

# PSpace-hard vs $\Pi_2^P$ Dichotomy of the QCSP

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### Question

What is the complexity of QCSP( $\Gamma$ ) for different  $\Gamma$ ?

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**Theorem [Schaefer 1978 + Creignou et al. 2001/ Dalmau 1997.]**

Suppose  $\Gamma$  is a constraint language on  $\{0, 1\}$ . Then

- ▶  $\text{QCSP}(\Gamma)$  is in P if  $\Gamma$  is preserved by an idempotent WNU operation,
- ▶  $\text{QCSP}(\Gamma)$  is PSPACE-complete otherwise.





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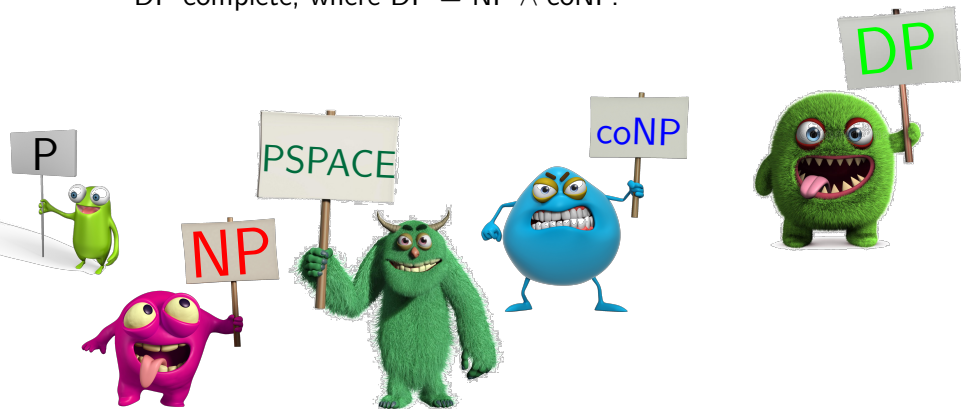
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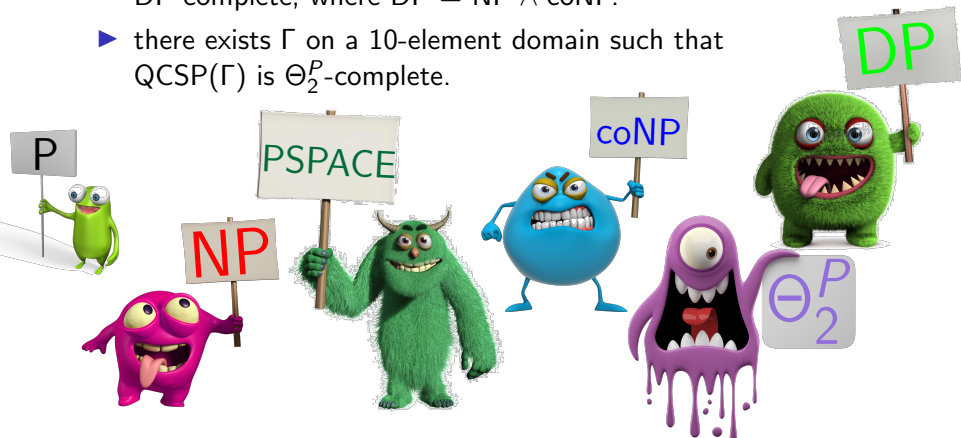
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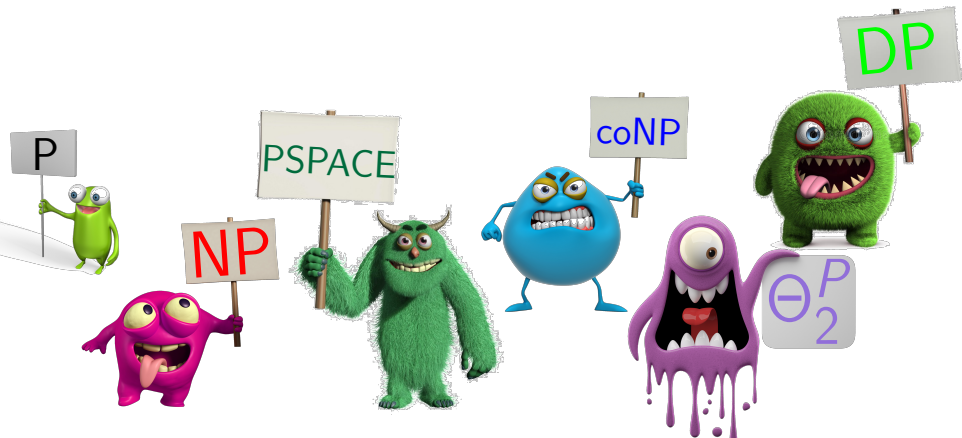


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- ▶ there exists  $\Gamma$  on a 10-element domain such that  $\text{QCSP}(\Gamma)$  is  $\Theta_2^P$ -complete.



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### Theorem [Zhuk, Martin, 2019]

Suppose  $\Gamma$  is a constraint language on  $\{0, 1, 2\}$  containing  $\{x = a \mid a \in \{0, 1, 2\}\}$ . Then  $\text{QCSP}(\Gamma)$  is

- ▶ in P, or
- ▶ NP-complete, or
- ▶ coNP-complete, or
- ▶ PSPACE-complete.







