rectangular.

We say that  $t, t' \in A^n$  *witness*  $(i, a, b) \in \{1, \dots, n\} \times A^2$  if  $(t_1, \dots, t_{i-1}) = (t'_1, \dots, t'_{i-1})$  and  $t_i = a, t'_i = b$ . Let  $R \subseteq A^n$ . The *signature* of  $R$  is the set

$$\text{Sig}_R := \{(i, a, b) \in [n] \times A^2 \mid \exists \mathbf{t}, \mathbf{t}' \in R \text{ that witness } (i, a, b)\}.$$

We say that  $R' \subseteq R$  is a *representation* of  $R$  if  $\text{Sig}_{R'} = \text{Sig}_R$ , and that the representation is *compact* if  $|R'| \leq 2 \cdot |\text{Sig}_R|$ . Note that for compact representations  $|R'| \leq 2n|A|^2$  holds.

**Problem 2.** Observe that every  $R$  has a compact representation. Describe a concrete compact representation of  $A^n$ .

Given a subset  $R \subseteq A^n$  and an operation  $f: A^m \rightarrow A$ , the *relation generated by  $R$  under  $f$* , denoted by  $\langle R \rangle_f$ , is the smallest relation  $S$  containing  $R$  and that is invariant under  $f$ . For  $i_1, \dots, i_m \in \{1, \dots, n\}$ , let  $\pi_{i_1, \dots, i_m}(R) := \{(a_{i_1}, \dots, a_{i_m}) \mid (a_1, \dots, a_n) \in R\}$ .

**Problem 3.** Suppose that  $R$  is invariant under a Maltsev operation  $f$  and that  $R'$  is a representation of  $R$ . Show that  $\langle R' \rangle_f = R$ .

Hint: Show that  $\pi_{1, \dots, i}(\langle R' \rangle_f) = \pi_{1, \dots, i}(R)$ , for all  $i \in \{1, \dots, n\}$ .

In the next exercises, we use the following notation:

- $R \subseteq A^n$  is invariant under a Maltsev operation  $f$ ,
- $R' \subseteq R$  is a compact representation of  $R$ ,
- $S \subseteq A^m$  is also a relation of small arity  $m < n$  that is also invariant under  $f$  (we think of  $m$  as a fixed parameter in contrast to  $n$ ).
- Let  $i_1, \dots, i_m \in \{1, \dots, n\}$  and  $T = \{(a_1, \dots, a_n) \in R \mid (a_{i_1}, \dots, a_{i_m}) \in S\}$ .

**Problem 4.** Describe an algorithm that takes  $R', (i_1, \dots, i_m), S$  as input, and returns an element of  $T$  (or ‘False’ if  $T = \emptyset$ ). The running time should be polynomial in  $n$  (and  $|A|^m$ ).

Hint: apply the Maltsev operation to  $R'$  until the projection on the coordinates  $(i_1, \dots, i_m)$  stabilizes.

**Problem 5.** Describe an algorithm that takes  $R'$  and a constant  $c \in A$  as input, and returns a compact representation of  $R|_c := \{(a_1, \dots, a_n) \in R \mid a_1 = c\}$  in time polynomial in  $|R'|$  and  $n$ .

Hint: given any  $(i, a, b) \in \text{Sig}_R$ , use Problem 4 to decide whether  $(i, a, b)$  is in  $\text{Sig}_{R|_c}$ .

Note that by iterating the algorithm, one can also compute a compact representation of

$$R|_{c_1, \dots, c_m} = \{(a_1, \dots, a_n) \in R \mid a_1 = c_1, \dots, a_m = c_m\}.$$

**Problem 6.** Describe an algorithm that takes  $R'$  and  $S$  as input, and returns a compact representation of  $T$  in time polynomial in  $n$  (and  $|A|^m$ ).

Hint: simply describe the necessary and sufficient conditions for a given  $(i, a, b) \in \text{Sig}_R$  to be in  $\text{Sig}_T$ , and use the previous two algorithms to check those conditions.

