

## FUNCTIONAL ANALYSIS 2

WINTER SEMESTER 2016/2017

PROBLEMS TO CHAPTER XI

PROBLEMS TO SECTION XI.1 – LATTICE OF LOCALLY CONVEX TOPOLOGIES

**Problem 1.** Let  $X$  be a vector space.

- (1) Let  $\rho$  be a nonzero seminorm on  $X$ . Show that there is a nonzero  $f \in X^\#$  such that  $|f| \leq \rho$ .
- (2) Suppose that  $\mathcal{T}$  is a locally convex topology on  $X$  with  $(X, \mathcal{T})^* = \{0\}$ . Show that  $\mathcal{T}$  is the indiscrete topology.

*Hint:* (1) Use the Hahn-Banach extension theorem. (2) Suppose  $\mathcal{T}$  is not the indiscrete topology. Show that there is a nonzero  $\mathcal{T}$ -continuous seminorm.

**Problem 2.** Let  $X$  be a vector space and  $M \subset\subset X^\#$  separating points of  $X$ . Show that  $(X, \mu(X, M))$  is metrizable if and only if  $M$  can be covered by a sequence of absolutely convex  $\sigma(M, X)$ -compact sets.

*Hint:* To show the only if part use the Banach-Alaoglu theorem. To show the if part use Theorem XI.6 and Proposition XI.7.

**Problem 3.** Let  $X$  be a LCS. Let  $\beta(X^*, X)$  be the topology (on  $X^*$ ) of uniform convergence on bounded subsets of  $X$ .

- (1) Show that the topology  $\beta(X^*, X)$  is the same for any admissible topology on  $X$ .
- (2) Describe the topology  $\beta(X^*, X)$  in case  $X$  is a normed space.
- (3) Show that the topology  $\beta(X^*, X)$  may or may not be admissible on  $(X^*, w^*)$ .
- (4) Characterize the normed spaces for which  $(X^*, \beta(X^*, X))^* = \varkappa(X)$ .

*Hint:* (1) Use Theorem VI.8.

**Problem 4.** Let  $X$  be a normed space and  $\mathcal{B}$  a nonempty family of bounded subsets of  $X$ . Let  $\mathcal{T}$  be the topology (on  $X^*$ ) of uniform convergence on sets from  $\mathcal{B}$ . Show that

$$(X^*, \mathcal{T})^* = \text{span} \bigcup_{A \in \mathcal{B}} \overline{\text{aco} \varkappa(A)^{\sigma(X^{**}, X^*)}}.$$

*Hint:* Use Lemma XI.5.

**Problem 5.** Let  $X$  be a normed space. Equip  $X^*$  with the topology  $\mathcal{T}$  of uniform convergence on countable bounded subsets of  $X$ .

- (1) Describe  $(X^*, \mathcal{T})^*$  and show that  $\overline{\varkappa(X)} \subset (X^*, \mathcal{T})^* \subset X^{**}$ .
- (2) Suppose  $X$  is separable. Show that  $\mathcal{T}$  coincide with the norm topology.
- (3) Suppose  $X$  is nonseparable. Show that  $\mathcal{T}$  is strictly weaker than the norm topology.
- (4) Let  $X = c_0(\Gamma)$ , where  $\Gamma$  is an uncountable set. Consider the canonical identification  $X^* = \ell^1(\Gamma)$  and  $X^{**} = \ell^\infty(\Gamma)$ . Describe  $(X^*, \mathcal{T})^*$  as a subset of  $\ell^\infty(\Gamma)$ .

*Hint:* (1,4) Use Problem 4. (3) Use Lemmata XI.2 and XI.5.

**Problem 6.** Let  $X = c_0$  or  $X = \ell^p$  for some  $p \in [1, \infty]$ . Assume  $X$  is equipped with the topology  $\tau_p$  of pointwise convergence on  $\mathbb{N}$ .