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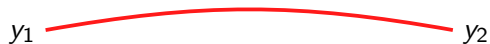
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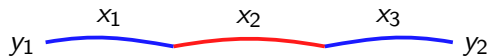
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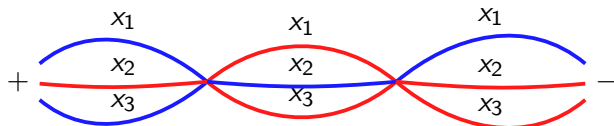


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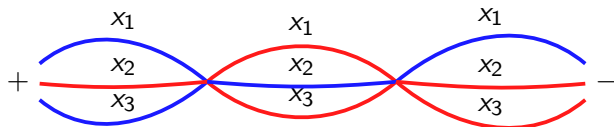
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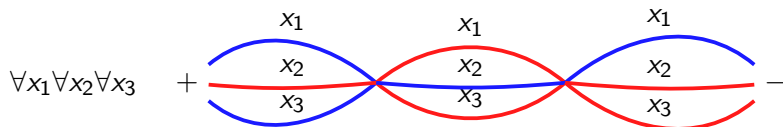
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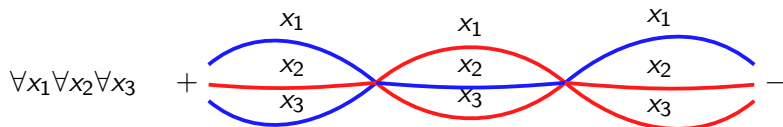
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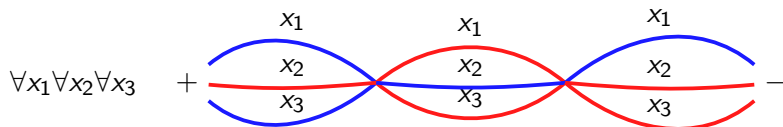
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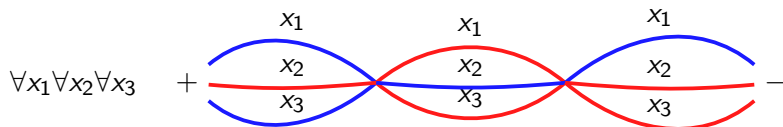
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### Claim

QCSP( $\Gamma$ ) is coNP-hard.

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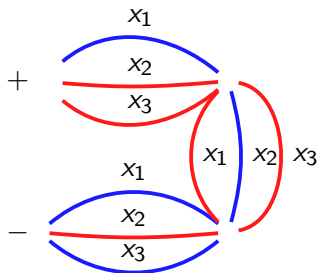
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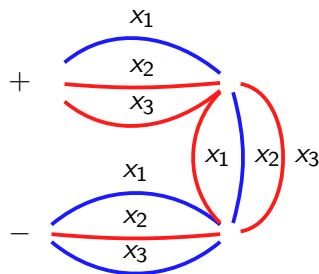
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$$\neg((x_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (x_1 \vee \bar{x}_2 \vee \bar{x}_3))$$

## PSpace-hardness

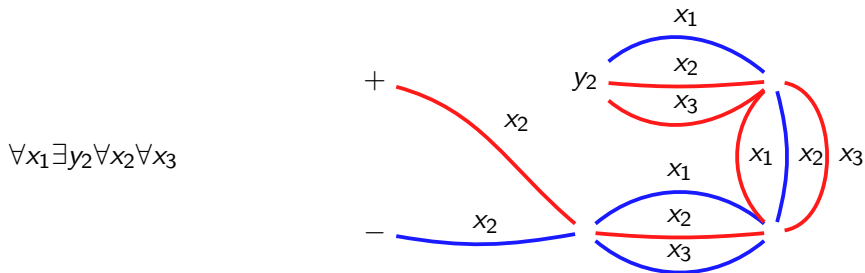
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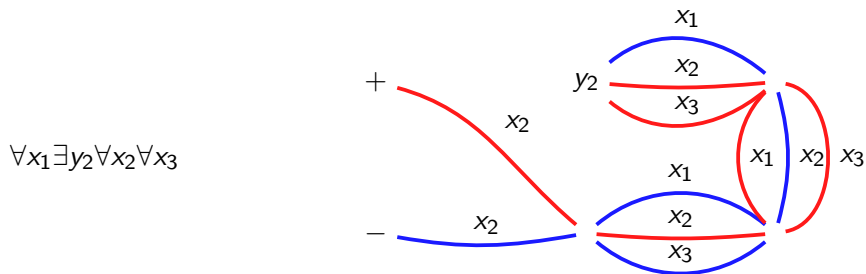
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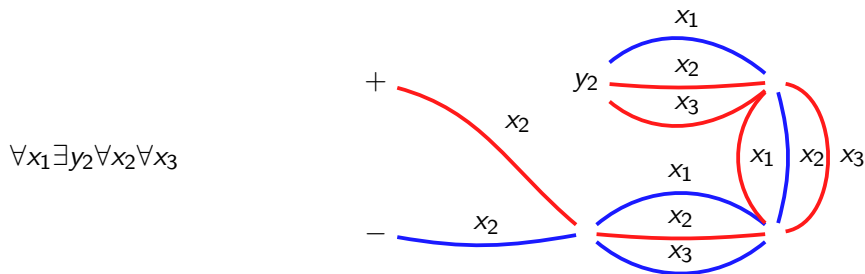
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### Claim

QCSP( $\Gamma$ ) is PSpace-hard.

## PSPACE-hardness

## PSpace-hardness

Let  $A = \{+, -, 0, 1\}$ ,  $\Gamma = \{R_0, R_1, \{+\}, \{-\}\}$ .

$$R_0(y_1, y_2, x) = (y_1, y_2 \in \{+, -\}) \wedge (y_1 = y_2 \vee x \neq 0)$$

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### Theorem

Suppose

1.  $\Gamma$  contains  $\{x = a \mid a \in A\}$
2. QCSP( $\Gamma$ ) is PSpace-hard.

Then there exist

- ▶  $D \subseteq A$
- ▶ a nontrivial equivalence relation  $\sigma$  on  $D$
- ▶  $B, C \subsetneq A$  with  $B \cup C = A$

s.t.  $\sigma(y_1, y_2) \vee B(x)$  and  $\sigma(y_1, y_2) \vee C(x)$  are pp-definable over  $\Gamma$ .

## QCSP Dichotomy

### Theorem [Folklore]

CSP( $\Gamma$ )

- ▶ is either NP-complete,
- ▶ or in P.