**Problem 7.** Prove that if  $\mathbb{A}$  is a finite relational structure such that  $\text{Pol}(\mathbb{A})$  contains a Maltsev polymorphism, then  $\text{CSP}(\mathbb{A})$  is solvable in polynomial time.

## CSP lecture 24/25 – Problem Set 8

Given an equivalence relation  $\sim$  on a set  $V$  and  $v \in V$ , we denote by  $v/\sim := \{w \in V \mid v \sim w\}$  the equivalence class of  $v$ . Recall that given a relational structure  $\mathbb{G}$  and an equivalence relation  $\sim$  on the domain of  $\mathbb{G}$ , the structure  $\mathbb{G}/\sim$  is the structure with same signature as  $\mathbb{G}$ , whose domain is the set of  $\sim$ -equivalence classes, and where for every  $k$ -ary relation  $R$  in the signature, we have

$$(v_1/\sim, \dots, v_k/\sim) \in R^{\mathbb{G}/\sim} \Leftrightarrow \exists w_1, \dots, w_k \text{ s.t. } w_1 \sim v_1, \dots, w_k \sim v_k \text{ and } (w_1, \dots, w_k) \in R^{\mathbb{G}}$$

**Definition.** Let  $\mathbb{A}, \mathbb{B}$  be relational structure. We say that  $\mathbb{B}$  has a *pp-interpretation* in  $\mathbb{A}$  if  $\mathbb{B}$  is isomorphic to a structure of the form  $(S; R_1, \dots, R_k)/\sim$ , where:

- $S \subseteq A^n$  is pp-definable in  $\mathbb{A}$ ,
- $\sim \subseteq S^2$  is an equivalence relation that is pp-definable in  $\mathbb{A}$ , as a relation of arity  $2n$ , i.e. there exists a pp-formula  $\phi(x_1, \dots, x_n, y_1, \dots, y_n)$  such that for all  $(a_1, \dots, a_n), (b_1, \dots, b_n) \in S$ ,

$$(a_1, \dots, a_n) \sim (b_1, \dots, b_n) \Leftrightarrow \mathbb{A} \models \phi(a_1, \dots, a_n, b_1, \dots, b_n)$$

- Similarly, for every  $R_i \subseteq S^m$ , there is a pp-formula  $\psi_i(x_{1,1}, \dots, x_{1,n}, \dots, x_{m,1}, \dots, x_{m,n})$  with  $mn$  free variables such that

$$(\mathbf{a}_1, \dots, \mathbf{a}_m) \in R_i \Leftrightarrow \mathbb{A} \models \psi_i(\mathbf{a}_1, \dots, \mathbf{a}_m)$$

**Problem 0.** Show that if  $\mathbb{B}$  has a pp-interpretation in  $\mathbb{A}$ , then  $\text{CSP}(\mathbb{B})$  reduces to  $\text{CSP}(\mathbb{A})$ . Observe that if  $\mathbb{C}$  has a pp-interpretation in  $\mathbb{B}$  and  $\mathbb{B}$  has a pp-interpretation in  $\mathbb{A}$ , then  $\mathbb{C}$  has a pp-interpretation in  $\mathbb{A}$ . *Hint:* See Problems 3 and 4 from Problem Set 2.

The goal of this problem sheet is to show the following:

**Theorem.** Let  $\mathbb{G} = (\{v_1, \dots, v_n\}; E)$  be an undirected graph without loops and containing a triangle. Then  $\mathbb{K}_3$  has a pp-interpretation in  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\})$ , the relational structure obtained by expanding  $\mathbb{G}$  by a unary relation for every vertex of  $\mathbb{G}$ .

We prove the theorem by induction on  $n$  and  $|E|$ . For the base case  $n \leq 3$  it clearly holds. So, for the rest of the sheet, let  $n > 3$  and  $\mathbb{G} = (V; E)$  with  $V = \{v_1, \dots, v_n\}$  be an undirected, loopless graph containing a triangle. Our goal is to prove that  $\mathbb{K}_3$  has a pp-interpretation in  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\})$  under the induction assumption that the theorem holds for every graph with  $< n$  vertices, and every graph with  $n$  vertices and  $< |E|$  edges.

