

Constraint Satisfaction Problems of Bounded Width

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Relational structures and homomorphisms

Definition

Type is finite sequence of natural numbers

Relational structure of type r_1, \dots, r_n is a tuple (A, R_1, \dots, R_n) , where A is a finite set, R_i is a relation of arity r_i , i.e. $R_i \subseteq A^{r_i}$

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Let $\mathbb{X} = (X, S_1, \dots, S_n)$ and $\mathbb{A} = (A, R_1, \dots, R_n)$ be relational structures of the same type r_1, \dots, r_n .

A *homomorphism* $f : \mathbb{X} \rightarrow \mathbb{A}$ is a mapping $f : X \rightarrow A$ preserving all the relations, i.e. $(f(x_1), \dots, f(x_{r_i})) \in R_i$ for any $(x_1, \dots, x_{r_i}) \in S_i$.

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Example

Relational structures of type 2 are directed graphs. Homomorphism = edge-preserving mapping

Constraint Satisfaction Problem (CSP)

Definition (CSP with fixed template)

Let $\mathbb{A} = (A, R_1, \dots, R_n)$ be a relational structure (template).

$CSP(\mathbb{A})$ is the following decision problem

INPUT A rel. structure $\mathbb{X} = (X, S_1, \dots, S_n)$ of the same type as \mathbb{A}

OUTPUT Is there a homomorphism $\mathbb{X} \rightarrow \mathbb{A}$?

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Question

For a fixed \mathbb{A} , what is the time complexity of $CSP(\mathbb{A})$? (Clearly in NP)

k -coloring problem

Fix $k \in \mathbb{N}$

$\mathbb{A} = (\{1, 2, \dots, k\}, R)$ type 2, where
 $(x, y) \in R$ iff $x \neq y$

$\mathbb{X} = \{X, E\}$.

A mapping $f : X \rightarrow \{1, 2, \dots, k\}$ is a homomorphism iff it is a k -coloring
(if $(x, y) \in E$, then $f(x) \neq f(y)$)

$CSP(\mathbb{A}) = k\text{-COL}$

Complexity:

- ▶ **P** if $k \leq 2$
- ▶ **NP-complete** if $k \geq 3$

3-SAT

$\mathbb{A} = (\{0, 1\}, R_1, R_2, R_3, R_4)$ type 3, 3, 3, 3, where

$(x, y, z) \in R_1$ iff $x \vee y \vee z$

$(x, y, z) \in R_2$ iff $x \vee y \vee \neg z$

$(x, y, z) \in R_3$ iff $x \vee \neg y \vee \neg z$

$(x, y, z) \in R_4$ iff $\neg x \vee \neg y \vee \neg z$

$\mathbb{X} = (\{x_1, \dots, x_4\}, \{(x_1, x_2, x_4), (x_2, x_3, x_3)\}, \{(x_4, x_3, x_1), (x_2, x_1, x_3)\}, \emptyset, \emptyset)$

Consider the formula

$(x_1 \vee x_2 \vee x_4) \wedge (x_2 \vee x_2 \vee x_3) \wedge (x_4 \vee x_3 \vee \neg x_1) \wedge (x_2 \vee x_1 \vee \neg x_3)$

A mapping $f : X \rightarrow A$ is a homomorphism, if it is an evaluation of variables x_1, \dots, x_4 which makes the formula above true

$CSP(\mathbb{A}) = 3 - SAT$

Complexity ... NP-complete

Systems of linear equations over $GF(p^k)$

$\mathbb{A} = (GF(p^k), R, R_i (i \in GF(p^k)))$ type 3, 1, 1, ..., 1, where
 $(x, y, z) \in R_1$ iff $x + y = z$
 $R_i = \{i\}$

$\mathbb{X} = (\{x_1, \dots, x_5\}, S, S_i (i \in GF(p^k)))$, where
 $S = \{(x_1, x_3, x_5), (x_2, x_5, x_4)\}$
 $S_4 = \{x_1, x_2\}$
 $S_i = \emptyset$, for $i \neq 4$

homomorphism = solution of the following system of lin. eq. over $GF(p^k)$

$$x_1 + x_3 = x_5, \quad x_2 + x_5 = x_4, \quad x_1 = 4, \quad x_2 = 4$$

$$CSP(\mathbb{A}) = SysLinEq(p^k)$$

Complexity ... P

The dichotomy conjecture

The conjecture of Feder and Vardi 93

For every \mathbb{A} , $CSP(\mathbb{A})$ is in P or it is NP -complete.