### Theorem

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- ▶ Prove hardness
  
- ▶ Find fast algorithm

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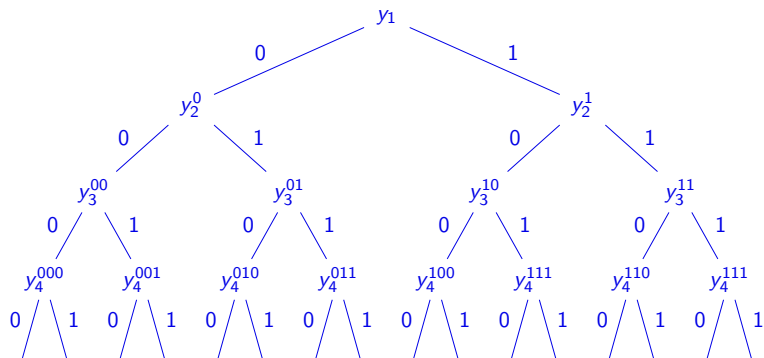
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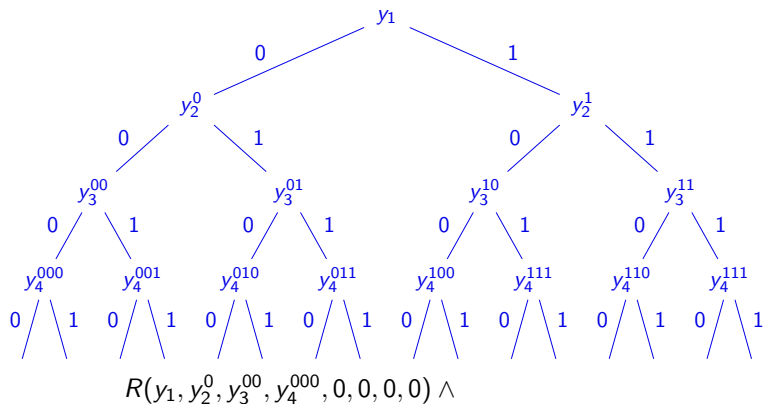


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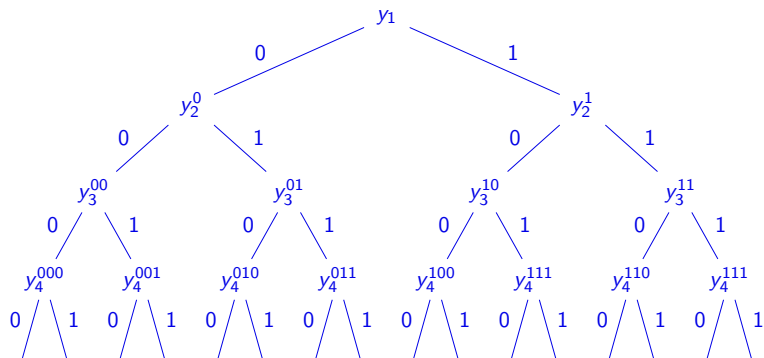


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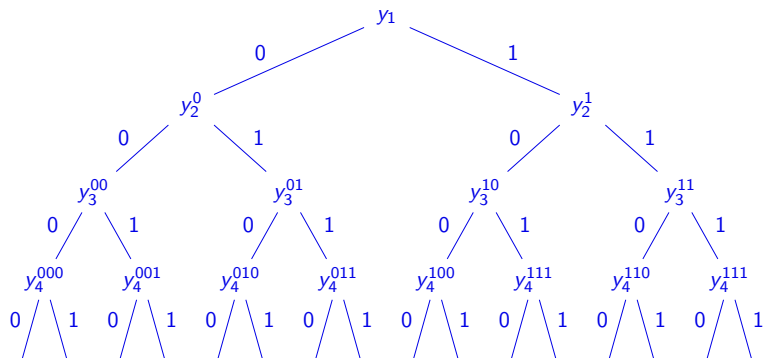
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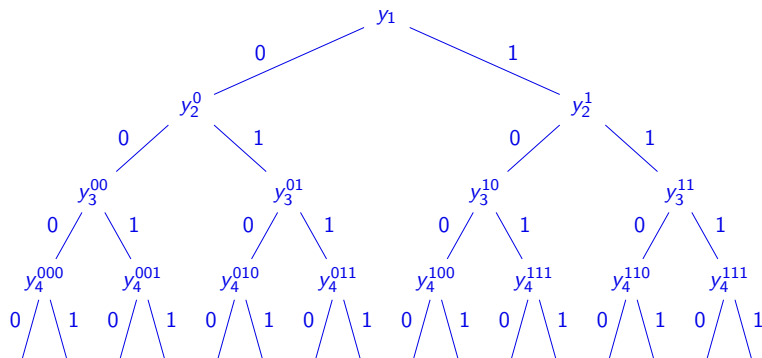
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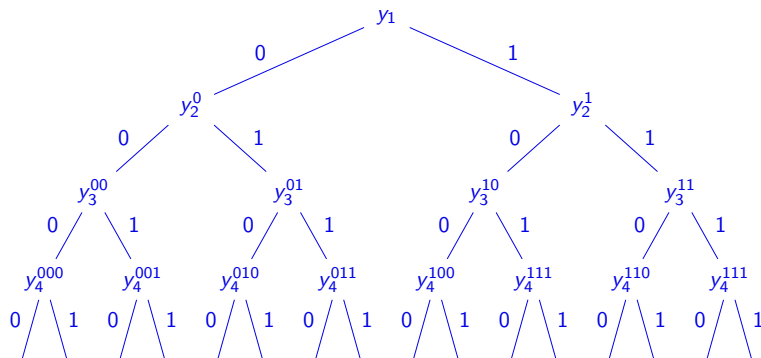
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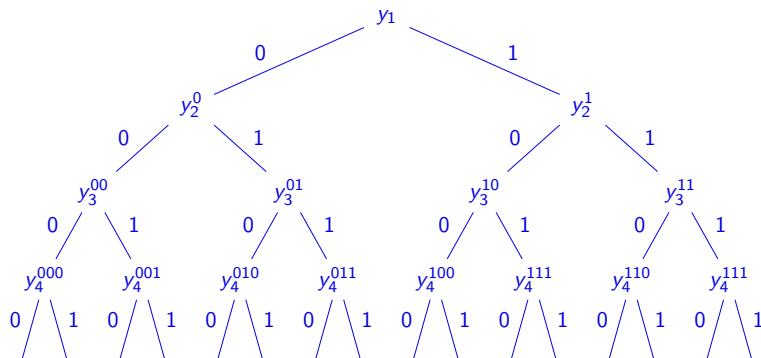