**Problem 1.** Suppose that one of the conditions below is satisfied. Show that in every case,  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\})$  pp-interprets a proper subgraph  $\mathbb{H} = (W; F)$  (i.e.  $W \subseteq V, F \subseteq E$ , and at least one of the inclusions is proper) that contains a triangle.

- a)  $\mathbb{G}$  is unconnected,
- b)  $\mathbb{G}$  contains a complete graph on 4 vertices,
- c) Some vertex  $v_i$  does not belong to a triangle,
- d) Some edge of  $\mathbb{G}$  does not belong to a triangle.

Conclude that if any of the condition holds,  $\mathbb{K}_3$  has a pp-interpretation in  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\})$ .

We assume from here on out that a)-d) do not hold for  $\mathbb{G}$ .

**Problem 2.** The diamond is the following graph:



Let  $x \sim y$  be the relation that relates  $x$  and  $y$  iff they are connected by a chain of diamonds:



Show that  $\sim$  is an equivalence relation that has a pp-definition in  $\mathbb{G}$ .

**Problem 3.** Suppose that the following condition holds:

- e) some edge of  $\mathbb{G}$  belongs to two triangles.

In particular,  $\mathbb{G}$  contains a diamond and  $\sim$  from Problem 2 contains a pair  $(x, y)$  with  $x \neq y$ .

- Show that if there is an edge  $(x, y)$  in  $\mathbb{G}$  with  $x \sim y$ , then  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\})$  pp-interprets a proper subgraph containing a triangle, thus  $\mathbb{K}_3$  has a pp-interpretation in  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\})$ .
- Next suppose that  $x \sim y$  implies that  $(x, y)$  is not an edge. What does this imply for  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\}) / \sim$ ? Conclude that  $\mathbb{K}_3$  has a pp-interpretation in  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\})$ .

Hint: for the first part, consider the shortest chain of diamonds connecting an edge  $(x, y)$ , and find a pp-definition of a proper subset of  $V$  containing a triangle.

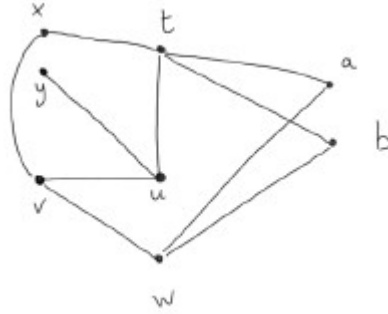
So, from here on, we can also assume that condition e) fails, i.e., every edge of  $\mathbb{G}$  belongs to a unique triangle. The next goal is to show that some power of  $\mathbb{K}_3$  has a pp-interpretation in  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\})$ . For  $k \geq 1$ , let  $\mathbb{P}_k := (\mathbb{K}_3)^k$  be the  $k$ -th power of  $\mathbb{K}_3$ , whose universe is  $\{1, 2, 3\}^k$  and whose edges are of the form  $(\mathbf{a}, \mathbf{b})$  where for all  $i \in \{1, \dots, k\}$ ,  $a_i \neq b_i$ .

**Problem 4.** Let  $h : \mathbb{P}_k \rightarrow \mathbb{G}$  be a homomorphism. Show that there is a set  $I \subseteq \{1, \dots, k\}$  such that for all  $\mathbf{x}, \mathbf{y} \in \{1, 2, 3\}^k$

$$h(\mathbf{x}) = h(\mathbf{y}) \Leftrightarrow \forall i \in I, x_i = y_i.$$

Conclude that the subgraph of  $\mathbb{G}$  induced by the range of  $h$  is isomorphic to  $\mathbb{P}_m$ , where  $m = |I|$ . The following strategy can be used:

