

Theorem 2 Let  $\tilde{\Omega}$  and  $\tilde{\Gamma}$  be two bounded domains in  $\mathbb{R}^n$  with Lipschitz-continuous boundaries which are affine-equivalent; i.e.,  $\tilde{\Omega} = F(\tilde{\Gamma})$ , where  $F$  is an invertible affine mapping ( $F(\tilde{x}) = B\tilde{x} + b$ ). Let  $m \in \mathbb{N}_0$  and  $p \in [1, \infty]$ . Then, for any  $v \in W^{m,p}(\tilde{\Gamma})$ , the function  $\tilde{v} = v \circ F$  belongs to  $W^{m,p}(\tilde{\Omega})$ . Additionally

$$\textcircled{6} \quad |v|_{m,p,\tilde{\Omega}} \leq C \|B\|^m |\det B|^{-1/p} |v|_{m,p,\tilde{\Gamma}} \quad \forall v \in W^{m,p}(\tilde{\Gamma})$$

$$\textcircled{7} \quad |v|_{m,p,\tilde{\Omega}} \leq C \|B^{-1}\|^m |\det B|^{1/p} |v|_{m,p,\tilde{\Gamma}} \quad \forall v \in W^{m,p}(\tilde{\Gamma})$$

where  $C$  depends only on  $m$  &  $n$  and  $\|B\| = \sup_{x \in \mathbb{R}^n} \frac{|Bx|}{|x|}$ .

Proof Let  $v \in C^m(\tilde{\Gamma})$ . Then  $\tilde{v} \in C^m(\tilde{\Omega})$  and, for any  $i \in \{1, \dots, n\}$  and  $\tilde{x} \in \tilde{\Gamma}$  we have that

$$\frac{\partial \tilde{v}}{\partial \tilde{x}_i}(\tilde{x}) = \frac{\partial v}{\partial x_i}(F(\tilde{x})) = \sum_{k=1}^n \frac{\partial v}{\partial x_k}(F(\tilde{x})) \underbrace{\frac{\partial F_k(\tilde{x})}{\partial \tilde{x}_i}}_{B_{ki}}$$

Thus, for  $i_1, \dots, i_m \in \{1, \dots, n\}$

$$\begin{aligned} \left| \frac{\partial^m \tilde{v}}{\partial \tilde{x}_{i_1} \partial \tilde{x}_{i_2} \cdots \partial \tilde{x}_{i_m}}(\tilde{x}) \right| &= \left| \sum_{k_1=1}^n \sum_{k_2=1}^n \cdots \sum_{k_m=1}^n \frac{\partial^m v}{\partial x_{k_1} \partial x_{k_2} \cdots \partial x_{k_m}}(F(\tilde{x})) B_{k_1 i_1} \cdots B_{k_m i_m} \right| \\ &\leq \max_{|\alpha|=m} |(D^\alpha v)(F(\tilde{x}))| \left( \sum_{k_1=1}^n |B_{k_1 i_1}| \right) \left( \sum_{k_2=1}^n |B_{k_2 i_2}| \right) \cdots \left( \sum_{k_m=1}^n |B_{k_m i_m}| \right) \\ &\leq \max_{|\alpha|=m} |(D^\alpha v)(F(\tilde{x}))| \left( \sum_{i,j=1}^n |B_{ij}| \right) \\ &\leq C(m,n) \max_{|\alpha|=m} |(D^\alpha v)(F(\tilde{x}))| \|B\|^m \end{aligned}$$

For any  $p \in [1, \infty)$

$$\begin{aligned} \left| \frac{\partial^m \tilde{v}}{\partial \tilde{x}_{i_1} \cdots \partial \tilde{x}_{i_m}}(\tilde{x}) \right|^p &\leq C(m,n)^p \max_{|\alpha|=m} |(D^\alpha v)(F(\tilde{x}))|^p \|B\|^{pm} \\ &\leq C(m,n)^p \|B\|^{pm} \sum_{|\alpha|=m} |(D^\alpha v)(F(\tilde{x}))|^p \end{aligned}$$

