

# Failure of local-to-global

---

Alexandr Kazda, **Michael Kompatscher**

University of Oxford

05/02/2021

AAA100 - Krakow

## Deciding Maltsev conditions

---

# Deciding Maltsev conditions

## Definition

A (strong) Maltsev condition  $\Sigma$  is a set of functional equations, e.g.  
 $f(x, x, y) \approx f(x, y, x) \approx f(x, x, y)$

**A** satisfies  $\Sigma$ , if **A** has a term  $f^{\mathbf{A}}$  such that  
 $\forall x, y \in A : f^{\mathbf{A}}(x, x, y) = f^{\mathbf{A}}(x, y, x) = f^{\mathbf{A}}(x, x, y)$ .

# Deciding Maltsev conditions

## Definition

A (strong) Maltsev condition  $\Sigma$  is a set of functional equations, e.g.  
 $f(x, x, y) \approx f(x, y, x) \approx f(x, x, y)$

$\mathbf{A}$  satisfies  $\Sigma$ , if  $\mathbf{A}$  has a term  $f^{\mathbf{A}}$  such that  
 $\forall x, y \in A : f^{\mathbf{A}}(x, x, y) = f^{\mathbf{A}}(x, y, x) = f^{\mathbf{A}}(x, x, y)$ .

For a fixed Maltsev condition  $\Sigma$  define the computational problem:

Decide( $\Sigma$ )

INPUT: finite algebra  $\mathbf{A} = (A, f_1, \dots, f_n)$

QUESTION: Does  $\mathbf{A}$  satisfy  $\Sigma$ ?

# Deciding Maltsev conditions

Why study  $\text{Decide}(\Sigma)$ ?

# Deciding Maltsev conditions

Why study  $\text{Decide}(\Sigma)$ ?

- Meta-problem for CSPs?

# Deciding Maltsev conditions

Why study  $\text{Decide}(\Sigma)$ ?

- ~~Meta-problem for CSPs?~~ Input encoded as algebra

# Deciding Maltsev conditions

Why study  $\text{Decide}(\Sigma)$ ?

- ~~Meta-problem for CSPs?~~ Input encoded as algebra
- variant of the Subpower Membership Problem?  
test if  $f(x_1, x_2, x_3) \in \mathbf{F}_A(x_1, x_2, x_3) \leq \mathbf{A}^{\mathbf{A}^3}$



# Deciding Maltsev conditions

Why study  $\text{Decide}(\Sigma)$ ?

- ~~Meta-problem for CSPs?~~ Input encoded as algebra
- variant of the Subpower Membership Problem?  
test if  $f(x_1, x_2, x_3) \in \mathbf{F}_A(x_1, x_2, x_3) \leq \mathbf{A}^{\mathbf{A}^3}$
- testing properties of algebras
- fun with algebras / relations

# Deciding Maltsev conditions

Why study  $\text{Decide}(\Sigma)$ ?

- ~~Meta-problem for CSPs?~~ Input encoded as algebra
- variant of the Subpower Membership Problem?  
test if  $f(x_1, x_2, x_3) \in \mathbf{F}_A(x_1, x_2, x_3) \leq \mathbf{A}^{\mathbf{A}^3}$
- testing properties of algebras
- fun with algebras / relations

## The bad news (Freese, Valeriote '09)

In many cases  $\text{Decide}(\Sigma)$  is EXPTIME-complete  
(semilattice,  $\text{CD}(n)$  for  $n > 3$ ,  $t(x, \dots, x) \approx x$ , CM, CD, ...)

# Deciding Maltsev conditions

Why study  $\text{Decide}(\Sigma)$ ?

- ~~Meta-problem for CSPs?~~ Input encoded as algebra
- variant of the Subpower Membership Problem?  
test if  $f(x_1, x_2, x_3) \in \mathbf{F}_{\mathbf{A}}(x_1, x_2, x_3) \leq \mathbf{A}^{\mathbf{A}^3}$
- testing properties of algebras
- fun with algebras / relations

## The bad news (Freese, Valeriote '09)

In many cases  $\text{Decide}(\Sigma)$  is EXPTIME-complete  
(semilattice,  $\text{CD}(n)$  for  $n > 3$ ,  $t(x, \dots, x) \approx x$ , CM, CD, ...)