- (1) Describe  $M = (X, \tau_p)^*$ .
- (2) Compare the topologies  $\sigma(X, M)$ ,  $\tau_p$  and  $\mu(X, M)$ .

*Hint:* (1) Use Theorem VI.4. (2) Use Proposition XI.7.

**Problem 7.** Let  $\Gamma$  be an infinite set and let  $X = c_{00}(\Gamma)$  be the vector space of functions  $f : \Gamma \rightarrow \mathbb{F}$  which have nonzero value only at finitely many elements of  $\Gamma$ . Consider  $X$  equipped with the topology  $\tau_p$  of pointwise convergence on  $\Gamma$ .

- (1) Let  $A$  be a convex  $\tau_p$ -compact subset of  $X$ . Show that  $\text{span } A$  has finite dimension.
- (2) Find a  $\tau_p$ -compact subset  $A \subset X$  such that  $\text{span } A$  has infinite dimension.
- (3) Deduce that a closed convex hull of a compact subset of  $(X, \tau_p)$  need not be compact.

*Hint:* (1) Proceed by contradiction. Suppose that  $\text{span } A$  has infinite dimension. Without loss of generality  $0 \in A$ . The first step is then to find sequences  $(f_n)$  in  $A$  and  $(\gamma_n)$  in  $\Gamma$  such that  $f_n(\gamma_n) \neq 0$  and  $f_k(\gamma_n) = 0$  for  $n > k$ .

**Problem 8.** Let  $\Gamma$  be an infinite (possibly uncountable) set. Let  $X = c_{00}(\Gamma)$  be equipped with the topology  $\tau_p$  of pointwise convergence on  $\Gamma$ .

- (1) Describe  $M = (X, \tau_p)^*$ .
- (2) Describe the weak\*-topology on  $M$  (i.e., the topology  $\sigma(M, X)$ ).
- (3) Compare the topologies  $\sigma(X, M)$ ,  $\tau_p$  and  $\mu(X, M)$ .

*Hint:* (1) Use Theorem VI.4. (3) Use Theorem XI.6 and Problem 7.

**Problem 9.** Let  $\Gamma$  be an infinite (possibly uncountable) set. Let  $X = c_0(\Gamma)$  or  $X = \ell^p(\Gamma)$  for some  $p \in [1, \infty)$ . Assume  $X$  is equipped with the topology  $\tau_p$  of pointwise convergence on  $\Gamma$ .

- (1) Describe  $M = (X, \tau_p)^*$ .
- (2) Describe the weak\*-topology on  $M$  (i.e., the topology  $\sigma(M, X)$ ).
- (3) Characterize the bounded sets in  $(M, \sigma(M, X))$ .
- (4) Describe the weak\*-topology on bounded subsets on  $M$ .
- (5) Compare the topologies  $\sigma(X, M)$ ,  $\tau_p$  and  $\mu(X, M)$ .

*Hint:* (1) Use Theorem VI.4. (2) Use the known weak\*-topologies of the respective normed spaces. (3) Show that they are bounded in the respective norm. (4) The dual unit ball of  $(X, \|\cdot\|)^*$  is weak\*-compact and the topology of uniform convergence on  $\Gamma$  is a weaker Hausdorff topology. (5) Use Theorem XI.6, (4) and Problem 7.

**Problem 10.** Let  $K$  be a compact Hausdorff space and  $X = (\mathcal{C}(K), \tau_p)$ .

- (1) Show that  $X^* = c_{00}(K)$  and interpret this equality.
- (2) Show that  $A \subset c_{00}(K)$  is weak\*-bounded if and only if it is bounded in  $\ell^1(K)$ .
- (3) Let  $A$  be a convex weak\*-compact subset of  $c_{00}(K)$ . Show that  $\text{span } A$  has finite dimension.
- (4) Deduce that  $\mu(X, X^*) = \tau_p$ .