Then

$$\begin{aligned}
 \|v\|_{m,p,\tilde{\alpha}} &= \left[ \int_{\tilde{\alpha}} \sum_{|\beta|=m} |\partial^\beta \tilde{v}(\tilde{x})|^p d\tilde{x} \right]^{\frac{1}{p}} \\
 &\leq C(m,n) \|B\|^m \left[ \int_{\tilde{\alpha}} \sum_{|\beta|=m} \sum_{|\alpha|=m} |(\partial^\alpha)(F(\tilde{x}))|^p d\tilde{x} \right]^{\frac{1}{p}} \\
 &= \tilde{C}(m,n) \|B\|^m \left[ \int_{\tilde{\alpha}} \sum_{|\alpha|=m} |(\partial^\alpha v)(x)|^p \underbrace{\left| \det \frac{DF^{-1}}{Dx}(x) \right| dx}_{\left| \det B^{-1} \right| = \left| \det B \right|^{-1}} \right]^{\frac{1}{p}} \\
 &= \tilde{C}(m,n) \|B\|^m \left| \det B \right|^{-\frac{1}{p}} \|v\|_{m,p,\alpha}
 \end{aligned}$$

We have prove that

$$\|v\|_{m,p,\tilde{\alpha}} \leq \tilde{C} \|B\|^m \left| \det B \right|^{-\frac{1}{p}} \|v\|_{m,p,\alpha} \quad \forall v \in C^m(\bar{\alpha})$$

Now prove for  $v \in \omega^{m,p}(\alpha)$ .

Define operator  $R: C^m(\bar{\alpha}) \rightarrow \omega^{m,p}(\alpha)$  by

$Rv := v \circ F$ . Then,  $\|Rv\|_{m,p,\tilde{\alpha}} \leq C \|v\|_{m,p,\alpha}$ .

Since  $C^m(\bar{\alpha})$  is dense in  $\omega^{m,p}(\alpha)$  there is a unique  $R \in L(C^m(\bar{\alpha}), \omega^{m,p}(\alpha))$  such that  $Rv = Rv \forall v \in C^m(\bar{\alpha})$ .

If  $v \in \omega^{m,p}(\alpha)$  then  $\exists \{v_\ell\} \subset C^m(\bar{\alpha})$  s.t.  $\|v - v_\ell\|_{m,p,\alpha} \rightarrow 0$

$$\begin{aligned}
 \Rightarrow \|Rv - Rv_\ell\|_{m,p,\tilde{\alpha}} &\leq \|R(v - v_\ell)\|_{m,p,\tilde{\alpha}} + \|(v_\ell - v) \circ F\|_{m,p,\tilde{\alpha}} \\
 &\quad (Rv_\ell = Rv_\ell \circ F)
 \end{aligned}$$

$$\leq C \|v - v_\ell\|_{m,p,\alpha} \rightarrow 0 \Rightarrow Rv = v \circ F$$

$$\Rightarrow v \circ F \in \omega^{m,p}(\alpha)$$

Moreover,

$$\begin{aligned}
 \|v\|_{m,p,\tilde{\alpha}} &= \|Rv\|_{m,p,\tilde{\alpha}} = \lim_{\ell \rightarrow \infty} \|Rv_\ell\|_{m,p,\tilde{\alpha}} \\
 &\quad \text{--- } \overline{Rv_\ell} = v_\ell \circ F = v_\ell \in C^m(\bar{\alpha})
 \end{aligned}$$

$$\begin{aligned}
 &\leq \tilde{C}(m,n) \|B\|^m \left| \det B \right|^{-\frac{1}{p}} \lim_{\ell \rightarrow \infty} \|v_\ell\|_{m,p,\alpha} \\
 &\quad \text{--- } \overline{\|v_\ell\|_{m,p,\alpha}} = \|v\|_{m,p,\alpha}
 \end{aligned}$$

$\Rightarrow$  Inequality (6) holds for any  $p \in [1, \infty)$ .  
 Need to show (6) for  $p = \infty$ . If  $v \in \omega^{m,p}(\mathcal{G})$   
 then  $v \in \omega^{\infty,p}(\mathcal{G}) \forall p \in [1, \infty)$  since  $G$  is bounded  
 $\Rightarrow \tilde{v} \in \omega^{m,p}(\tilde{\mathcal{G}}) \forall p \in [1, \infty)$ .

$$\text{Since } \|v\|_{m,p,\mathcal{G}} = \left[ \sum_{|\alpha| \leq m} \left( \int_{\mathcal{G}} |\partial^\alpha v|^p dx \right)^{1/p} \right] \leq \|v\|_{m,\infty,\mathcal{G}} [\text{card}\{\alpha : |\alpha| \leq m\}]^{1/p}$$

$$\leq C(m,n) \|B\|^{1/\alpha} |\det B|^{-1/p} \|v\|_{m,\infty,\mathcal{G}} \leq \tilde{C}(m,n, \mathcal{G}, B) \|v\|_{m,\infty,\mathcal{G}}$$