- Let  $I \subseteq \{1, \dots, k\}$  be maximal such that  $h(\mathbf{x}) = h(\mathbf{y})$  implies  $x_i = y_i$  for all  $i \in I$ .
- Let  $j \in \{1, \dots, k\} \setminus I$  and let  $\mathbf{a}, \mathbf{b}$  tuples that agree on all coordinates except  $a_j \neq b_j$ . We are going to show that  $h(\mathbf{a}) = h(\mathbf{b})$ .
- By maximality of  $I$ , there exist  $\mathbf{x}, \mathbf{y}$  such that  $h(\mathbf{x}) = h(\mathbf{y})$  but  $x_j \neq y_j$ . Wlog.  $x_j \in \{a_j, b_j\}$ .
- Show that the following graph is a (non-induced) subgraph of  $\mathbb{P}_k$  (i.e., find witnesses for the vertices  $t, u, v, w$ ) and use it to conclude that  $h(\mathbf{a}) = h(\mathbf{b})$ :



- Finally, conclude that if  $a_i = b_i$  for all  $i \in I$ , then  $h(\mathbf{a}) = h(\mathbf{b})$ .

Let  $k$  be maximal such that  $\mathbb{P}_k$  is isomorphic to an induced subgraph of  $\mathbb{G}$  (note that  $k \geq 1$  is well-defined since  $\mathbb{G}$  contains a triangle). By abuse of notation, we consider  $\mathbb{P}_k$  itself to be an induced subgraph of  $\mathbb{G}$ .

**Problem 5.** Show that the vertex set of  $\mathbb{P}_k$  is pp-definable in  $(\mathbb{G}, \{v_1\}, \dots, \{v_n\})$ .

Hint: This is equivalent to showing that for every idempotent polymorphism  $f$  of  $\mathbb{G}$ , the vertex set of  $\mathbb{P}_k$  is invariant under  $f$ . Observe that  $f$  induces a homomorphism  $(\mathbb{P}_k)^n = \mathbb{P}_{nk} \rightarrow \mathbb{G}$ , where  $n$  is the arity of  $f$ .

**Problem 6.** To conclude the proof of the theorem, show that for all  $k \geq 1$ ,  $\mathbb{K}_3$  has a pp-interpretation in the expansion of  $\mathbb{P}_k$  by all unary constant relations.

Hint: show that the equivalence relation  $\mathbf{x} \sim \mathbf{y} :\Leftrightarrow x_1 = y_1$  is pp-definable in the expansion of  $\mathbb{P}_k$  by all unary constant relations. There are two approaches, either by finding a concrete pp-definition, or by showing that  $\sim$  is preserved under every idempotent polymorphism of  $\mathbb{P}_k$ .

**Problem 7.** Show the following corollary (Hell-Nešetřil, 1990): let  $\mathbb{G} = (V; E)$  be a finite undirected graph without loops. Then  $\text{CSP}(\mathbb{G})$  is in P if  $\mathbb{G}$  is bipartite, and  $\text{CSP}(\mathbb{G})$  is NP-complete otherwise.

Hint: if  $\mathbb{G} = (V; E)$  is not bipartite, it has a cycle of length  $2\ell + 1$  for some  $\ell$ . Take  $\ell$  minimal. Consider the graph  $\mathbb{H}$  on  $V$  where  $(x, y)$  is an edge iff in  $\mathbb{G}$  there is a walk of length  $2\ell - 1$  between  $x$  and  $y$ . What can be said about  $\mathbb{H}$ ?

## CSP lecture 24/25 – Problem Set 9

All sets in this sheet are assumed finite. Clones are idempotent. (These assumptions are sometimes not necessary.)

A relation  $R \subseteq A^2$  is *subdirect*, written  $R \subseteq_{\text{sd}} A^2$ , if its projection to each of the two coordinates is equal to  $A$ . A relation  $R \subseteq A^2$  is *linked* if it is subdirect and, for every pair  $a, a' \in A$ , there is a “fence” from  $a$  to  $a'$ , i.e. there are elements  $a = a_0, b_0, a_1, b_1, \dots, b_{n-1}, a_n = a' \in A$  such that  $R(a_0, b_0), R(a_1, b_0), R(a_1, b_1), R(a_2, b_1), \dots, R(a_n, b_{n-1})$  holds.