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Theorem (Feder, Vardi 93)

For every \mathbb{A} there exists a directed graph \mathbb{A}' such that $CSP(\mathbb{A})$ has the same complexity as $CSP(\mathbb{A}')$.

Towards the algebraic approach

WLOG

We can (and will) assume that \mathbb{A} contains all the singleton unary relations.

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Definition

Let $R \subseteq A^m$. We say that an operation $f : A^n \rightarrow A$ is **compatible** with R (or R is compatible with f), if for every $(a_{ij})_{i=1\dots m, j=1\dots n}$ $a_{ij} \in A$ such that columns are in R , then $(f(a_{11}, \dots, a_{1n}), \dots, f(a_{m1}, \dots, a_{mn})) \in R$.

We say that an operation $f : A^n \rightarrow A$ is a **polymorphism** of \mathbb{A} , if it is compatible with all the relations in \mathbb{A} .

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Every polymorphism is idempotent, i.e. $f(a, a, \dots, a) = a$ for all $a \in A$.

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Example

A projection is a polymorphism of every relational structure.

Polymorphism - a better example

malcev...

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If $HSP(\mathbf{A})$ contains a trivial algebra (i.e. at least 2-element algebra such that every operation is a projection), then $CSP(\mathbb{A})$ is NP-complete.

Algebraic dichotomy conjecture and some results

Conjecture (BJK 00)

If $HSP(\mathbf{A})$ doesn't contain a trivial algebra, then $CSP(\mathbb{A})$ is in P .
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- ▶ True, if $|A| = 3$ (Bulatov 05)
- ▶ True, if \mathbb{A} contains all unary relations (Bulatov 05)
- ▶ True, if \mathbb{A} is a digraph such that all vertices have an incoming and an outgoing edge (Barto, Kozik, Niven 06)

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- ▶ **"Consistency checking."** We didn't know when this algorithm gives a correct answer. Just some very special cases were known

Bulatov, Carvalho, Dalmau, Feder, Kiss, Marković, Maróti, Valeriote, Vardi

k-strategy

\mathbb{X}, \mathbb{A} ... relational structures of the same type. k ... a natural number.

Definition (*k*-strategy)

A collection $\mathcal{F} = \{\mathcal{F}_K : K \subseteq X, |K| \leq k\}$ is called a *k*-strategy for (\mathbb{X}, \mathbb{A}) , if

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Observation

- ▶ The biggest k -strategy for (\mathbb{X}, \mathbb{A}) can be computed in poly-time (wrt. $|X|$).
- ▶ If there is a homomorphism $\mathbb{X} \rightarrow \mathbb{A}$, then there exists a nonempty k -strategy.

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Recall: We have fixed rel. str. \mathbb{A} . We are trying to solve $CSP(\mathbb{A})$

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A relational structure \mathbb{A} has **width k** , if the k -consistency algorithm works correctly.

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A relational structure \mathbb{A} has **bounded width**, if it has width k for some k .

Bounded width is everywhere!

Bounded width has many equivalent formulations

- ▶ Combinatorics: bounded tree width duality
- ▶ Logic: via definability in certain infinitary logic
- ▶ Programming: solvability in Datalog (fragment of Prolog)
- ▶ Pebble games
- ▶ ...

Larose-Zádori conjecture

Theorem (Larose, Zádori 06)

Whether \mathbb{A} has bounded width or not depends only on $HSP(\mathbf{A})$.

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Theorem (Barto, Kozik 08)

Yes!

Our basic tool

Theorem (No trivial algebras!)

Let \mathbb{A} be a relational structure. TFAE

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- ▶ (Maróti, McKenzie 06) \mathbf{A} has an operation w (of some arity) satisfying

$$w(b, a, \dots, a) = w(a, b, a, \dots, a) = \dots = w(a, \dots, a, b)$$

(so called *Weak-NU operation*)

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- ▶ ?????(BJK conjecture) $CSP(\mathbb{A})$ is in P

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- ▶ (Maróti, McKenzie 06) \mathbf{A} has a Weak-NU operation of almost all arities
- ▶ (BK 08) $CSP(\mathbb{A})$ has bounded width