*Hint:* (1) Use Theorem VI.4. (2) Note that  $c_{00}(K)$  is a subspace of  $(\mathcal{C}(K), \|\cdot\|_\infty)^*$ , the weak\*-bounded and norm bounded sets in this dual coincide, its weak\* topology restricted to  $c_{00}(K)$  coincides with the weak\* topology of  $c_{00}(K) = X^*$  and the norm restricted to  $c_{00}(K)$  coincides with the  $\ell^1$ -norm. (3) Start similarly as in Problem 7(1) – assume that  $\text{span } A$  has infinite dimension,

$0 \in A$  and construct the respective sequences  $(f_n)$  in  $c_{00}(K)$  and  $(\gamma_n)$  in  $K$ . Find a sequence of positive numbers  $(t_n)$  such that  $\sum t_n \leq 1$ ,  $\sum t_n f_n$  converges absolutely in  $(\mathcal{C}(K), \|\cdot\|_\infty)^*$  but the sum does not belong to  $c_{00}(K)$ . Deduce that  $A$  cannot be compact. (4) Use (3) and Theorem XI.6.

PROBLEMS TO SECTION XI.2 –  $bw^*$ -TOPOLOGY VS.  $w^*$ -TOPOLOGY

**Problem 11.** Let  $p \in [1, \infty)$  and let  $q \in (1, \infty]$  be the conjugate exponent. Consider the space  $X = \ell^p$  and its dual represented as  $X^* = \ell^q$ . Let  $(a_n)$  be a sequence of nonzero numbers. Denote by  $e_n^* \in \ell^q$  the canonical unit vectors.

(1) Show that

$$a_n e_n^* \xrightarrow{w^*} 0 \iff (a_n) \text{ is bounded.}$$

(2) Show that

$$0 \in \overline{\{a_n e_n^*; n \in \mathbb{N}\}}^{bw^*} \iff \liminf_n |a_n| < \infty.$$

(3) Show that

$$0 \in \overline{\{a_n e_n^*; n \in \mathbb{N}\}}^{w^*} \iff \left(\frac{1}{a_n}\right) \notin \ell^p$$

(4) Find a countable weak\*-dense subset of  $X^* = \ell^q$  which is  $bw^*$ -closed.

**Hint:** (4) Observe that  $X^*$  is weak\* separable. Let  $(x_n)$  be a fixed weak\*-dense sequence. Fix a sequence  $(a_n)$  of positive numbers such that  $a_n \rightarrow \infty$  and  $(\frac{1}{a_n}) \notin \ell^p$ . Take, for example, the set  $\{x_n + a_k e_k; k, n \in \mathbb{N} \ \& \ \|x_n + a_k e_k\| > n\}$ .

**Problem 12.** Let  $X = c_0$  and consider  $X^*$  represented as  $\ell^1$ . Let  $(a_n)$  be a sequence of nonzero numbers. Denote by  $e_n^* \in \ell^1$  the canonical unit vectors.

(1) Show that

$$a_n e_n^* \xrightarrow{w^*} 0 \iff (a_n) \text{ is bounded.}$$

(2) Show that

$$0 \in \overline{\{a_n e_n^*; n \in \mathbb{N}\}}^{bw^*} \iff 0 \in \overline{\{a_n e_n^*; n \in \mathbb{N}\}}^{w^*} \iff \liminf_n |a_n| < \infty.$$

**Problem 13.** Let  $X$  be an infinite dimensional normed linear space.

(1) Show that the  $bw^*$ -topology on  $X^*$  is strictly stronger than the weak\* topology.

(2) Suppose  $X$  is separable. Show that there is a countable set  $C \subset X^*$  such that  $0 \in \overline{C}^{w^*} \setminus \overline{C}^{bw^*}$ .

(3) Suppose  $X$  is separable. Show that there is a countable subset of  $X^*$  which is  $bw^*$ -closed and weak\* dense in  $X^*$ .