**Problem 1.** Suppose that  $\mathbb{G} = (V; E)$  is a connected undirected graph. Show that  $E \subseteq V^2$  is linked iff  $\mathbb{G}$  is non-bipartite.

**Problem 2.** Let  $R \subseteq A^2$ . Show that there exists a largest  $B \subseteq A$  (w.r.t. inclusion) such that  $R \cap (B \times B) \subseteq_{\text{sd}} B^2$  and show that this  $B$  is pp-definable from  $R$ . Let’s call this  $B$  the “subdirect part” of  $R$ . Show that the subdirect part of  $R$  is nonempty iff  $R$  contains a directed cycle.

Let  $f : A^n \rightarrow A$  and  $B \subseteq A$ . We say that  $B$  *absorbs*  $A$  with respect to  $f$ , and write  $B \triangleleft_f A$ , if  $f(a_1, \dots, a_n) \in B$  whenever all the  $a_i$  but at most one are in  $B$ . For a clone  $\mathcal{A}$  on  $A$ , we say that  $B$  is an *absorbing subuniverse* of  $\mathcal{A}$  (with respect to  $f$ ), written  $B \triangleleft_f \mathcal{A}$ , if  $B$  is invariant under  $\mathcal{A}$ ,  $f \in \mathcal{A}$  and  $B \triangleleft_f A$ . We write  $B \triangleleft \mathcal{A}$  if there exists a  $f \in \mathcal{A}$  such that  $B \triangleleft_f \mathcal{A}$ .

**Problem 3.** Consider the important idempotent clones on  $\{0, 1\}$  (generated by the binary minimum/maximum, majority, minority). What are the absorbing subuniverses?

**Problem 4.** Let  $\mathcal{A}$  be a clone. Suppose that  $R \subseteq_{\text{sd}} A^2$  is invariant under  $\mathcal{A}$  and  $B, C \triangleleft_f \mathcal{A}$ . Show that  $B \cap C \triangleleft_f \mathcal{A}$ , that  $B + R := \{c : \exists b (b, c) \in R\} \triangleleft_f \mathcal{A}$ , and that the “subdirect part” of  $B \cap (R \times R)$  absorbs  $\mathcal{A}$  with respect to  $f$ , as well.

Side note: Observe that for  $B \triangleleft_f \mathcal{A}$  and  $C \triangleleft_g \mathcal{A}$ , there is a common  $h \in \mathcal{A}$  such that  $B, C \triangleleft_h \mathcal{A}$ .  
Hint: use star composition defined below.

**Problem 5.** Let  $\mathcal{A}$  be a clone. Suppose that  $R \subseteq_{\text{sd}} A^2$  is linked and invariant under  $\mathcal{A}$ ,  $B \triangleleft_f \mathcal{A}$ , and  $S := R \cap (B \times B) \subseteq_{\text{sd}} B^2$ . Show that  $S$  is linked.

**Problem 6.** Let  $R \subseteq A^2$  be linked and invariant under  $\mathcal{A}$  and let  $B \triangleleft \mathcal{A}$  be nontrivial (i.e.,  $\emptyset \neq B \subsetneq A$ ). Show that there exists a nontrivial  $C \subsetneq A$  invariant under  $\mathcal{A}$  such that  $S := R \cap (C \times C) \subseteq_{\text{sd}} C^2$  and  $S$  is linked.

Hint: Find  $B' \triangleleft \mathcal{A}$  such that  $R \cap (B' \times B')$  has a nonempty subdirect part.

