

Infinity: Relevant or Irrelevant?

Kristina Asimi

Department of Algebra, Charles University, Prague

AAA99, Siena, 22 Feb 2019



CoCoSym: Symmetry in Computational Complexity

This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement No 771005)

- $$\left. \begin{array}{l} \mathcal{E} = (E; S_1, S_2, \dots, S_n) \\ \mathcal{D} = (D; R_1, R_2, \dots, R_n) \end{array} \right\} \text{similar relational structures}$$
- $h : E \rightarrow D$ is a homomorphism from \mathcal{E} to \mathcal{D} if $(a_1, a_2, \dots, a_k) \in S_i \Rightarrow (h(a_1), h(a_2), \dots, h(a_k)) \in R_i$
- CSP(\mathcal{D})
Search: Given \mathcal{E} find $\mathcal{E} \rightarrow \mathcal{D}$.

- $$\left. \begin{array}{l} \mathcal{E} = (E; S_1, S_2, \dots, S_n) \\ \mathcal{D} = (D; R_1, R_2, \dots, R_n) \end{array} \right\} \text{similar relational structures}$$
- $h : E \rightarrow D$ is a homomorphism from \mathcal{E} to \mathcal{D} if $(a_1, a_2, \dots, a_k) \in S_i \Rightarrow (h(a_1), h(a_2), \dots, h(a_k)) \in R_i$
- CSP(\mathcal{D})
Search: Given \mathcal{E} find $\mathcal{E} \rightarrow \mathcal{D}$.

- $$\left. \begin{array}{l} \mathcal{E} = (E; S_1, S_2, \dots, S_n) \\ \mathcal{D} = (D; R_1, R_2, \dots, R_n) \end{array} \right\} \text{similar relational structures}$$
- $h : E \rightarrow D$ is a homomorphism from \mathcal{E} to \mathcal{D} if $(a_1, a_2, \dots, a_k) \in S_i \Rightarrow (h(a_1), h(a_2), \dots, h(a_k)) \in R_i$
- CSP(\mathcal{D})
Search: Given \mathcal{E} find $\mathcal{E} \rightarrow \mathcal{D}$.

Examples:

- 1-in-3-SAT

(NP-complete)

$$1\text{-in-3} = (\{0, 1\}; \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\})$$

- NAE-3-SAT

(NP-complete)

$$\text{NAE-3} = (\{0, 1\}; \{0, 1\}^3 \setminus \{(0, 0, 0), (1, 1, 1)\})$$

Theorem ([Bulatov '17]; [Zhuk '17])

$\text{CSP}(\mathcal{A})$, \mathcal{A} - finite, is in P or NP -complete

Examples:

- 1-in-3-SAT

(NP-complete)

$$1\text{-in-3} = (\{0, 1\}; \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\})$$

- NAE-3-SAT

(NP-complete)

$$\text{NAE-3} = (\{0, 1\}; \{0, 1\}^3 \setminus \{(0, 0, 0), (1, 1, 1)\})$$

Theorem ([Bulatov '17]; [Zhuk '17])

CSP(A), A - finite, is in P or NP-complete

Examples:

- 1-in-3-SAT

(NP-complete)

$$1\text{-in-3} = (\{0, 1\}; \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\})$$

- NAE-3-SAT

(NP-complete)

$$\text{NAE-3} = (\{0, 1\}; \{0, 1\}^3 \setminus \{(0, 0, 0), (1, 1, 1)\})$$

Theorem ([Bulatov '17]; [Zhuk '17])

CSP(\mathcal{A}), \mathcal{A} - finite, is in P or NP -complete

PCSP (Promise CSP)

- $\text{PCSP}(\mathcal{A}, \mathcal{B})$
- \mathcal{A}, \mathcal{B} - relational structures, $\mathcal{A} \rightarrow \mathcal{B}$
- Search: Given \mathcal{X} such that $\mathcal{X} \rightarrow \mathcal{A}$ find $\mathcal{X} \rightarrow \mathcal{B}$.
- $\text{PCSP}(\mathcal{A}, \mathcal{A}) = \text{CSP}(\mathcal{A})$
- examples:
 - $\text{PCSP}(1\text{-in-}3, \text{NAE-}3)$ (P)
 - 5-coloring of a 3-colorable graph (NP-complete)
 - 6-coloring of a 3-colorable graph