**Hint:** (1) Let  $(x_n)$  be a linearly independent sequence in  $S_X$ . Using Proposition XI.11 show that the set  $U = \{f \in X^*; (\forall n \in \mathbb{N})(|f(x_n)| < n)\}$  is  $bw^*$ -open but  $0$  does not belong to the weak\*-interior of  $U$ . (2) Let  $U$  be set from (1). Then  $0 \in \overline{X^* \setminus U}^{w^*}$ . Using separability of  $X$  show that  $X^* \setminus U$  has a countable weak\* dense subset  $C$ . (3) Let  $(x_n^*)$  be a weak\*-dense sequence in  $X^*$  and  $C$  be the set from (2). Consider the set  $\{x_n^* + y^*; n \in \mathbb{N}, y^* \in C \ \& \ \|x_n^* + y^*\| > n\}$ .

**Problem 14.** Let  $X$  be a Banach space and  $Y \subset\subset X^*$  a weak\*-dense subspace of finite codimension.

(1) Show that  $Y_\perp = \{0\}$  and  $Y^\perp \subset X^{**}$  is a finite-dimensional subspace.

(2) Deduce that  $C = \text{dist}(S_{Y^\perp}, \mathcal{K}(X)) > 0$ .

(3) Deduce that  $Y$  is  $(1 + \frac{1}{C})$ -norming.

**Hint:** (2)  $S_{Y^\perp}$  is compact and  $\varkappa(X)$  is closed. (3) Fix  $x \in S_X$ . Observe that  $\tilde{q}_Y(x) = \|\varkappa(X)|_Y\|$  ( $\tilde{q}_Y$  is defined in the final remark of Section XI.2). Use Hahn-Banach theorem to find  $F \in X^{**}$  such that  $F|_Y = \varkappa(X)|_Y$  and  $\|F\| = \tilde{q}_Y(x)$ . Then  $F - \varkappa(x) \in Y^\perp$  and  $\|F - \varkappa(x)\| \geq 1 - \tilde{q}_Y(x)$ . Deduce that  $C \leq \frac{\tilde{q}_Y(x)}{1 - \tilde{q}_Y(x)}$ , so  $\tilde{q}_Y(x) \geq 1 + \frac{1}{C}$ .

**Problem 15.** Let  $X$  be a Banach space and  $F \in X^{**} \setminus \varkappa(X)$ . Show that  $\ker F$  is a norming subspace of  $X^*$ .

**Hint:** Use Problem 14.

**Problem 16.** Let  $X$  be a non-complete normed space. Show that there is a weak\*-dense subspace of  $X^*$  of codimension one which is not norming.

**Hint:** Take  $\ker F$  for  $F \in \overline{\varkappa(X)} \setminus \varkappa(X)$ .

**Problem 17.** Consider the space  $\ell^1$  as the dual to  $c_0$ , using the canonical representation. Let  $(A_n)_{n \in \mathbb{N}}$  be a sequence of disjoint infinite subsets of  $\mathbb{N}$ . For each  $n \in \mathbb{N}$  fix some  $k_n \in A_n$ . Show that the subspace

$$Y = \left\{ \mathbf{x} \in \ell^1; \forall n \in \mathbb{N} : nx_{k_n} + \sum_{j \in A_n \setminus \{k_n\}} x_j = 0 \right\}$$

is weak\* dense but not norming.

**Hint:** Show that the point  $\mathbf{x}$  defined by  $x_k = \begin{cases} \frac{1}{n^2} & k = k_n \\ 0 & \text{otherwise} \end{cases}$  does not belong to  $\overline{Y \cap rB_{\ell^1}}^{w^*}$  for any  $r > 0$ .

**Problem 18.** Let  $X$  be a separable Banach space and  $A \subset X^*$ . Show that the set

$$\bigcup_{r>0} \overline{A \cap rB_{X^*}}^{w^*}$$

consist exactly of limits of weak\*-convergent sequences from  $A$ .