Let  $f : A^n \rightarrow A$  and  $\alpha : [n] \rightarrow [m]$ . The operation  $f^\alpha : A^m \rightarrow A$  defined by  $f^\alpha(a_1, \dots, a_m) = f(a_{\alpha(1)}, a_{\alpha(2)}, \dots, a_{\alpha(n)})$  is called a *minor* of  $f$ . For two clones  $\mathcal{A}, \mathcal{B}$ , an arity preserving mapping  $\xi : \mathcal{A} \rightarrow \mathcal{B}$  is a *minion homomorphism* if it preserves minors, i.e.,  $\xi(f^\alpha) = [\xi(f)]^\alpha$  (for every  $n$ ,  $n$ -ary  $f \in \mathcal{A}$ , and every  $\alpha : [n] \rightarrow [m]$ ).

A clone is called *Taylor* if it is idempotent and there exists no homomorphism from  $\xi$  to the clone of projections (say, on a two-element set).

**Remark:** There exists a minion homomorphism  $\text{Pol}(\mathbb{A}) \rightarrow \text{Pol}(\mathbb{B})$  iff  $\mathbb{A}$  *pp-constructs*  $\mathbb{B}$ , i.e.  $\mathbb{B}$  can be obtained from  $\mathbb{A}$  by homomorphic equivalence and pp-interpretations. So  $\text{Pol}(\mathbb{A})$  is not Taylor iff  $\mathbb{A}$  pp-constructs all finite structures. As a consequence,  $\text{CSP}(\mathbb{A})$  is NP-complete if  $\text{Pol}(\mathbb{A})$  is not Taylor.

A subset  $B \subseteq A$  is called a *projective subuniverse* of  $\mathcal{A}$  if for every  $f \in \mathcal{A}$  there exists a coordinate  $i$  such that  $f(a_1, \dots, a_n) \in B$  whenever  $a_i \in B$ .

**Problem 7.** Let  $B$  be a projective subuniverse of  $\mathcal{A}$ . Show that  $B \triangleleft_g \mathcal{A}$  (where  $g$  can be taken binary) or  $\mathcal{A}$  is not Taylor.

Hint: Show that if for each  $f$  the coordinate  $i$  (from the definition of projective subuniverse) is unique, then we get a minion homomorphism to projections. Otherwise, a binary minor of an operation  $f$  with non-unique  $i$  gives binary absorption.

**Problem 8.** Suppose that  $\mathcal{A}$  has no nontrivial projective subuniverses. Show that  $\mathcal{A}$  contains a *transitive operation*, i.e.,  $f \in \mathcal{A}$  such that for every coordinate  $i$  and every  $a, b \in A$ , there exists  $(a_1, \dots, a_n) \in A^n$  such that  $a_i = a$  and  $t(a_1, \dots, a_n) = b$ .

Hint: try to make  $t(A, A, \dots, a, A, A, \dots)$  as large as possible; use the “star-product” of operations, where for  $n$ -ary  $f$  and  $m$ -ary  $g$ , we define  $nm$ -ary  $f \star g$  by

$$f \star g(a_1, \dots, a_{nm}) = f(g(a_1, \dots, a_m), g(a_{m+1}, \dots, a_{2m}), \dots, g(a_{m(n-1)+1}, \dots, a_{nm})).$$

The *left center* of  $R \subseteq A^2$  is the set  $\{a : \forall b \in A (a, b) \in R\}$ .

**Problem 9.** Suppose that  $R \subseteq_{\text{sd}} A^2$  is invariant under a transitive operation  $f : A^n \rightarrow A$  and let  $B$  be the left center of  $R$ . Show that  $B \triangleleft_f A$ .

**Problem 10.** Suppose that  $R \subseteq_{\text{sd}} A^2$  is linked. Show that  $R$  together with the singleton unary relations  $\{a\}$  pp-defines a relation  $S \subseteq_{\text{sd}} A^2$ ,  $S \neq A^2$  with a nonempty left center.

Hint: Denote by  $T_n$  the  $n$ -ary relation such that  $T_n(a_1, \dots, a_n)$  iff there exists  $b$  with  $R(a_1, b), R(a_2, b), \dots, R(a_n, b)$ . First adjust  $R$  so that it is still proper and  $T_2 = A^2$ . Fixing appropriate values in an appropriate  $T_n$  gives us  $S$ .

