

## Rounding-up the seminar

16.XII.2020

## why lower bounds

A large part of the research into circuit lower bounds is motivated by the possibility to prove

$$P \neq NP$$

in that way:

- by Savage's thm  $P \subseteq P/poly$
- hence  $NP \not\subseteq P/poly \Rightarrow P \neq NP$ .

But how good this strategy really is?

# PH

prerequisite:

$\text{NP} (= \Sigma_1^P)$ : defined by  $\exists y (|y| \leq |x|^{O(1)}) R(x, y)$

where  $R$  is p-time decidable

$\text{coNP} (= \Pi_1^P)$ : defined by  $\forall y (|y| \leq |x|^{O(1)}) R(x, y)$

and then allow longer prefixes of **bounded quantifiers**

$\Sigma_2^P$ : defined by

$$\exists y_1 (|y_1| \leq |x|^{O(1)}) \forall y_2 (|y_2| \leq |x|^{O(1)}) R(x, y_1, y_2)$$

$\Pi_2^P$ : defined by

$$\forall y_1 (|y_1| \leq |x|^{O(1)}) \exists y_2 (|y_2| \leq |x|^{O(1)}) R(x, y_1, y_2)$$

and analogously  $\Sigma_3^P, \Pi_3^P, \dots$  and eventually:

$$\text{PH} := \bigcup_i \Sigma_i^P = \bigcup_i \Pi_i^P .$$

On the plus side of the strategy are:

- It replaces Turing machines by seemingly simpler combinatorial objects - circuits - and it ought to be susceptible to combinatorial methods.
- Some early successes for restricted classes of circuits: e.g. monotone, constant-depth in various languages.
- Karp-Lipton's thm:  $NP \subseteq P/poly \Rightarrow PH = \Sigma_2^P$   
which most experts deem unlikely.

## minuses

Same items also illustrate the failure of the approach:

- No non-trivial lower bounds for general circuits for SAT: even  $1.1n$  is unknown.
- No significant progress on restricted classes (as are e.g.  $AC^0(6)$  or formulas) in last 30+ years.
- There is no really good argument why PH could not collapse to  $\Sigma_2^P$ , only analogy with the arithmetical hierarchy.

In addition, several deeper thms in complexity theory in the last several decades have the form of establishing **upper bounds** or constructing **new algorithms** that show that some complexity classes expected to be different are actually the same:

**Toda's thm:**  $PH \subseteq P^\oplus$ .

**the Szelepcsényi-Immermann thm:**  $NL = coNL$ .

## an alternative

It is important to keep an open mind and not to listen to experts too much: some of them sound as if they had a direct line to God who tells them what is and what is not true.

An alternative approach to P vs. NP - still using circuits - was contemplated by **A.N.Kolmogorov**, one of the most influential mathematicians of the 20th century contributing to a number of diverse fields. Ex's in complexity th.: Kolmogorov complexity of strings and algorithmic randomness.

Kolmogorov considered that it is possible that

$$P \subseteq \text{Size}(O(n))$$

i.e. **all p-time decidable languages have linear size circuits**. This is sometimes called **Kolmogorov's conjecture**.

(Ref: Jukna's book on circuit complexity.)

## KC consequence

### Theorem

Kolmogorov's conjecture implies that  $P \neq NP$ .

Prf.:

If  $P = NP$  then  $P = PH$ . But by **Kannan's thm** for every  $k \geq 1$  there is  $L \in \Sigma_2^P \subseteq PH$  such that  $L \notin \text{Size}(n^k)$ .

□

So, in principle, we can prove  $P \neq NP$  by proving **upper bounds** on circuits.

Remark: the Karp-Lipton thm and Kannan's thm are fairly easy to prove, see the 3-page lecture notes of P.Beame on the seminar web page.