**Hint:** For one inclusion use the uniform boundedness principle, for the converse use metrizability of  $(B_{X^*}, w^*)$ .

### PROBLEMS TO SECTION XI.3 – COMPACT CONVEX SET, EXTREME POINTS

**Problem 19.** Let  $X$  be a Banach space and  $K \subset X$  a nonempty weakly compact convex set. Show that  $\overline{\text{co ext } K}^{\|\cdot\|} = K$ .

**Hint:** Combine Krein-Milman and Mazur theorems.

**Problem 20.** Let  $X$  be a reflexive Banach space. Show that  $\overline{\text{co ext } B_X}^{\|\cdot\|} = B_X$ .

**Hint:** Use Problem 19.

**Problem 21.** Let  $p \in (1, \infty)$  and let  $\mu$  be any  $\sigma$ -additive measure such that there exists a measurable set  $A$  with  $0 < \mu(A) < \infty$ . Show the set  $\text{ext } B_{L^p(\mu)}$  coincides with the unit sphere.

**Problem 22.** Let  $\mu$  be a  $\sigma$ -additive measure which is not constant zero.

- (1) Describe the extreme points of  $B_{L^\infty(\mu)}$  both in the real and complex cases.
- (2) Is  $\overline{\text{co ext } B_{L^\infty(\mu)}}^{\|\cdot\|} = B_{L^\infty(\mu)}$ ?

*Hint: (2) Show that simple functions are dense in  $L^\infty(\mu)$  and use this fact.*

**Problem 23.** Let  $\Gamma$  be a set containing at least two points.

- (1) Describe  $\text{ext } B_{\ell^1(\Gamma, \mathbb{R})}$ .
- (2) Describe  $\text{ext } B_{\ell^1(\Gamma, \mathbb{C})}$ .
- (3) Is  $\overline{\text{co ext } B_{\ell^1(\Gamma, \mathbb{F})}}^{\|\cdot\|} = B_{\ell^1(\Gamma, \mathbb{F})}$ ?

**Problem 24.** Let  $K$  be a compact Hausdorff space.

- (1) Describe  $\text{ext } B_{\mathcal{C}(K, \mathbb{R})^*}$ .
- (2) Describe  $\overline{\text{co ext } B_{\mathcal{C}(K, \mathbb{R})^*}}^{\|\cdot\|}$ .
- (3) Show that  $\overline{\text{co ext } B_{\mathcal{C}(K, \mathbb{R})^*}}^{\|\cdot\|} = B_{\mathcal{C}(K, \mathbb{R})^*}$  without using Krein-Milman theorem.
- (4) Solve the problems (1)–(3) for  $\mathcal{C}(K, \mathbb{C})$ .

*Hint: Use the Riesz representation theorem to represent  $\mathcal{C}(K, \mathbb{F})^*$  as a space of measures. (1) In the real case show that extreme points are just  $\pm\delta_x$ ,  $x \in K$ . In the complex case show that extreme points are multiples of Dirac measures by a complex unit. (2) Show that the set consist exactly of measures supported by a countable set. (3) Use (1) and the bipolar theorem.*

**Problem 25.** Let  $X$  be a Banach space

- (1) Show that  $\overline{\text{co ext } B_{X^*}}^{w^*} = B_{X^*}$ .
- (2) Suppose that  $X$  is reflexive. Show that  $\overline{\text{co ext } B_{X^*}}^{\|\cdot\|} = B_{X^*}$ .
- (3) Show by examples that for a nonreflexive space one can have either  $\overline{\text{co ext } B_{X^*}}^{\|\cdot\|} = B_{X^*}$  or  $\overline{\text{co ext } B_{X^*}}^{\|\cdot\|} \subsetneq B_{X^*}$  and both possibilities can take place.