PCSP (Promise CSP)

- $\text{PCSP}(\mathcal{A}, \mathcal{B})$
- \mathcal{A}, \mathcal{B} - relational structures, $\mathcal{A} \rightarrow \mathcal{B}$
- Search: Given \mathcal{X} such that $\mathcal{X} \rightarrow \mathcal{A}$ find $\mathcal{X} \rightarrow \mathcal{B}$.
- $\text{PCSP}(\mathcal{A}, \mathcal{A}) = \text{CSP}(\mathcal{A})$
- examples:
 - $\text{PCSP}(1\text{-in-}3, \text{NAE-}3)$ (P)
 - 5-coloring of a 3-colorable graph (NP-complete)
 - 6-coloring of a 3-colorable graph

PCSP (Promise CSP)

- $\text{PCSP}(\mathcal{A}, \mathcal{B})$
- \mathcal{A}, \mathcal{B} - relational structures, $\mathcal{A} \rightarrow \mathcal{B}$
- Search: Given \mathcal{X} such that $\mathcal{X} \rightarrow \mathcal{A}$ find $\mathcal{X} \rightarrow \mathcal{B}$.
- $\text{PCSP}(\mathcal{A}, \mathcal{A}) = \text{CSP}(\mathcal{A})$
- examples:
 - $\text{PCSP}(1\text{-in-}3, \text{NAE-}3)$
 - 5-coloring of a 3-colorable graph
 - 6-coloring of a 3-colorable graph

(P)

(NP-complete)

PCSP (Promise CSP)

- $\text{PCSP}(\mathcal{A}, \mathcal{B})$
- \mathcal{A}, \mathcal{B} - relational structures, $\mathcal{A} \rightarrow \mathcal{B}$
- Search: Given \mathcal{X} such that $\mathcal{X} \rightarrow \mathcal{A}$ find $\mathcal{X} \rightarrow \mathcal{B}$.
- $\text{PCSP}(\mathcal{A}, \mathcal{A}) = \text{CSP}(\mathcal{A})$
- examples:
 - $\text{PCSP}(1\text{-in-}3, \text{NAE-}3)$ (P)
 - 5-coloring of a 3-colorable graph (NP-complete)
 - 6-coloring of a 3-colorable graph

PCSP (Promise CSP)

- $\text{PCSP}(\mathcal{A}, \mathcal{B})$
- \mathcal{A}, \mathcal{B} - relational structures, $\mathcal{A} \rightarrow \mathcal{B}$
- Search: Given \mathcal{X} such that $\mathcal{X} \rightarrow \mathcal{A}$ find $\mathcal{X} \rightarrow \mathcal{B}$.
- $\text{PCSP}(\mathcal{A}, \mathcal{A}) = \text{CSP}(\mathcal{A})$
- examples:
 - $\text{PCSP}(1\text{-in-}3, \text{NAE-}3)$ (P)
 - 5-coloring of a 3-colorable graph (NP-complete)
 - 6-coloring of a 3-colorable graph

PCSP dichotomy?

- Dichotomy for PCSP?
- Yes for symmetric Boolean PCSPs (allowing negations)
- allows negations:
 $\mathcal{A} = (\{0, 1\}; \neq, \dots)$
 $\mathcal{B} = (\{0, 1\}; \neq, \dots)$
where $\neq = \{(0, 1), (1, 0)\}$

Theorem (Brakensiek, Guruswami '17)

Symmetric Boolean PCSP that allows negations is in P or NP-hard.

PCSP dichotomy?

- Dichotomy for PCSP?
- Yes for symmetric Boolean PCSPs (allowing negations)
- allows negations:
 $\mathcal{A} = (\{0, 1\}; \neq, \dots)$
 $\mathcal{B} = (\{0, 1\}; \neq, \dots)$
where $\neq = \{(0, 1), (1, 0)\}$

Theorem (Brakensiek, Guruswami '17)

Symmetric Boolean PCSP that allows negations is in P or NP-hard.

PCSP dichotomy?

- Dichotomy for PCSP?
- Yes for symmetric Boolean PCSPs (allowing negations)
- allows negations:
 $\mathcal{A} = (\{0, 1\}; \neq, \dots)$
 $\mathcal{B} = (\{0, 1\}; \neq, \dots)$
where $\neq = \{(0, 1), (1, 0)\}$

Theorem (Brakensiek, Guruswami '17)

Symmetric Boolean PCSP that allows negations is in P or NP-hard.