Since RHS is independent of  $p$ , we have that  $B^\alpha \tilde{v} \in L^p(\tilde{\mathcal{G}})$   
 $\Rightarrow \tilde{v} \in \omega^{m,p}(\tilde{\mathcal{G}}) \quad \forall |\alpha| \leq m$

Since  $w \in L^\infty(\mathcal{G})$ :  $\|w\|_{0,\infty,\mathcal{G}} = \lim_{p \rightarrow \infty} \|w\|_{0,p,\mathcal{G}}$

and by (6)  $\|w\|_{0,p,\mathcal{G}} \leq C \|B\|^m |\det B|^{1/p} \|v\|_{m,p,\mathcal{G}} \quad \forall p \in [1, \infty)$ ,  
 for  $v \in \omega^{m,p}(\mathcal{G})$  we also get  $G$  for  $p = \infty$  (by letting  $p \rightarrow \infty$ ).

Inequality (7) follows by interchanging  $G$  &  $\tilde{G}$

since  $\tilde{G} = F^{-1}(G)$ ,  $\frac{DF^\top}{Dx} = TB^{-1}$

□

Theorem 5 Let  $(\tilde{T}, \tilde{P}, \tilde{\Sigma})$  be a finite element and let

$k, m \in \mathbb{N}_0$  and  $p, q \in [1, \infty]$  be such that

$$*\sum \subset [\omega^{k+1,p}(\tilde{T})]^T \quad \omega^{k+1,p}(\tilde{T}) \hookrightarrow \omega^{m,q}(\tilde{T})$$

$$P_k(\tilde{T}) \subset \tilde{P} \subset \omega^{m,q}(\tilde{T})$$

Condition  
only on  
 $k, p, \& q$   
(subs. emb.)

In all examples  $\tilde{P}$  (polynomial subspace),  
 which contains polynomial space & polynomial smoothness  $\Rightarrow P \subset \omega^{k+1,p}(\tilde{T})$

Then, there exists a constant  $\tilde{C}$  such that for any  
 finite element  $(T, P, \Sigma)$  which is affine equivalent to  $(\tilde{T}, \tilde{P}, \tilde{\Sigma})$

$$(v - T_T v)_{m,q,T} \leq \tilde{C} (\tilde{T})^{\frac{1}{q} - \frac{1}{p}} \frac{h_T^{k+1}}{\sqrt{m}} \|v\|_{k+1,p,\tilde{T}} \quad \forall v \in \omega^{k+1,p}(\tilde{T})$$

where  $T_T v$  is the  $P$ -interpolation of  $v$ .

Let's discuss validity of  $\star \sum_{\hat{\tau}} \subset [\omega^{k+1, p}(\hat{\tau})]^t$

Lagrange FEs  $\hat{\phi} \in \hat{\Sigma} \Rightarrow \exists \hat{v} \in \hat{\tau}: \hat{\phi}(\hat{v}) = \hat{v} (\vec{e}) \forall \vec{v} \in C(\hat{\tau})$   
 $\Rightarrow |\hat{\phi}(\vec{v})| \leq \|\vec{v}\|_{0, \infty, \hat{\tau}} \quad \forall \vec{v} \in C(\hat{\tau})$

Need Sobolev embedding

Let  $\frac{n}{p} < k+1$  (for  $n=23$  &  $p=2$  this holds  $\forall k \geq 1$ )

Then,  $\omega^{k+1, p}(\hat{\tau}) \hookrightarrow C(\hat{\tau})$

$$\Rightarrow \|\vec{v}\|_{0, \infty, \hat{\tau}} \leq C \|\vec{v}\|_{k+1, p, \hat{\tau}} \quad \forall \vec{v} \in \omega^{k+1, p}(\hat{\tau})$$

$$\Rightarrow |\hat{\phi}(\vec{v})| \leq C \|\vec{v}\|_{k+1, p, \hat{\tau}} \quad \forall \vec{v} \in \omega^{k+1, p}(\hat{\tau})$$

$$\Rightarrow \hat{\phi} \in [\omega^{k+1, p}(\hat{\tau})]^t \Rightarrow \star \text{ holds.}$$

Hermite FEs functionals from  $\hat{\Sigma}$  are defined on  $C^s(\hat{\tau})$ ,  
 for some  $s > 0 \Rightarrow |\hat{\phi}(\vec{v})| \leq C \|\vec{v}\|_{s, \infty, \hat{\tau}} \quad \forall \vec{v} \in C^s(\hat{\tau}) \subset \hat{\Sigma}$