*Hint: (1) Combine Krein-Milman and Banach-Alaoglu theorems. (3) Use some of the preceding problems.*

**Problem 26.** Let  $K$  be a compact Hausdorff space.

- (1) Describe  $\text{ext } B_{\mathcal{C}(K, \mathbb{F})}$ .
- (2) Deduce that in case  $K$  is connected and contains at least two points the space  $\mathcal{C}(K, \mathbb{R})$  is not isometric to a dual Banach space.

**Problem 27.** Show that  $\text{ext } B_{L^1([0,1])} = \emptyset$ .

**Problem 28.** Show that  $\text{ext } B_{c_0} = \emptyset$ .

**Problem 29.** Let  $K$  be a compact convex subset of a HLCS. The point  $x \in K$  is called an **exposed point** of  $K$  if there is a continuous affine function  $f : K \rightarrow \mathbb{R}$  such that  $f(y) < f(x)$  for each  $y \in K \setminus \{x\}$ .

- (1) Show that any exposed point is also an extreme point.
- (2) Show that an extreme point need not be an exposed point.

*Hint: (2) Consider the set  $K = \text{co}(B((0,0),1) \cup B((1,0),1))$  in  $\mathbb{R}^2$ .*

**Problem 30.** Let  $K$  be a compact convex subset of a HLCS. A subset  $F \subset K$  is called an **exposed face** of  $K$  if there is a continuous affine function  $f : K \rightarrow \mathbb{R}$  such that  $F = \{x \in K; f(x) = \max f(K)\}$ .

- (1) Show that any exposed face of  $K$  is also a closed face of  $K$ .
- (2) Show that a closed face of  $K$  need not be an exposed face.
- (3) Let  $F_1$  be an exposed face of  $K$  and let  $F_2$  be an exposed face of  $K$ . Is  $F_2$  necessarily an exposed face of  $K$ ?

*Hint: (2) Use Problem 29. (3) Consider the example from Problem 29.*

**Problem 31.** Let  $K$  be a compact convex subset of a HLCS and  $\mu = \sum_{j=1}^n t_j \delta_{x_j}$  a finitely supported probability measure on  $K$  (i.e.,  $x_1, \dots, x_n \in K$ ,  $t_1, \dots, t_n \in [0, 1]$ ,  $t_1 + \dots + t_n = 1$ ). Find the barycenter of  $\mu$ .

**Problem 32.** Let  $K = [0, 1]$  and let  $\lambda$  be the Lebesgue measure on  $[0, 1]$ . Find the barycenter of  $\lambda$ .

**Problem 33.** Let  $K \subset \mathbb{R}^2$  be a nondegenerate triangle with vertices  $\mathbf{a}, \mathbf{b}, \mathbf{c}$ . Let

$$\mu = \frac{\lambda^2|_K}{\lambda^2(K)},$$

where  $\lambda^2$  is the two-dimensional Lebesgue measure. Show that the barycenter of  $\mu$  coincides with the geometric barycenter of the triangle  $K$  (i.e., with  $\frac{1}{3}(\mathbf{a} + \mathbf{b} + \mathbf{c})$ ).

*Hint: Since the Lebesgue measure is invariant with respect to translation and rotation, suppose without loss of generality that  $\mathbf{a} = (0, 0)$ ,  $\mathbf{b} = (b, 0)$  and  $\mathbf{c} = (c_1, c_2)$ . Then use the definitions and Fubini theorem.*

**Problem 34.** Let  $\mu$  be a Borel probability measure on  $[0, 1]$ . Find a formula for its barycenter.

**Problem 35.** Let  $K \subset \mathbb{R}^n$  be a compact convex set and let  $\mu$  be a Borel probability measure on  $K$ . Find a formula for its barycenter.

*Hint: Apply the definition to coordinate projections.*

**Problem 36.** Let

$$K = \{(x, y, z) \in \mathbb{R}^3; x^2 + y^2 \leq 1 \text{ \& } z \in [-1, 1]\}.$$

Show that  $K$  is a compact convex set and describe  $\text{ext } K$ .