**Problem 11.** Suppose that  $\mathcal{A}$  is Taylor and  $R \subseteq A^2$  is linked and invariant under  $\mathcal{A}$ . Show that there exists a nontrivial  $B \triangleleft \mathcal{A}$ . (This is the so-called *Absorption Theorem*.)

**Problem 12.** Suppose that  $\mathcal{A}$  is Taylor and  $R \subseteq A^2$  is linked and invariant under  $\mathcal{A}$ . Show that  $(a, a) \in R$  for some  $a \in A$ . (This is the so-called *Loop Lemma*.) Deduce the Hell–Nešetřil dichotomy theorem for undirected graphs (Problem 7 in Problem Set 8)

## CSP lecture 24/25 – Problem Set 10

In (the feasibility version of) linear programs (LPs) the task is to decide if a finite list of linear equations and inequalities is satisfiable over  $\mathbb{Q}$  or not (i.e. is there a vector  $\mathbf{x} \in \mathbb{Q}^n$  that satisfies inequality constraints  $A\mathbf{x} \leq \mathbf{b}$  and equality constraints  $E\mathbf{x} = \mathbf{f}$ , for some  $A \in \mathbb{Q}^{n \times k}$ ,  $\mathbf{b} \in \mathbb{Q}^k$ ,  $E \in \mathbb{Q}^{n \times l}$ , and  $\mathbf{f} \in \mathbb{Q}^l$ ). It is a famous result in optimization that LPs can be solved in polynomial time.

**Problem 1.** Let  $\mathbb{A}$  be a finite relational structure, and  $\mathbb{X}$  be an instance of  $\text{CSP}(\mathbb{A})$ . Then we define a linear program as follows:

- for every  $x \in X$  and every value  $a \in A$  we introduce a variable  $\lambda_x(a)$  together with the inequality constraints  $0 \leq \lambda_x(a) \leq 1$  and the equality constraints  $\sum_{a \in A} \lambda_x(a) = 1$  (so, for every  $x \in X$ ,  $\lambda_x(\cdot)$  is a probability distribution on  $A$ ).
- for every constraint  $C$  in  $\mathbb{X}$ , given by  $(x_1, \dots, x_k) \in R^{\mathbb{X}}$ , and every tuple  $\mathbf{a} \in R^{\mathbb{A}}$ , we introduce a variable  $\lambda_C(\mathbf{a})$  together with the inequality constraints  $0 \leq \lambda_C(\mathbf{a}) \leq 1$  and the equality constraints  $\sum_{\mathbf{a} \in R^{\mathbb{A}}} \lambda_C(\mathbf{a}) = 1$  (so  $\lambda_C(\cdot)$  is a probability distribution on  $R^{\mathbb{A}}$ ).
- Additionally we add the following compatibility condition for every constraint  $C$  (given by  $(x_1, \dots, x_k) \in R^{\mathbb{X}}$ ), index  $i$  and  $b \in A$ :

$$\sum_{\mathbf{a} \in R^{\mathbb{A}}, a_i = b} \lambda_C(\mathbf{a}) = \lambda_{x_i}(b). \quad (1)$$

The resulting LP is the *basic linear programming relaxation*  $BLP_{\mathbb{A}}(\mathbb{X})$  of  $\mathbb{X}$ .

Discuss how solutions  $h: \mathbb{X} \rightarrow \mathbb{A}$  correspond to  $\{0, 1\}$ -valued solutions of  $BLP_{\mathbb{A}}(\mathbb{X})$ .

**Problem 2.** Find a tractable  $\text{CSP}(\mathbb{A})$  with NO-instance  $\mathbb{X}$ , such that the relaxation  $BLP_{\mathbb{A}}(\mathbb{X})$  has a solution.

Hint: it is enough to consider  $|A| = 2$ .

Our goal in the following is to characterize those finite structures  $\mathbb{A}$  for which  $\mathbb{X} \rightarrow \mathbb{A}$  if and only if  $BLP_{\mathbb{A}}(\mathbb{X})$  is solvable. For such templates  $\mathbb{A}$ , we say *BLP solves*  $\text{CSP}(\mathbb{A})$ . Note that  $\text{CSP}(\mathbb{A})$  is in P, if it is solvable by BLP.