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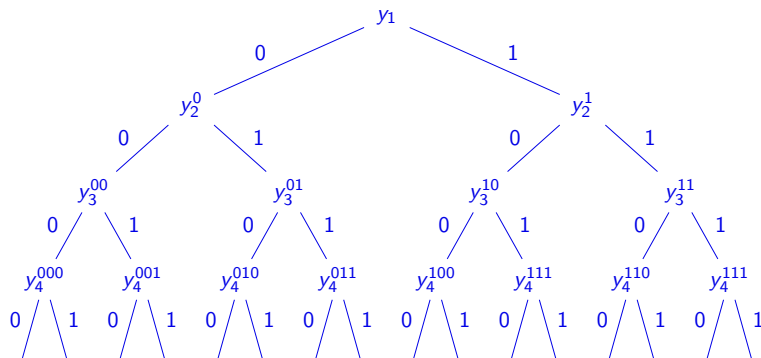
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$$\Psi \Leftrightarrow \forall \Omega \subseteq \text{ExpCSP}_R^n \quad (|\Omega| < p(|\Phi|)) \quad (\exists (y_1, y_2^0, y_2^1, y_3^{00}, \dots) \Omega)$$

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$\bigwedge_{a \in A, i=1, \dots, n} (\exists y'_{i+1} \dots \exists y'_n R(y_1, \dots, y_i, y'_{i+1}, \dots, y'_n, x_1, \dots, x_i, a, \dots, a)).$



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**Solving**  $\text{ExpCSP}_{\tilde{R}}^n$

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### Solving $\text{ExpCSP}_{\tilde{R}}^n$

Check 1-consistency.

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$\bigwedge_{a \in A, i=1, \dots, n} (\exists y'_{i+1} \dots \exists y'_n R(y_1, \dots, y_i, y'_{i+1}, \dots, y'_n, x_1, \dots, x_i, a, \dots, a))$ .

▶  $\Psi$  is equivalent to  $\text{ExpCSP}_R^n$  and to  $\text{ExpCSP}_{\tilde{R}}^n$ .

### Solving $\text{ExpCSP}_{\tilde{R}}^n$

Check 1-consistency. If not, we seek for 1-consistency.

▶ Given an sentence  $\Psi = \exists y_1 \forall x_1 \exists y_2 \forall x_2 \dots \exists y_n \forall x_n \Phi$ .

▶  $R(y_1, \dots, y_n, x_1, \dots, x_n) = \Phi$ .

▶  $\tilde{R}(y_1, \dots, y_n, x_1, \dots, x_n) =$

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### Solving $\text{ExpCSP}_{\tilde{R}}^n$

Check 1-consistency. If not, we seek for 1-consistency.

▶ no 1-consistent reduction  $\Rightarrow$

**No 1-consistent reduction**

## No 1-consistent reduction

- ▶ Consider a tree-instance of  $\text{CSP}(\tilde{R})$  giving a contradiction.

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The diagram illustrates a sequence of constraint transformations. On the left, a vertical list of constraints is shown with arrows pointing downwards, indicating a sequence of operations. A yellow arrow on the right points from the bottom constraint to a final constraint.

$$\begin{array}{l} R(y_1, \dots, y_4, 0, 0, 1, 0) \\ \forall x R(y_1, \dots, y_4, 0, 0, 1, x) \\ \exists y_4 \forall x R(y_1, \dots, y_4, 0, 0, 1, x) \\ \forall x \exists y_4 R(y_1, \dots, y_4, 0, 0, 1, x) \\ \forall x' \forall x \forall y_4 R(y_1, \dots, y_4, 0, 0, x', x) \\ \forall x \exists y_4 R(y_1, \dots, y_4, 0, 0, x, x) \end{array}$$
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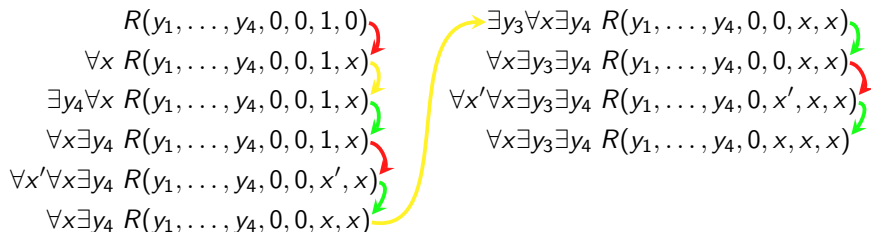
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$$\begin{array}{l} R(y_1, \dots, y_4, 0, 0, 1, 0) \\ \forall x R(y_1, \dots, y_4, 0, 0, 1, x) \\ \exists y_4 \forall x R(y_1, \dots, y_4, 0, 0, 1, x) \\ \forall x \exists y_4 R(y_1, \dots, y_4, 0, 0, 1, x) \\ \forall x' \forall x \exists y_4 R(y_1, \dots, y_4, 0, 0, x', x) \\ \forall x \exists y_4 R(y_1, \dots, y_4, 0, 0, x, x) \end{array}$$
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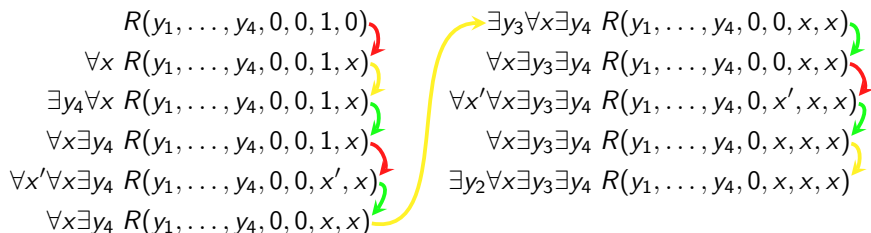
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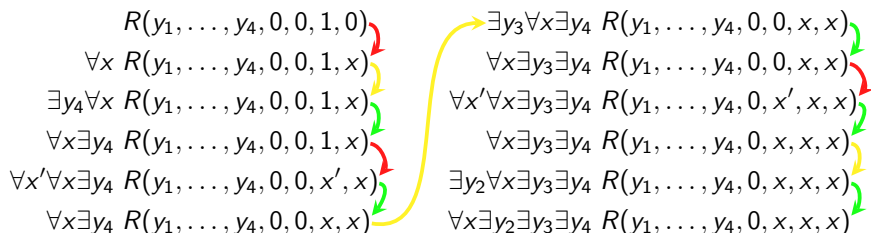
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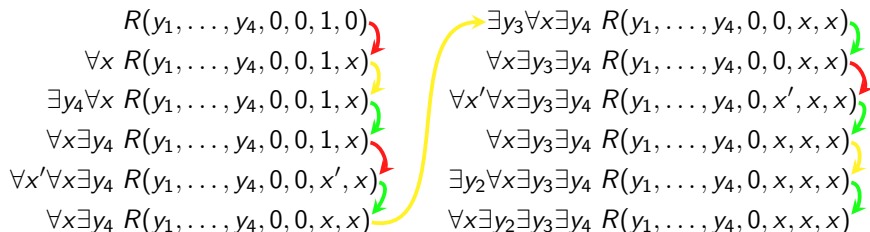
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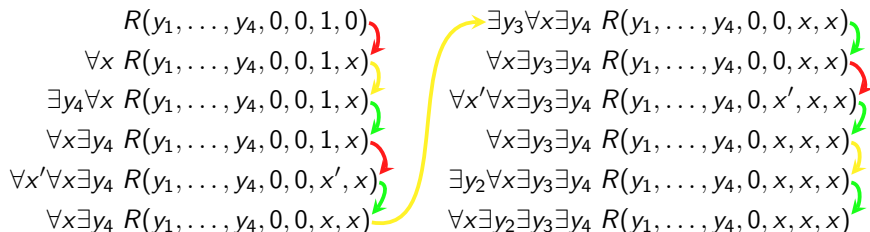
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- ▶ If there exists a path of length  $> 2^{2^{|A|}}$ , then we can pp-define a relations  $\sigma(y_1, y_2) \vee B(x)$  and  $\sigma(y_1, y_2) \vee C(x)$ .