If  $\frac{n}{p} < k+1-s$ , then  $\omega^{k+1, p}(\hat{\tau}) \hookrightarrow C^s(\hat{\tau})$

$$\Rightarrow \|\vec{v}\|_{s, \infty, \hat{\tau}} \leq C \|\vec{v}\|_{k+1, p, \hat{\tau}} \quad \forall \vec{v} \in \omega^{k+1, p}(\hat{\tau}) \Rightarrow \text{OK}$$

Functionals from  $\widehat{\Sigma}$  can be defined using integrals; e.g.

$$\phi(v) = \frac{1}{|\hat{\tau}|} \int_{\hat{\tau}} v \, d\hat{x}. \text{ Then } \hat{\phi} \in [L^1(\hat{\tau})]^t.$$

If  $\hat{\tau}$  is a triangle &  $\hat{E}$  is an edge of  $\hat{\tau}$ , then  
 one can define  $\hat{\phi}(v) = \frac{1}{|\hat{E}|} \int_{\hat{E}} v \, ds$ . Then,

$$|\hat{\phi}(v)| \leq C \|v\|_{0, \hat{E}} \leq C \|v\|_{1, \hat{\tau}} \quad \forall v \in H^1(\hat{\tau})$$

$$\Rightarrow \hat{\phi} \in [H^1(\hat{\tau})]^t$$

Let  $(\hat{\tau}, \hat{P}, \hat{\Sigma})$  be Lagrange or Hermite FEs; then,  $P_k(\hat{\tau}) \subset \hat{P}$  for some  $k \geq 1$ . Let  $p=q=2$ . Let  $\frac{n}{2} < k+1-s$  ( $s=0$  for L range) i.e.  $n < 2(k+1-s)$

&  $0 \leq m \leq k+1$

Consider regular triangulation  $\frac{h_{\tau}}{h_{\hat{\tau}}} \leq \sigma$   $\forall \tau$

$\Rightarrow$  for any  $(\tau, P_{\tau}, \Sigma_{\tau}) \sim (\hat{\tau}, \hat{P}, \hat{\Sigma})$  ||  $\|\Pi_{\tau} v - \Pi_{\hat{\tau}} v\|_{m, \tau} \leq C h_{\tau}^{k+1-m} \|v\|_{k+1, \tau}$

$\ \nu - \Pi_{\tau} \nu\ _{m, \tau}$	$O(h_{\tau}^{2-m})$ $0 \leq m \leq 2$ ( $k=1$ )	$O(h_{\tau}^{3-m})$ $0 \leq m \leq 3$ ( $k=2$ )	$O(h_{\tau}^{4-m})$ $0 \leq m \leq 4$ ( $k=3$ )
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Regularity of $v$	$H^2(\tau)$	$H^3(\tau)$	$H^4(\tau)$
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Upper-bound on $n$ s.t. $H^{k+1}(\tau) \subset \mathcal{Q}(n)$	$n \leq 3$ ( $s=0$ )	$n \leq 5$ ( $s=0$ )	$n \leq 3$ ( $s=1$ )	$n \leq 7$ ( $s=0$ )	$n \leq 5$ ( $s=1$ )	$n \leq 3$ ( $s=2$ )
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Simplex FE	$P_1$ -Lagrange	$P_2$ -Lagrange, Reduced $P_3$	$P_2$ Hermite	$P_3$ Lagrange	$P_3$ Hermite
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Rectangle FE	$Q_1$ Lagrange	$Q_2$ Lagrange, Reduced $Q_3$	$Q_3$ Lagrange Reduced $Q_3$	Bogner-Fox-Schmidt
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## Inverse Inequality

Let  $(\tilde{\tau}, \tilde{P}, \tilde{\Sigma})$  be a finite element & let  $l, m \in \mathbb{N}_0$  and  $r, q \in [1, \infty]$  be such that  
 $\tilde{l} \leq m$  &  $\tilde{P} \subset \omega^{l,r}(\tilde{\tau}) \cap \omega^{m,q}(\tilde{\tau})$ .

Let  $\mathcal{T}_h$  be a triangulation and let  $(\tau, P_\tau, \Sigma_\tau)$  be a finite element which is affine equivalent to  $(\tilde{\tau}, \tilde{P}, \tilde{\Sigma})$  for any  $\tau \in \mathcal{T}_h$ . Then, there exists a positive constant  $C_1$  depending only on  $\tilde{\tau}, \tilde{P}, l, m, r, q, n$  such that