→ **idempotent variant**

$\text{Decide}^{\text{id}}(\Sigma)$

INPUT: finite *idempotent* algebra  $\mathbf{A} = (A, f_1, \dots, f_n)$

QUESTION: Does  $\mathbf{A}$  satisfy  $\Sigma$ ?

**Local-to-global**

---

## Local-to-global for $t(x, y) \approx t(y, x)$

**A** has *local* binary symmetric terms if

$$\forall a, b \in A \exists t_{a,b} \in \text{Clo}(\mathbf{A}): t_{a,b}(a, b) = t_{a,b}(b, a)$$

## Local-to-global for $t(x, y) \approx t(y, x)$

**A** has *local* binary symmetric terms if

$$\begin{aligned} \forall a, b \in A \exists t_{a,b} \in \text{Clo}(\mathbf{A}): t_{a,b}(a, b) = t_{a,b}(b, a) \\ \Leftrightarrow \forall a, b \in A \exists q: \begin{pmatrix} q \\ q \end{pmatrix} \in \text{Sg}_{\mathbf{A}^2} \left\{ \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} b \\ a \end{pmatrix} \right\} \end{aligned}$$

## Local-to-global for $t(x, y) \approx t(y, x)$

**A** has *local* binary symmetric terms if

$$\begin{aligned} \forall a, b \in A \exists t_{a,b} \in \text{Clo}(\mathbf{A}): t_{a,b}(a, b) = t_{a,b}(b, a) \\ \Leftrightarrow \forall a, b \in A \exists q: \begin{pmatrix} q \\ q \end{pmatrix} \in \text{Sg}_{\mathbf{A}^2} \left\{ \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} b \\ a \end{pmatrix} \right\} \end{aligned}$$

### Claim

If **A** has local binary symmetric terms, then it has a (global) binary symmetric term  $t(x, y) \approx t(y, x)$ .

## Local-to-global for $t(x, y) \approx t(y, x)$

**A** has *local* binary symmetric terms if

$$\begin{aligned} \forall a, b \in A \exists t_{a,b} \in \text{Clo}(\mathbf{A}): t_{a,b}(a, b) = t_{a,b}(b, a) \\ \Leftrightarrow \forall a, b \in A \exists q: \begin{pmatrix} q \\ q \end{pmatrix} \in Sg_{\mathbf{A}^2} \left\{ \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} b \\ a \end{pmatrix} \right\} \end{aligned}$$

### Claim

If **A** has local binary symmetric terms, then it has a (global) binary symmetric term  $t(x, y) \approx t(y, x)$ .

### Consequence

Decide( $t(x, y) \approx t(y, x)$ )  $\in$  P.



## Proof idea

Assume  $\mathbf{A}$  has local binary symmetric terms

Let  $R \leq \mathbf{A}^4$  be a relation s.t.

$$\begin{pmatrix} a \\ b \\ a' \\ b' \end{pmatrix}, \begin{pmatrix} b \\ a \\ b' \\ a' \end{pmatrix} \in R$$

## Proof idea

Assume  $\mathbf{A}$  has local binary symmetric terms

Let  $R \leq \mathbf{A}^4$  be a relation s.t.

$$\begin{pmatrix} a \\ b \\ a' \\ b' \end{pmatrix}, \begin{pmatrix} b \\ a \\ b' \\ a' \end{pmatrix} \in R$$

Then  $R$  also contains

$$\begin{pmatrix} q \\ q \\ t(a', b') \\ t(b', a') \end{pmatrix}, \begin{pmatrix} q \\ q \\ t(b', a') \\ t(a', b') \end{pmatrix} \in R$$

## Proof idea

Assume  $\mathbf{A}$  has local binary symmetric terms

Let  $R \leq \mathbf{A}^4$  be a relation s.t.

$$\begin{pmatrix} a \\ b \\ a' \\ b' \end{pmatrix}, \begin{pmatrix} b \\ a \\ b' \\ a' \end{pmatrix} \in R$$

Then  $R$  also contains

$$\begin{pmatrix} q \\ q \\ t(a', b') \\ t(b', a') \end{pmatrix}, \begin{pmatrix} q \\ q \\ t(b', a') \\ t(a', b') \end{pmatrix} \in R \rightarrow \begin{pmatrix} q \\ q \\ q' \\ q' \end{pmatrix} \in R$$