PCSP dichotomy?

- Dichotomy for PCSP?
- Yes for symmetric Boolean PCSPs (allowing negations)
- allows negations:
 $\mathcal{A} = (\{0, 1\}; \neq, \dots)$
 $\mathcal{B} = (\{0, 1\}; \neq, \dots)$
where $\neq = \{(0, 1), (1, 0)\}$

Theorem (Brakensiek, Guruswami '17)

Symmetric Boolean PCSP that allows negations is in P or NP-hard.

Classification

$$j\text{-in-}k = \{(x_1, x_2, \dots, x_k) : \sum x_i = j\}$$

$$\text{NAE-}k = \{0, 1\}^k \setminus \{(0, 0, \dots, 0), (1, 1, \dots, 1)\}$$

Theorem (Brakensiek, Guruswami '17)

If $(P, Q) =$

a) (\bullet, \bullet)

b) (\bullet, \bullet)

c) $(j\text{-in-}k, \text{NAE-}k)$

then $\text{PCSP}(\{0, 1\}; P, \neq), (\{0, 1\}; Q, \neq)$ is tractable.

All tractable cases: relaxations and modifications of the cases above

$$j\text{-in-}k = \{(x_1, x_2, \dots, x_k) : \sum x_i = j\}$$

$$\text{NAE-}k = \{0, 1\}^k \setminus \{(0, 0, \dots, 0), (1, 1, \dots, 1)\}$$

Theorem (Brakensiek, Guruswami '17)

If $(P, Q) =$

a) (\bullet, \bullet)

b) (\bullet, \bullet)

c) $(j\text{-in-}k, \text{NAE-}k)$

then $\text{PCSP}(\{0, 1\}; P, \neq), (\{0, 1\}; Q, \neq)$ is tractable.

All tractable cases: relaxations and modifications of the cases above

$$j\text{-in-}k = \{(x_1, x_2, \dots, x_k) : \sum x_i = j\}$$

$$\text{NAE-}k = \{0, 1\}^k \setminus \{(0, 0, \dots, 0), (1, 1, \dots, 1)\}$$

Theorem (Brakensiek, Guruswami '17)

If $(P, Q) =$

a) (\bullet, \bullet)

b) (\bullet, \bullet)

c) $(j\text{-in-}k, \text{NAE-}k)$

then $\text{PCSP}(\{0, 1\}; P, \neq), (\{0, 1\}; Q, \neq)$ is tractable.

All tractable cases: relaxations and modifications of the cases above

- If $\mathcal{A} \rightarrow \mathcal{C} \rightarrow \mathcal{B}$, then
CSP(\mathcal{C}) is in P \Rightarrow PCSP(\mathcal{A}, \mathcal{B}) is in P
- $(\mathcal{A}, \mathcal{B}) = (1\text{-in-3}, \text{NAE-3})$
 - \exists such a \mathcal{C} infinite
 - necessarily so
- If \mathcal{C} has to be infinite, we say that the PCSP is infinitary.

[Barto]

- If $\mathcal{A} \rightarrow \mathcal{C} \rightarrow \mathcal{B}$, then
CSP(\mathcal{C}) is in P \Rightarrow PCSP(\mathcal{A}, \mathcal{B}) is in P
- $(\mathcal{A}, \mathcal{B}) = (1\text{-in-3}, \text{NAE-3})$
 - \exists such a \mathcal{C} infinite
 - necessarily so
- If \mathcal{C} has to be infinite, we say that the PCSP is infinitary.