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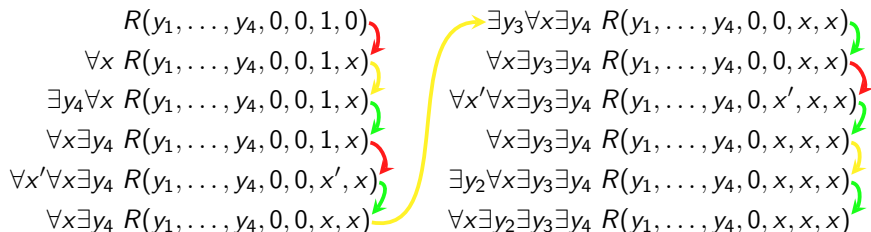
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- ▶ If any path is of length  $< 2^{2^{|A|}}$ , then the tree-instance is of polynomial size. **Done!**

▶ Given an sentence  $\Psi = \exists y_1 \forall x_1 \exists y_2 \forall x_2 \dots \exists y_n \forall x_n \Phi$ .

▶  $R(y_1, \dots, y_n, x_1, \dots, x_n) = \Phi$ .

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▶  $\Psi$  is equivalent to  $\text{ExpCSP}_R^n$  and to  $\text{ExpCSP}_{\tilde{R}}^n$ .

### Solving $\text{ExpCSP}_{\tilde{R}}^n$

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### Lemma 1

$\text{ExpCSP}_{\tilde{R}}^n$  has no 1-consistent reduction  $\Rightarrow$  polynomial-size subinstance of  $\text{ExpCSP}_R^n$  witnesses this.

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  - ▶  $\tilde{R}(y_1, \dots, y_n, x_1, \dots, x_n) =$
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- ▶  $\Psi$  is equivalent to  $\text{ExpCSP}_R^n$  and to  $\text{ExpCSP}_{\tilde{R}}^n$ .

### Solving $\text{ExpCSP}_{\tilde{R}}^n$

Check 1-consistency. If not, we seek for 1-consistency.

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- ▶ exists a 1-consistent reduction

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### Lemma 2

$\text{ExpCSP}_R^n$  has a 1-consistent reduction  $\Rightarrow \text{ExpCSP}_{\tilde{R}}^n$  has a solution.

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## Lemma 2

$\text{ExpCSP}_{\tilde{R}}^n$  has a 1-consistent reduction  $\Rightarrow \text{ExpCSP}_{\tilde{R}}^n$  has a solution.

$B$  is a **nice subuniverse** of  $D$  if there exists  $\mathcal{U} \leq D \times A^n$  s.t.

1.  $(\forall x_1 \dots \forall x_s \mathcal{U}(y, x_1, \dots, x_s)) = (y \in B)$
2.  $(\forall x \mathcal{U}(y, x, \dots, x)) = (y \in D)$

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## Lemma 3

Suppose

- ▶  $\text{ExpCSP}_{\tilde{R}}^n$  has no solutions
- ▶  $D_1, D_2^0, D_2^1, \dots, D_n^{11\dots,1}$  is a 1-consistent reduction of  $\text{ExpCSP}_{\tilde{R}}^n$ .

Then there exists a nice subuniverse on some  $D_i^\alpha$ .

## Lemma 2

$\text{ExpCSP}_{\tilde{R}}^n$  has a 1-consistent reduction  $\Rightarrow \text{ExpCSP}_{\tilde{R}}^n$  has a solution.

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Then there exists a nice subuniverse on some  $D_i^\alpha$ .