## Proof idea

Assume  $\mathbf{A}$  has local binary symmetric terms

Let  $R \leq \mathbf{A}^4$  be a relation s.t.

$$\begin{pmatrix} a \\ b \\ a' \\ b' \end{pmatrix}, \begin{pmatrix} b \\ a \\ b' \\ a' \end{pmatrix} \in R$$

Then  $R$  also contains

$$\begin{pmatrix} q \\ q \\ t(a', b') \\ t(b', a') \end{pmatrix}, \begin{pmatrix} q \\ q \\ t(b', a') \\ t(a', b') \end{pmatrix} \in R \rightarrow \begin{pmatrix} q \\ q \\ q' \\ q' \end{pmatrix} \in R$$

induction over all pairs  $(a, b)$ ...  $\mathbf{A}$  contains a binary cyclic term.

## Failure for minority

$m$  is minority operation if

$$m(x, x, y) \approx m(x, y, x) \approx m(x, x, y) \approx y$$

## Failure for minority

$m$  is minority operation if

$$m(x, x, y) \approx m(x, y, x) \approx m(x, x, y) \approx y$$

### Theorem (Kazda, Opršal, Valeriote, Zhuk '19)

For every  $k \geq 2$ ,  $\exists \mathbf{A}_k$ , idempotent with  $|A_k| = 4k$ , s.t.

- $\mathbf{A}_k$  has local minority on subsets of size  $k - 1$
- $\mathbf{A}_k$  has no 'global' minority

# Failure for minority

$m$  is minority operation if

$$m(x, x, y) \approx m(x, y, x) \approx m(x, x, y) \approx y$$

## Theorem (Kazda, Opršal, Valeriote, Zhuk '19)

For every  $k \geq 2$ ,  $\exists \mathbf{A}_k$ , idempotent with  $|A_k| = 4k$ , s.t.

- $\mathbf{A}_k$  has local minority on subsets of size  $k - 1$
- $\mathbf{A}_k$  has no 'global' minority

**Note:** This does *not* prove hardness of deciding minority, and  $\text{Decide}^{\text{id}}(\text{minority}) \in \text{NP}$ .

# Failure for minority

$m$  is minority operation if

$$m(x, x, y) \approx m(x, y, x) \approx m(x, x, y) \approx y$$

## Theorem (Kazda, Opršal, Valeriote, Zhuk '19)

For every  $k \geq 2$ ,  $\exists \mathbf{A}_k$ , idempotent with  $|A_k| = 4k$ , s.t.

- $\mathbf{A}_k$  has local minority on subsets of size  $k - 1$
- $\mathbf{A}_k$  has no 'global' minority

**Note:** This does *not* prove hardness of deciding minority, and  $\text{Decide}^{\text{id}}(\text{minority}) \in \text{NP}$ .

## Question

When else does local-to-global fail?



## G-terms

---

## Definition

For  $G \leq \text{Sym}(n)$ ... permutation group

$t$  is a **G-term\*** if

$t(x_1, \dots, x_n) \approx t(x_{\pi(1)}, \dots, x_{\pi(n)})$  for all  $\pi \in G$ .

\*suggestions for better names are welcome.

## Definition

For  $G \leq \text{Sym}(n)$ ... permutation group

$t$  is a **G-term\*** if

$t(x_1, \dots, x_n) \approx t(x_{\pi(1)}, \dots, x_{\pi(n)})$  for all  $\pi \in G$ .

- If  $G = \text{Sym}(n)$ : symmetric terms

\*suggestions for better names are welcome.

## Definition

For  $G \leq \text{Sym}(n)$ ... permutation group

$t$  is a **G-term\*** if

$t(x_1, \dots, x_n) \approx t(x_{\pi(1)}, \dots, x_{\pi(n)})$  for all  $\pi \in G$ .

- If  $G = \text{Sym}(n)$ : symmetric terms
- If  $G = \mathbb{Z}_n \leq \text{Sym}(n)$ : cyclic terms  
 $c(x_1, \dots, x_{n-1}, x_n) \approx c(x_2, \dots, x_n, x_1)$

\*suggestions for better names are welcome.