**Problem 3.** Define an (infinite) relational structure  $\mathbb{A}'$ , such that  $BLP_{\mathbb{A}}(\mathbb{X})$  has a solution if and only if  $\mathbb{X} \rightarrow \mathbb{A}'$ .

Hint: The domain of  $\mathbb{A}'$  consists of rational probability distributions on  $A$ . How to define the relations?

**Problem 4.** Show that BLP solves  $\text{CSP}(\mathbb{A})$  if and only if there is a homomorphism  $h: \mathbb{A}' \rightarrow \mathbb{A}$ .

**Problem 5.** Show that there is a homomorphism  $h: \mathbb{A}' \rightarrow \mathbb{A}$  if and only if  $\mathbb{A}$  has *symmetric polymorphisms* of all arities. An operation  $f: A^n \rightarrow A$  is symmetric if it satisfies the identity  $f(x_1, \dots, x_n) = f(x_{\pi(1)}, \dots, x_{\pi(n)})$  for all permutations  $\pi \in \text{Sym}(n)$ .

**Problem 5.** Conclude that BLP solves  $\text{CSP}(\mathbb{A})$  if and only if  $\text{Pol}(\mathbb{A})$  contains symmetric operations of all arities.

**Problem 6.** Show that this is further equivalent to the existence of a minion homomorphism from the clone of convex linear functions on  $\mathbb{Q}$ , i.e.  $\{\mathbf{x} \mapsto \sum_{i=1}^n p_i x_i \mid 0 \leq p_i \leq 1, \sum_{i=1}^n p_i = 1\}$  to  $\text{Pol}(\mathbb{A})$ .

**Problem 7.** Discuss which of the tractable CSPs we discussed throughout the lecture can be solved by BLP or not (in particular: minimal tractable Boolean CSPs, bipartite graphs, linear equations over  $\mathbb{Z}_p$ , semilattices)?



**Problem 7.** Alternatively, we define the *AIP-relaxation* (affine integer programming relaxation)  $AIP_{\mathbb{A}}(\mathbb{X})$  of a CSP instance of  $\mathbb{X}$  as in Problem 1, by considering variables  $\lambda_x(a)$  and  $\lambda_C(\bar{a})$  over the integers  $\mathbb{Z}$ , such that  $\sum_{a \in A} \lambda_x(a) = 1$ ,  $\sum_{\bar{a} \in R^{\mathbb{A}}} \lambda_C(\bar{a}) = 1$  and the compatibility condition (1) holds. Since  $AIP_{\mathbb{A}}(\mathbb{X})$  is a system of linear equations over  $\mathbb{Z}$ , it can be solved in polynomial time.

Discuss analogues of Problem 1-4 for AIP-relaxation.

**Problem 8.** Show that AIP solves  $\text{CSP}(\mathbb{A})$  if and only if  $\text{Pol}(\mathbb{A})$  contains *alternating operations* of all odd arities  $2l + 1$ , i.e. operations  $t(x_1, \dots, x_{2l+1})$ , such that

- $t$  is invariant under all permutations of variables that preserve the parity of indices
- $t(x_1, \dots, x_{2l-1}, x, x) = t(x_1, \dots, x_{2l-1}, y, y)$  for all  $x_1, \dots, x_{2l-1}, x, y \in A$ .

For example  $t(x_1, \dots, x_{2l+1}) = x_1 - x_2 + x_3 - \dots + x_{2l+1}$  is an alternating operations for any abelian group  $+$ .

**Problem 9.** Try to find a clone  $\mathcal{C}$  such that AIP solves  $\text{CSP}(\mathbb{A})$  if and only if there is a minion homomorphism from  $\mathcal{C}$  to  $\text{Pol}(\mathbb{A})$ .

**Problem 10.** Which of the tractable CSPs we discussed throughout the lecture can be solved by AIP?