[Barto]

c) (j -in- k , NAE- k)

- j - odd, k - odd: infinitary
- j - even, k - even, $j \neq \frac{k}{2}$: infinitary
- j - odd, k - even: reducible to finite CSP
- j - even, k - odd: probably infinitary
($j = 2, k = 5$: infinitary)

Definition

Let \mathcal{C} be a CSP template. $c : C^n \rightarrow C$ is a *polymorphism* of \mathcal{C} if for each relation R in \mathcal{C}

$$\begin{bmatrix} a_{11} \\ \vdots \\ a_{m1} \end{bmatrix} \in R, \dots, \begin{bmatrix} a_{1n} \\ \vdots \\ a_{mn} \end{bmatrix} \in R \Rightarrow \begin{bmatrix} c(a_{11}, \dots, a_{1n}) \\ \vdots \\ c(a_{m1}, \dots, a_{mn}) \end{bmatrix} \in R.$$

Definition

$c : C^n \rightarrow C$ is cyclic if

$$c(a_1, a_2, \dots, a_n) = c(a_2, \dots, a_n, a_1)$$

Theorem (Barto, Kozik '12)

Let \mathcal{C} be a finite CSP template. If $\text{CSP}(\mathcal{C})$ is not NP-complete, then \mathcal{C} has a cyclic polymorphism of arity p for every prime number $p > |\mathcal{C}|$.

Definition

Let \mathcal{C} be a CSP template. $c : C^n \rightarrow C$ is a *polymorphism* of \mathcal{C} if for each relation R in \mathcal{C}

$$\begin{bmatrix} a_{11} \\ \vdots \\ a_{m1} \end{bmatrix} \in R, \dots, \begin{bmatrix} a_{1n} \\ \vdots \\ a_{mn} \end{bmatrix} \in R \Rightarrow \begin{bmatrix} c(a_{11}, \dots, a_{1n}) \\ \vdots \\ c(a_{m1}, \dots, a_{mn}) \end{bmatrix} \in R.$$

Definition

$c : C^n \rightarrow C$ is *cyclic* if

$$c(a_1, a_2, \dots, a_n) = c(a_2, \dots, a_n, a_1)$$

Theorem (Barto, Kozik '12)

Let \mathcal{C} be a finite CSP template. If $\text{CSP}(\mathcal{C})$ is not NP-complete, then \mathcal{C} has a cyclic polymorphism of arity p for every prime number $p > |\mathcal{C}|$.

Definition

Let \mathcal{C} be a CSP template. $c : C^n \rightarrow C$ is a *polymorphism* of \mathcal{C} if for each relation R in \mathcal{C}

$$\begin{bmatrix} a_{11} \\ \vdots \\ a_{m1} \end{bmatrix} \in R, \dots, \begin{bmatrix} a_{1n} \\ \vdots \\ a_{mn} \end{bmatrix} \in R \Rightarrow \begin{bmatrix} c(a_{11}, \dots, a_{1n}) \\ \vdots \\ c(a_{m1}, \dots, a_{mn}) \end{bmatrix} \in R.$$

Definition

$c : C^n \rightarrow C$ is cyclic if

$$c(a_1, a_2, \dots, a_n) = c(a_2, \dots, a_n, a_1)$$

Theorem (Barto, Kozik '12)

Let \mathcal{C} be a finite CSP template. If $\text{CSP}(\mathcal{C})$ is not NP-complete, then \mathcal{C} has a cyclic polymorphism of arity p for every prime number $p > |\mathcal{C}|$.

Theorem

Let $\mathcal{C} = (C; R, N)$ be a finite relational structure such that $(\{0, 1\}; 2\text{-in-}5, \neq) \rightarrow \mathcal{C} \rightarrow (\{0, 1\}; \text{NAE-}5, \neq)$. Then $\text{CSP}(\mathcal{C})$ is NP-complete.

- assume $\text{CSP}(\mathcal{C})$ is not NP-complete
- $f : (\{0, 1\}; 2\text{-in-}5, \neq) \rightarrow \mathcal{C}$
 $g : \mathcal{C} \rightarrow (\{0, 1\}; \text{NAE-}5, \neq)$
- gf is a homomorphism
 $gf(1, 1, 0, 0, 0) \in \text{NAE-}5, gf(0, 1) \in \neq$
- $f(0) \neq f(1)$
We rename the elements of C so that $\{0, 1\} \subseteq C$ and f is the inclusion
- $2\text{-in-}5 \subseteq R; (a, b, c, d, e) \in R \Rightarrow (g(a), g(b), g(c), g(d), g(e)) \in \text{NAE-}5$
 $\neq \subseteq N; (a, b) \in N \Rightarrow (g(a), g(b)) \in \neq$

Theorem

Let $\mathcal{C} = (C; R, N)$ be a finite relational structure such that $(\{0, 1\}; 2\text{-in-}5, \neq) \rightarrow \mathcal{C} \rightarrow (\{0, 1\}; \text{NAE-}5, \neq)$. Then $\text{CSP}(\mathcal{C})$ is NP-complete.