## Definition

For  $G \leq \text{Sym}(n)$ ... permutation group

$t$  is a **G-term\*** if

$t(x_1, \dots, x_n) \approx t(x_{\pi(1)}, \dots, x_{\pi(n)})$  for all  $\pi \in G$ .

- If  $G = \text{Sym}(n)$ : symmetric terms
- If  $G = \mathbb{Z}_n \leq \text{Sym}(n)$ : cyclic terms  
 $c(x_1, \dots, x_{n-1}, x_n) \approx c(x_2, \dots, x_n, x_1)$
- If 1-generated, e.g.  $G = \langle (12)(345) \rangle$ : 'cyclic loop conditions'

\*suggestions for better names are welcome.

## Definition

For  $G \leq \text{Sym}(n)$ ... permutation group

$t$  is a **G-term\*** if

$t(x_1, \dots, x_n) \approx t(x_{\pi(1)}, \dots, x_{\pi(n)})$  for all  $\pi \in G$ .

- If  $G = \text{Sym}(n)$ : symmetric terms
- If  $G = \mathbb{Z}_n \leq \text{Sym}(n)$ : cyclic terms  
 $c(x_1, \dots, x_{n-1}, x_n) \approx c(x_2, \dots, x_n, x_1)$
- If 1-generated, e.g.  $G = \langle (12)(345) \rangle$ : 'cyclic loop conditions'

## Question (Valerioté)

For which  $G$  do  $G$ -terms have the local-to-global property?

\*suggestions for better names are welcome.

### Theorem (Kazda, MK '20)

Local-to-global **works** if...

## Theorem (Kazda, MK '20)

Local-to-global **works** if...

- $G$  has a fixpoint (trivial Maltsev condition)



## Theorem (Kazda, MK '20)

Local-to-global **works** if...

- $G$  has a fixpoint (trivial Maltsev condition)
- $G = \mathbb{Z}_n \leq \text{Sym}(n)$ : cyclic terms

## Theorem (Kazda, MK '20)

Local-to-global **works** if...

- $G$  has a fixpoint (trivial Maltsev condition)
- $G = \mathbb{Z}_n \leq \text{Sym}(n)$ : cyclic terms
- $G \leq \text{Sym}(|G|)$  acting on itself by left translation

## Theorem (Kazda, MK '20)

Local-to-global **works** if...

- $G$  has a fixpoint (trivial Maltsev condition)
- $G = \mathbb{Z}_n \leq \text{Sym}(n)$ : cyclic terms
- $G \leq \text{Sym}(|G|)$  acting on itself by left translation
- $G = \langle g \rangle$  (local on  $k$  many tuples, with  $k = \# \text{orbits}$ )

## Theorem (Kazda, MK '20)

Local-to-global **works** if...

- $G$  has a fixpoint (trivial Maltsev condition)
- $G = \mathbb{Z}_n \leq \text{Sym}(n)$ : cyclic terms
- $G \leq \text{Sym}(|G|)$  acting on itself by left translation
- $G = \langle g \rangle$  (local on  $k$  many tuples, with  $k = \# \text{orbits}$ )
- $G = D_n$  dihedral group for *even*  $n$

## Theorem (Kazda, MK '20)

Local-to-global **works** if...

- $G$  has a fixpoint (trivial Maltsev condition)
- $G = \mathbb{Z}_n \leq \text{Sym}(n)$ : cyclic terms
- $G \leq \text{Sym}(|G|)$  acting on itself by left translation
- $G = \langle g \rangle$  (local on  $k$  many tuples, with  $k = \# \text{orbits}$ )
- $G = D_n$  dihedral group for *even*  $n$

...even for non-idempotent **A**

**Theorem (Kazda, MK '20)**

Local-to-global **fails** if...

## Theorem (Kazda, MK '20)

Local-to-global **fails** if...

- $G = \text{Sym}(n)$  for  $n \geq 3$

## Theorem (Kazda, MK '20)

Local-to-global **fails** if...

- $G = \text{Sym}(n)$  for  $n \geq 3$
- $G = D_n$ : dihedral group for *odd*  $n$



## Theorem (Kazda, MK '20)

Local-to-global **fails** if...