**Problem 37.** Let  $A = \{(x, y, 0) \in \mathbb{R}^3; x^2 + y^2 \leq 1\}$  and  $K = \text{co}(A \cup \{(1, 0, 1), (1, 0, -1)\})$ . Show that  $K$  is a compact convex set, describe  $\text{ext } A$  and show that  $\text{ext } A$  is not closed.

**Problem 38.** Let  $\varphi : [0, 1] \rightarrow (0, +\infty)$  be a continuous concave function. Let

$$K = \{(x, y, z) \in \mathbb{R}^3; z \in [0, 1] \text{ \& } x^2 + \frac{y^2}{\varphi(z)} \leq 1\}.$$

- (1) Show that  $K$  is a compact convex set.
- (2) Describe  $\text{ext } K$ .
- (3) Assume that  $\varphi$  is not affine on  $[0, 1]$ . Show that  $\text{ext } K$  is not closed in  $K$ .

*Hint: (1) Show that the function  $(x, y, z) \mapsto x^2 + \frac{y^2}{\varphi(z)}$  is convex. (2) First show that all the extreme points are on the boundary of  $K$  and that the boundary of  $K$  is the union of closed discs  $D_0 = \{(x, y, 0); x^2 + \frac{y^2}{\varphi(0)} \leq 1\}$ ,  $D_1 = \{(x, y, 1); x^2 + \frac{y^2}{\varphi(1)} \leq 1\}$  and the set  $B = \{(x, y, z) \in \mathbb{R}^3; z \in [0, 1] \text{ \& } x^2 + \frac{y^2}{\varphi(z)} = 1\}$ . Further show that a boundary point of  $K$  is not an extreme point of  $K$  if and only if it is the center of a nondegenerate segment contained in the boundary of  $K$  and that any such segment is contained either in  $D_0$  or in  $D_1$  or in  $B$ . Deduce that the extreme points contained in  $D_0$  or  $D_1$  are exactly the points of boundary circles of these discs. Finally suppose that  $(x_1, y_1, z_1)$  and  $(x_2, y_2, z_2)$  are two different points in  $B$  such that the segment connecting them is contained in  $B$ . Show that  $x_1 = y_1$  and  $y_1 = y_2$ , so we can suppose we have points  $(x, y, z_1)$  and  $(x, y, z_2)$ . In case  $y \neq 0$  show that  $\varphi$  is affine on the interval  $[z_1, z_2]$ . Summarize*

these results to get a description of  $\text{ext } K$ . (3) If  $\varphi$  is not affine, then there is  $z_0 \in (0, 1)$  such that the point  $(z_0, \varphi(z_0))$  is not the center of any nondegenerate segment on the graph of  $\varphi$ . Show that  $(1, 0, z_0) \in \overline{\text{ext } K} \setminus \text{ext } K$ .

**Problem 39.** Let  $\psi : [0, 2\pi] \rightarrow [0, \infty)$  be a bounded upper semicontinuous function such that  $\psi(0) = \psi(2\pi)$ . (Recall that  $\psi$  is **upper semicontinuous** if  $\{t; \psi(t) < c\}$  is open for each  $c \in \mathbb{R}$ .) Set

$$A = \{(\cos t, \sin t, z); t \in [0, 2\pi] \ \& \ |z| \leq \psi(t)\}$$

and  $K = \text{co } A$ .

- (1) Show that  $K$  is a compact convex set.
- (2) Describe  $\text{ext } K$ .
- (3) Suppose that  $\psi(t) = R(\frac{t}{2\pi})$ , where  $R$  is the Riemann function, i.e.

$$R(t) = \begin{cases} \frac{1}{q} & \text{if } t = \frac{p}{q} \text{ where } p \in \mathbb{Z}, q \in \mathbb{N} \text{ and } p, q \text{ are mutually prime,} \\ 0 & \text{if } t \in \mathbb{R} \setminus \mathbb{Q}. \end{cases}$$

Show that  $\text{ext } K$  is a  $G_\delta$  set which is not  $F_\sigma$ .