- assume $\text{CSP}(\mathcal{C})$ is not NP-complete
- $f : (\{0, 1\}; 2\text{-in-}5, \neq) \rightarrow \mathcal{C}$
 $g : \mathcal{C} \rightarrow (\{0, 1\}; \text{NAE-}5, \neq)$
- gf is a homomorphism
 $gf(1, 1, 0, 0, 0) \in \text{NAE-}5, gf(0, 1) \in \neq$
- $f(0) \neq f(1)$
We rename the elements of C so that $\{0, 1\} \subseteq C$ and f is the inclusion
- $2\text{-in-}5 \subseteq R; (a, b, c, d, e) \in R \Rightarrow (g(a), g(b), g(c), g(d), g(e)) \in \text{NAE-}5$
 $\neq \subseteq N; (a, b) \in N \Rightarrow (g(a), g(b)) \in \neq$

- \mathcal{C} has a cyclic polymorphism of arity p for every prime number $p > |\mathcal{C}|$
- For every cyclic polymorphism c

$$g(c_0) = g(c_1) = \dots = g(c_{\lfloor \frac{2}{5}n \rfloor}) \neq g(c_{\lfloor \frac{2}{5}n \rfloor + 1}) = \dots = g(c_n),$$
 where $n = 5l + 1 \geq 11$ is the arity of c and $c_m = c(\underbrace{1, \dots, 1}_m, 0, \dots, 0)$
- But there exist $i, j \in \{\lfloor \frac{2}{5}n \rfloor + 1, \dots, n\}$, $i \neq j$, such that $i + j = n$. Since c is polymorphism, $g(c_i) \neq g(c_j)$
- Contradiction!

- \mathcal{C} has a cyclic polymorphism of arity p for every prime number $p > |\mathcal{C}|$
- For every cyclic polymorphism c

$$g(c_0) = g(c_1) = \dots = g(c_{\lfloor \frac{2}{5}n \rfloor}) \neq g(c_{\lfloor \frac{2}{5}n \rfloor + 1}) = \dots = g(c_n),$$
 where $n = 5l + 1 \geq 11$ is the arity of c and $c_m = c(\underbrace{1, \dots, 1}_m, 0, \dots, 0)$
- But there exist $i, j \in \{\lfloor \frac{2}{5}n \rfloor + 1, \dots, n\}$, $i \neq j$, such that $i + j = n$.
Since c is polymorphism, $g(c_i) \neq g(c_j)$
- Contradiction!

- \mathcal{C} has a cyclic polymorphism of arity p for every prime number $p > |\mathcal{C}|$
- For every cyclic polymorphism c

$$g(c_0) = g(c_1) = \dots = g(c_{\lfloor \frac{2}{5}n \rfloor}) \neq g(c_{\lfloor \frac{2}{5}n \rfloor + 1}) = \dots = g(c_n),$$
 where $n = 5l + 1 \geq 11$ is the arity of c and $c_m = c(\underbrace{1, \dots, 1}_m, 0, \dots, 0)$
- But there exist $i, j \in \{\lfloor \frac{2}{5}n \rfloor + 1, \dots, n\}$, $i \neq j$, such that $i + j = n$. Since c is polymorphism, $g(c_i) \neq g(c_j)$
- Contradiction!

- \mathcal{C} has a cyclic polymorphism of arity p for every prime number $p > |\mathcal{C}|$
- For every cyclic polymorphism c

$$g(c_0) = g(c_1) = \dots = g(c_{\lfloor \frac{2}{5}n \rfloor}) \neq g(c_{\lfloor \frac{2}{5}n \rfloor + 1}) = \dots = g(c_n),$$
 where $n = 5l + 1 \geq 11$ is the arity of c and $c_m = c(\underbrace{1, \dots, 1}_m, 0, \dots, 0)$
- But there exist $i, j \in \{\lfloor \frac{2}{5}n \rfloor + 1, \dots, n\}$, $i \neq j$, such that $i + j = n$. Since c is polymorphism, $g(c_i) \neq g(c_j)$
- Contradiction!

Thanks for your attention!