- $G = \text{Sym}(n)$  for  $n \geq 3$
- $G = D_n$ : dihedral group for *odd*  $n$
- $G = A_n$ : alternating group for  $n \geq 3$

## Theorem (Kazda, MK '20)

Local-to-global **fails** if...

- $G = \text{Sym}(n)$  for  $n \geq 3$
- $G = D_n$ : dihedral group for *odd*  $n$
- $G = A_n$ : alternating group for  $n \geq 3$
- $\exists g \in G$  with one fixpoint and orbits of the same size otherwise.

## Theorem (Kazda, MK '20)

Local-to-global **fails** if...

- $G = \text{Sym}(n)$  for  $n \geq 3$
- $G = D_n$ : dihedral group for *odd*  $n$
- $G = A_n$ : alternating group for  $n \geq 3$
- $\exists g \in G$  with one fixpoint and orbits of the same size otherwise.

(even in idempotent algebras)

## Example

### Construction for $G = \text{Sym}(3)$

Let  $g = (1)(23)$  and  $\mathbb{Z}_2 = \langle g \rangle$ .

Let  $T$  be a transversal of the orbits  $g \curvearrowright \{1, 2, 3\}^3$ .

Set  $\mathbf{A} = (\{0, 1\} \times \{1, 2, 3\} \cup \mathbb{Z}_2; t_0, t_1)$  with  $t_0, t_1$  ternary

## Example

### Construction for $G = \text{Sym}(3)$

Let  $g = (1)(23)$  and  $\mathbb{Z}_2 = \langle g \rangle$ .

Let  $T$  be a transversal of the orbits  $g \curvearrowright \{1, 2, 3\}^3$ .

Set  $\mathbf{A} = (\{0, 1\} \times \{1, 2, 3\} \cup \mathbb{Z}_2; t_0, t_1)$  with  $t_0, t_1$  ternary

- $t_i$  idempotent; most tuples mapped to  $\mathbb{Z}_2$

## Example

### Construction for $G = \text{Sym}(3)$

Let  $g = (1)(23)$  and  $\mathbb{Z}_2 = \langle g \rangle$ .

Let  $T$  be a transversal of the orbits  $g \curvearrowright \{1, 2, 3\}^3$ .

Set  $\mathbf{A} = (\{0, 1\} \times \{1, 2, 3\} \cup \mathbb{Z}_2; t_0, t_1)$  with  $t_0, t_1$  ternary

- $t_i$  idempotent; most tuples mapped to  $\mathbb{Z}_2$
- $t_i(x_1, x_2, x_3) = x_1 + x_2 + x_3$  on  $\mathbb{Z}_2$

## Example

### Construction for $G = \text{Sym}(3)$

Let  $g = (1)(23)$  and  $\mathbb{Z}_2 = \langle g \rangle$ .

Let  $T$  be a transversal of the orbits  $g \curvearrowright \{1, 2, 3\}^3$ .

Set  $\mathbf{A} = (\{0, 1\} \times \{1, 2, 3\} \cup \mathbb{Z}_2; t_0, t_1)$  with  $t_0, t_1$  ternary

- $t_i$  idempotent; most tuples mapped to  $\mathbb{Z}_2$
- $t_i(x_1, x_2, x_3) = x_1 + x_2 + x_3$  on  $\mathbb{Z}_2$
- $t_i$  on  $(\{i\} \times \{1, 2, 3\})^3$  counts how often  $g$  was applied (wrt  $T$ )

## Example

### Construction for $G = \text{Sym}(3)$

Let  $g = (1)(23)$  and  $\mathbb{Z}_2 = \langle g \rangle$ .

Let  $T$  be a transversal of the orbits  $g \curvearrowright \{1, 2, 3\}^3$ .

Set  $\mathbf{A} = (\{0, 1\} \times \{1, 2, 3\} \cup \mathbb{Z}_2; t_0, t_1)$  with  $t_0, t_1$  ternary

- $t_i$  idempotent; most tuples mapped to  $\mathbb{Z}_2$
- $t_i(x_1, x_2, x_3) = x_1 + x_2 + x_3$  on  $\mathbb{Z}_2$
- $t_i$  on  $(\{i\} \times \{1, 2, 3\})^3$  counts how often  $g$  was applied (wrt  $T$ )
- $t_i$  on  $(\{1 - i\} \times \{1, 2, 3\})^3$  is  $id \in \mathbb{Z}_2$



## Example

### Construction for $G = \text{Sym}(3)$

Let  $g = (1)(23)$  and  $\mathbb{Z}_2 = \langle g \rangle$ .