## Lemma 4

Suppose

- ▶  $D_1, D_2^0, D_2^1, \dots, D_n^{11\dots 1}$  is a 1-consistent reduction of  $\text{ExpCSP}_{\tilde{R}}^n$ .
- ▶ there exists a proper nice subuniverse on some  $D_i^\alpha$ .

Then there exists a 1-consistent reduction  $B_1, B_2^0, B_2^1, \dots, B_n^{11\dots 1}$  of  $\text{ExpCSP}_{\tilde{R}}^n$  s.t.  $B_i^\alpha$  is a nice subuniverse of  $D_i^\alpha$  for all  $i, \alpha$ .



▶ Given an sentence  $\Psi = \exists y_1 \forall x_1 \exists y_2 \forall x_2 \dots \exists y_n \forall x_n \Phi$ .

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### Solving $\text{ExpCSP}_{\tilde{R}}^n$ (an instance of $\text{CSP}(\tilde{R})$ )

Check 1-consistency. If not, we seek for 1-consistency.

▶ no 1-consistent reduction  $\Rightarrow$  exists a polynomial witness (L1).

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### Lemma 1

$\text{ExpCSP}_{\tilde{R}}^n$  has no 1-consistent reduction  $\Rightarrow$  polynomial size subinstance of  $\text{ExpCSP}_R^n$  witnesses this.

### Lemma 2

$\text{ExpCSP}_{\tilde{R}}^n$  has a 1-consistent reduction  $\Rightarrow \text{ExpCSP}_R^n$  has a solution.

## Theorem

Suppose

1. QCSP( $\Gamma$ ) is not PSpace-hard.
2. ExpCSP $_R^n$  has no solutions

$\Rightarrow \exists$  polynomial-size subinstance of ExpCSP $_R^n$  without a solution.

## Solving ExpCSP $_{\tilde{R}}^n$ (an instance of CSP( $\tilde{R}$ ))

Check 1-consistency. If not, we seek for 1-consistency.

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## Theorem ( $\Pi_2^P$ vs PSpace)

QCSP( $\Gamma$ )

- ▶ is either PSpace-hard
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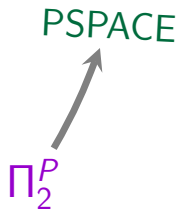
\* if  $\Gamma$  contains  $\{x = a \mid a \in A\}$  then QCSP( $\Gamma$ ) is PSpace-hard IFF there exist a nontrivial equivalence relation  $\sigma$  on  $D \subseteq A$ ,  $B, C \subsetneq A$ ,  $B \cup C = A$ , s.t.  $\sigma(y_1, y_2) \vee B(x)$  and  $\sigma(y_1, y_2) \vee C(x)$  are pp-definable over  $\Gamma$ .

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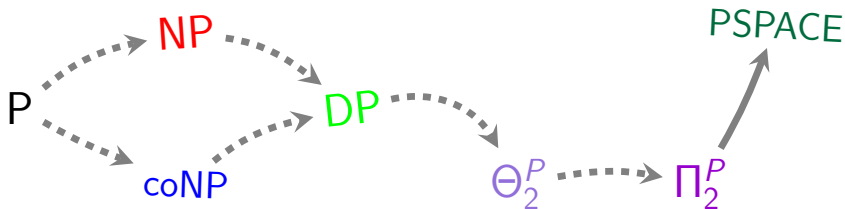


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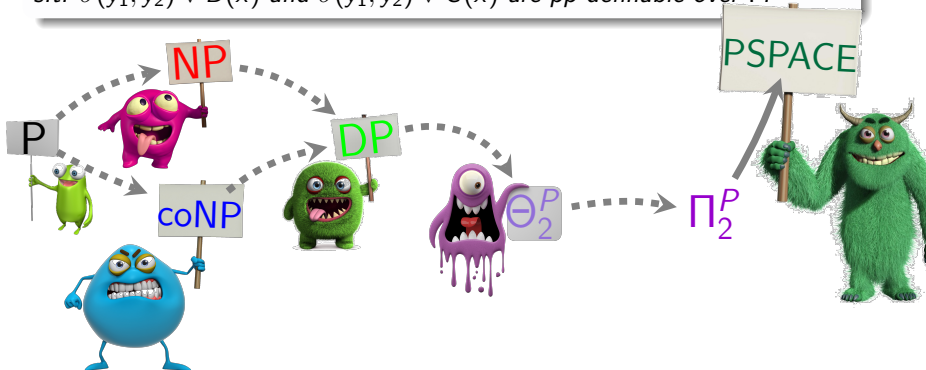


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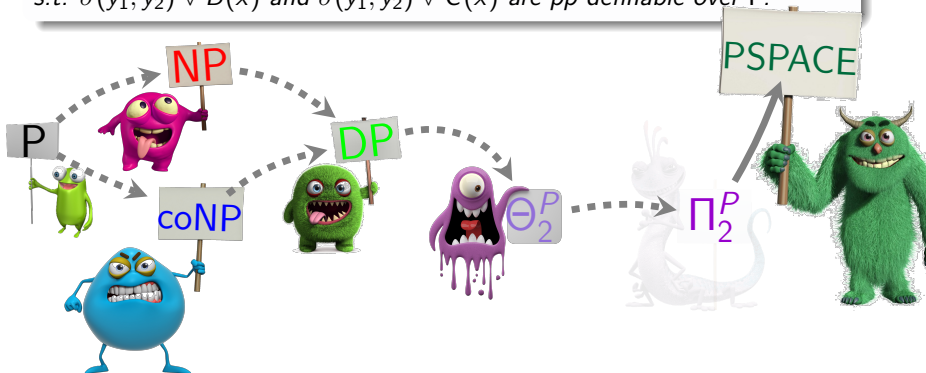