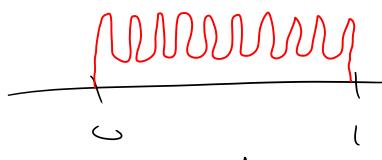
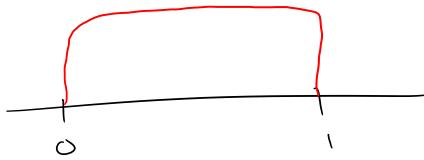
$$\|v\|_{m,q,\tau} \leq C \frac{h^\tau}{\sqrt{P_\tau}} |\tau|^{\frac{1}{q} - \frac{1}{r}} \|v\|_{l,r,\tilde{\tau}} \quad \forall v \in P_\tau \quad \forall \tau \in \mathcal{T}_h.$$

Example  $q = r = 2$

$$l=0, m=1: \|v\|_{1,\tau} \leq C \frac{1}{\sqrt{P_\tau}} \|v\|_{0,\tau} \quad \forall v \in P_\tau$$

(Friedrich's inequality)  $\|v\|_{0,\tau} \leq C \|v\|_{1,\tau}$   $\forall v \in P_0(\tau)$

Only holds in finite dimensional space; counterexample  $H^1$ :



$H^1$  seminorm of second greater but  $L^2$  equivalent.

$$l=1, m=2: \|v\|_{2,\tau} \leq C \frac{h^\tau}{\sqrt{P_\tau}} \|v\|_{1,\tau} \quad \forall v \in P_\tau$$

Proof  $F_\tau(\hat{v}) = B\hat{v} + b \quad P_\tau = \{\hat{v} \in F_\tau^{-1}: \hat{v} \in \hat{P}\}$

Consider  $v \in P_\tau$  &  $\hat{v} = v \circ F_\tau^{-1}$ . Then  $\hat{v} \in \hat{P}$  &  $v \in \omega^{l,r}(\tau) \cap \omega^{m,q}(\tau)$  and  $\hat{v} \in \omega^{l,r}(\tilde{\tau}) \cap \omega^{m,q}(\tilde{\tau})$ . We have that  $\|v\|_{m,q,\tau} \leq C (\|B\|^m (\det B)^{\frac{1}{q}}) \|\hat{v}\|_{m,q,\tilde{\tau}}$ .

Equivalence of norms of finite dimensional spaces

$$|\hat{v}|_{m,q,\hat{\tau}} \leq \|\hat{v}\|_{m,q,\hat{\tau}} \leq \hat{C} \|\hat{v}\|_{\ell,r,\hat{\tau}}$$

We know that  $\inf_{P \in P_{l-1}(\hat{\tau})} \|\hat{v} + \hat{p}\|_{\ell,r,\hat{\tau}} \leq \hat{C} |\hat{v}|_{\ell,r,\hat{\tau}}$

Since  $l \leq m$  we have that  $|\hat{v} + \hat{p}|_{m,q,\hat{\tau}} = |\hat{v}|_{m,q,\hat{\tau}} \quad \forall \hat{p} \in P_{l-1}(\hat{\tau})$

$$|\hat{v}|_{m,q,\hat{\tau}} = |\hat{v} + \hat{p}|_{m,q,\hat{\tau}} \leq \|\hat{v} + \hat{p}\|_{m,q,\hat{\tau}} \leq \hat{C} \|\hat{v} + \hat{p}\|_{\ell,r,\hat{\tau}} \quad \forall \hat{p} \in P_{l-1}(\hat{\tau})$$
$$\Rightarrow |\hat{v}|_{m,q,\hat{\tau}} \leq \hat{C} \inf_{\hat{p} \in P_{l-1}(\hat{\tau})} \|\hat{v} + \hat{p}\|_{\ell,r,\hat{\tau}} \leq \bar{C} |\hat{v}|_{\ell,r,\hat{\tau}}$$

Additionally, we have that

$$|v|_{m,q,\tau} \leq C \|B^{-1}\|^m |\det B|^{\frac{1}{q}} |v|_{m,q,\hat{\tau}}$$

$$|\hat{v}|_{\ell,r,\hat{\tau}} \leq C \|B\|^l |\det B|^{\frac{1}{r}} |v|_{\ell,r,\tau}$$

So  $|v|_{m,q,\tau} \leq C \|B\|^l \|B^{-1}\|^m |\det B|^{\frac{1}{q} - \frac{1}{p}} |v|_{\ell,r,\tau}$

We have that

$$\|B\| \leq \frac{h\tau}{\hat{p}} \quad \|(B^{-1})\| \leq \frac{h}{\hat{p}} \quad |\det B| = \frac{|\tau|}{|\hat{\tau}|}$$

$$\Rightarrow |v|_{m,q,\tau} \leq \frac{h^l}{\hat{p}^m} |\tau|^{\frac{1}{q} - \frac{1}{p}} |v|_{\ell,r,\tau}$$

□