Let  $T$  be a transversal of the orbits  $g \curvearrowright \{1, 2, 3\}^3$ .

Set  $\mathbf{A} = (\{0, 1\} \times \{1, 2, 3\} \cup \mathbb{Z}_2; t_0, t_1)$  with  $t_0, t_1$  ternary

- $t_i$  idempotent; most tuples mapped to  $\mathbb{Z}_2$
- $t_i(x_1, x_2, x_3) = x_1 + x_2 + x_3$  on  $\mathbb{Z}_2$
- $t_i$  on  $(\{i\} \times \{1, 2, 3\})^3$  counts how often  $g$  was applied (wrt  $T$ )
- $t_i$  on  $(\{1 - i\} \times \{1, 2, 3\})^3$  is  $id \in \mathbb{Z}_2$
- $t_i$  is symmetric elsewhere\*

## Example

### Construction for $G = \text{Sym}(3)$

Let  $g = (1)(23)$  and  $\mathbb{Z}_2 = \langle g \rangle$ .

Let  $T$  be a transversal of the orbits  $g \curvearrowright \{1, 2, 3\}^3$ .

Set  $\mathbf{A} = (\{0, 1\} \times \{1, 2, 3\} \cup \mathbb{Z}_2; t_0, t_1)$  with  $t_0, t_1$  ternary

- $t_i$  idempotent; most tuples mapped to  $\mathbb{Z}_2$
- $t_i(x_1, x_2, x_3) = x_1 + x_2 + x_3$  on  $\mathbb{Z}_2$
- $t_i$  on  $(\{i\} \times \{1, 2, 3\})^3$  counts how often  $g$  was applied (wrt  $T$ )
- $t_i$  on  $(\{1 - i\} \times \{1, 2, 3\})^3$  is  $id \in \mathbb{Z}_2$
- $t_i$  is symmetric elsewhere\*

$t_i$  is symmetric everywhere but  $(\{i\} \times \{1, 2, 3\})^3$ .

## Example

### Construction for $G = \text{Sym}(3)$

Let  $g = (1)(23)$  and  $\mathbb{Z}_2 = \langle g \rangle$ .

Let  $T$  be a transversal of the orbits  $g \curvearrowright \{1, 2, 3\}^3$ .

Set  $\mathbf{A} = (\{0, 1\} \times \{1, 2, 3\} \cup \mathbb{Z}_2; t_0, t_1)$  with  $t_0, t_1$  ternary

- $t_i$  idempotent; most tuples mapped to  $\mathbb{Z}_2$
- $t_i(x_1, x_2, x_3) = x_1 + x_2 + x_3$  on  $\mathbb{Z}_2$
- $t_i$  on  $(\{i\} \times \{1, 2, 3\})^3$  counts how often  $g$  was applied (wrt  $T$ )
- $t_i$  on  $(\{1 - i\} \times \{1, 2, 3\})^3$  is  $id \in \mathbb{Z}_2$
- $t_i$  is symmetric elsewhere\*

$t_i$  is symmetric everywhere but  $(\{i\} \times \{1, 2, 3\})^3$ .

Every term fails to be symmetric on  $(\{0\} \times \{1, 2, 3\})^3$  or  $(\{1\} \times \{1, 2, 3\})^3$ .

# Where to go from here?

## Local-to-global

- Finish the classification for  $G$ -terms
- When is idempotence necessary?
- Does local-to-global fail for Siggers?

# Where to go from here?

## Local-to-global

- Finish the classification for  $G$ -terms
- When is idempotence necessary?
- Does local-to-global fail for Siggers?

## Decide( $\Sigma$ )

- Other efficient algorithms for deciding  $\Sigma$ ?
- Example: 'uniform' subpower membership problem algorithm showed  $\text{Decide}^{\text{id}}(\text{minority}) \in \text{NP}$  (Kazda, Opršal, Valeriote, Zhuk '19)
- Is there a linear Maltsev condition  $\Sigma$  with  $\text{Decide}^{\text{id}}(\Sigma) \notin \text{NP}$ ?

Thank you!