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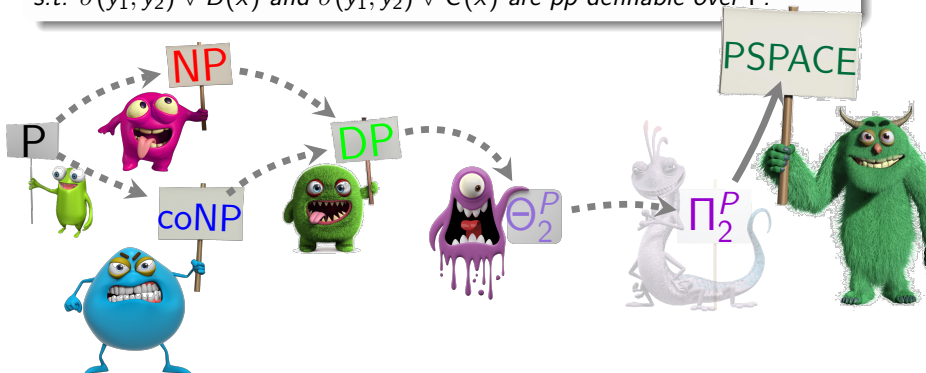


## Theorem ( $\Pi_2^P$ vs PSpace)

QCSP( $\Gamma$ )

- ▶ is either PSpace-hard
- ▶ or in  $\Pi_2^P$ .

\* if  $\Gamma$  contains  $\{x = a \mid a \in A\}$  then QCSP( $\Gamma$ ) is PSpace-hard IFF there exist a nontrivial equivalence relation  $\sigma$  on  $D \subseteq A$ ,  $B, C \subseteq A$ ,  $B \cup C = A$ , s.t.  $\sigma(y_1, y_2) \vee B(x)$  and  $\sigma(y_1, y_2) \vee C(x)$  are pp-definable over  $\Gamma$ .



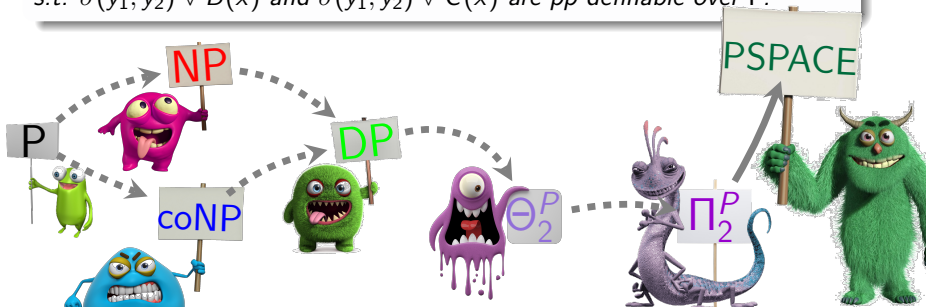


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## Lemma

There exists  $\Gamma$  on a 6-element set such that QCSP( $\Gamma$ ) is  $\Pi_2^P$ -complete.

## $\Pi_2^P$ -example

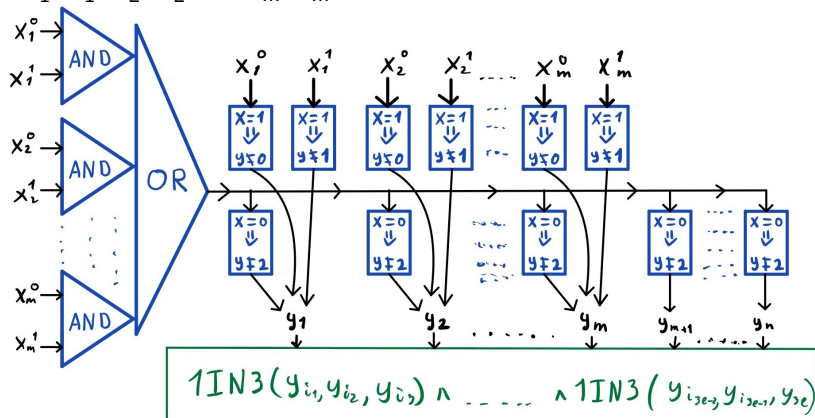
## $\Pi_2^P$ -example

$A = \{0, 1, 2\}$ , variables are of 2 sorts, EP and UP play on different sorts.

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$$\forall x_1^0 \forall x_1^1 \forall x_2^0 \forall x_2^1 \dots \forall x_m^0 \forall x_m^1 \exists y_1 \exists y_2 \dots \exists y_n$$

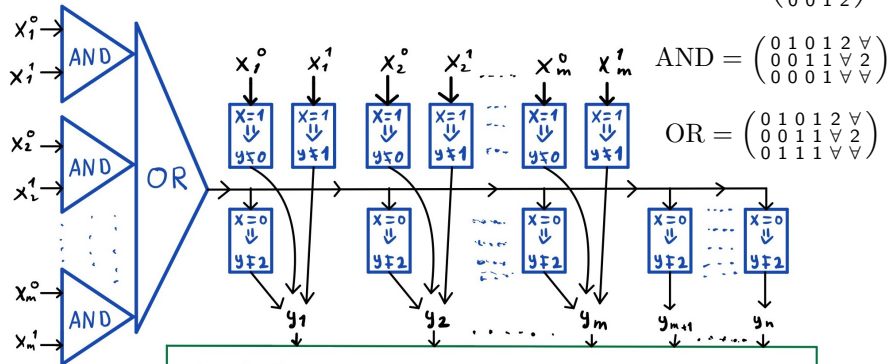


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$$1IN3 = \begin{pmatrix} 1 & 0 & 0 & 2 \\ 0 & 1 & 0 & 2 \\ 0 & 0 & 1 & 2 \end{pmatrix}$$



$$AND = \begin{pmatrix} 0 & 1 & 0 & 1 & 2 & \forall \\ 0 & 0 & 1 & 1 & \forall & 2 \\ 0 & 0 & 0 & 1 & \forall & \forall \end{pmatrix}$$

$$OR = \begin{pmatrix} 0 & 1 & 0 & 1 & 2 & \forall \\ 0 & 0 & 1 & 1 & \forall & 2 \\ 0 & 1 & 1 & 1 & \forall & \forall \end{pmatrix}$$

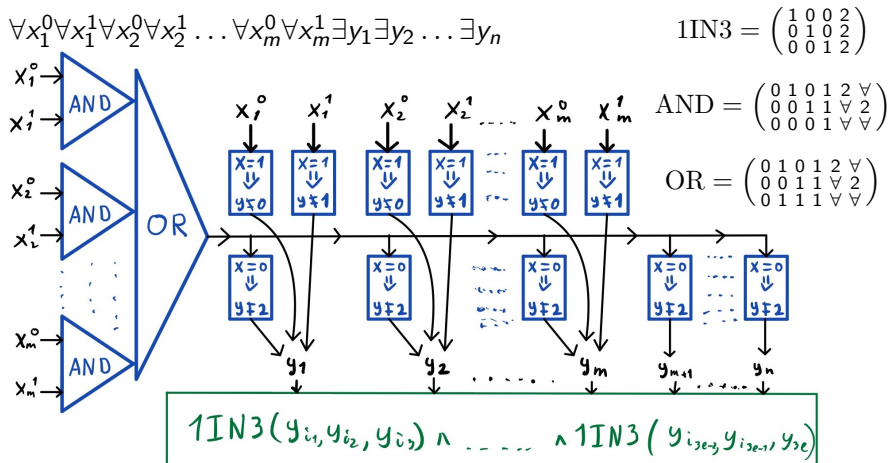
$$1IN3(y_{i_1}, y_{i_2}, y_{i_3}) \wedge \dots \wedge 1IN3(y_{i_{3e-3}}, y_{i_{3e-1}}, y_{3e})$$

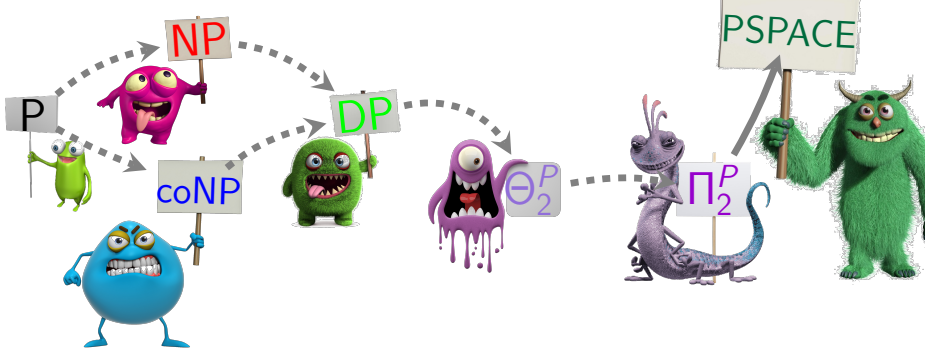
## $\Pi_2^P$ -example

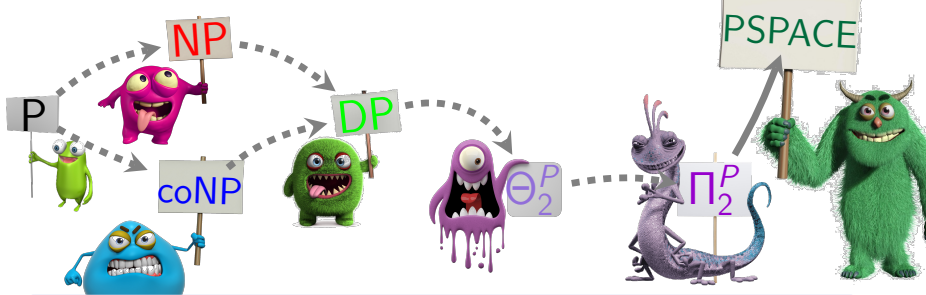
### $\Pi_2^P$ -complete problem on $\{0, 1\}$

$$\forall x_1 \dots \forall x_m \exists x_{m+1} \dots \exists x_n \text{1IN3}(x_{i_1}, x_{i_2}, x_{i_3}) \wedge \dots \wedge \text{1IN3}(x_{i_{3l-2}}, x_{i_{3l-1}}, x_{i_{3l}})$$

$A = \{0, 1, 2\}$ , variables are of 2 sorts, EP and UP play on different sorts.







## QCSP Hepta-chotomy

**P:** All moves are trivial.

**NP:** Only EP plays, the play of UP is trivial.

**coNP:** Only UP plays, the play of EP is trivial.

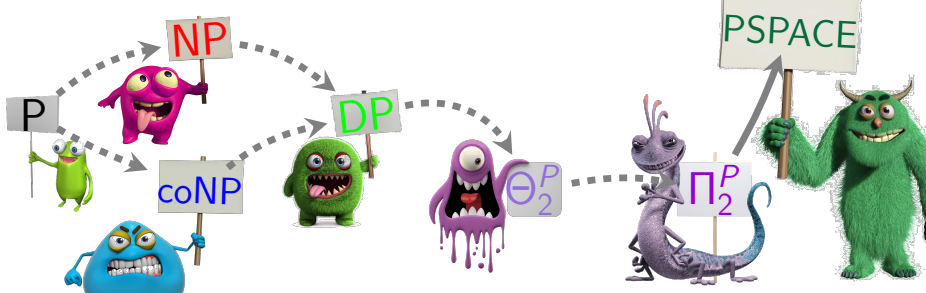
**DP = NP ∧ coNP:** Each plays its own game. Yes-instance: EP wins and UP loses.

**Θ<sub>2</sub><sup>P</sup> = (NP ∨ coNP) ∧ ⋯ ∧ (NP ∨ coNP):** Each plays many games (no interaction). Yes-instance: any boolean combination.

**Π<sub>2</sub><sup>P</sup>:** First, UP plays, then EP plays.

**PSpace:** EP and UP play against each other. No restrictions.





## QCSP Hepta-chotomy

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**DP = NP  $\wedge$  coNP:** Each plays its own game. Yes-instance: EP wins and UP loses.

**$\Theta_2^P = (\text{NP} \vee \text{coNP}) \wedge \dots \wedge (\text{NP} \vee \text{coNP})$ :** Each plays many games (no interaction). Yes-instance: any boolean combination.

**$\Pi_2^P$ :** First, UP plays, then EP plays.

**PSpace:** EP and UP play against each other. No restrictions.

Thank you for your attention