*Hint:* (1) Show that  $A$  is compact by showing it is closed and bounded and use the fact that in a finite-dimensional space the convex hull of a compact set is again compact. (2) Using Proposition XI.21 show that  $\text{ext } K$  consists exactly of those elements of  $A$  which are not the center of a nondegenerate segment contained in  $A$ . (3) Let  $B = \{(\cos t, \sin t, 0); t \in [0, 2\pi]\}$ . Show that  $B$  is a closed subset of  $K$  such that both sets  $B \cap \text{ext } K$  and  $B \setminus \text{ext } K$  are dense in  $B$ . Since  $\text{ext } K$  is  $G_\delta$  by Proposition XI.24(a), use Baire category theorem to show that  $\text{ext } K$  is not  $F_\sigma$ .

**Problem 40.** Let  $K$  be a compact Hausdorff space and let  $P(K)$  denote the set of all the Radon probability measures on  $K$  equipped with the weak\* topology inherited from  $\mathcal{C}(K)^*$ . Denote by  $\delta : K \rightarrow P(K)$  the mapping assigning to each  $x \in K$  the Dirac measure  $\delta_x$  supported at  $x$ . For any  $f \in \mathcal{C}(K)$  denote by  $\tilde{f}$  the function on  $P(K)$  defined by

$$\tilde{f}(\mu) = \int f \, d\mu, \quad \mu \in P(K).$$

- (1) Show that, given  $f \in \mathcal{C}(K)$ , the function  $\tilde{f}$  is a continuous affine function on  $P(K)$ .
- (2) Let  $\mu \in P(K)$ . Denote by  $\delta(\mu)$  the image of  $\mu$  by the mapping  $\delta$ . Show that  $\delta(\mu)$  is a Radon probability measure on  $P(K)$  and its barycenter is  $\mu$ .
- (3) Show that the mapping  $f \mapsto \tilde{f}$  is a linear isometry of  $\mathcal{C}(K)$  onto the space of all affine continuous functions on  $P(K)$  equipped with the sup-norm.

**Problem 41.** Let  $K$  be a compact convex subset of a HLCS and let  $f : K \rightarrow \mathbb{R}$  be a continuous affine function. Show that  $f$  attains its maximum on  $K$  at some extreme point of  $K$ .

*Hint:* The set  $\{x \in K; f(x) = \max f(K)\}$  is a closed face of  $K$ .

#### PROBLEMS TO SECTION XI.4 – COMPACTNESS, ANGELICITY ETC.

**Problem 42.** Let  $\Gamma$  be an uncountable set and let

$$X = \{x \in [0, 1]^\Gamma; \{\gamma \in \Gamma; x(\gamma) \neq 0\} \text{ is countable}\},$$

equipped with the product topology inherited from  $[0, 1]^\Gamma$ .

- (1) Show that  $X$  is sequentially compact.

- (2) Show that  $X$  is dense in  $[0, 1]^{\Gamma}$ .
- (3) Deduce that  $X$  is not compact.

**Problem 43.** Let  $K = \{0, 1\}^{\{0, 1\}^{\mathbb{N}}}$  be equipped with the product topology (where  $\{0, 1\}$  is considered with the discrete topology).

- (1) Define a sequence  $(x_n)$  in  $K$  by setting

$$x_n(\mathbf{a}) = a_n, \quad \mathbf{a} = (a_n) \in \{0, 1\}^{\mathbb{N}}.$$

Show that the sequence  $(x_n)$  has no convergent subsequence.

- (2) Deduce that  $K$  is a compact space which is not sequentially compact.

**Problem 44.** Find a countably compact space which is neither compact nor sequentially compact.

*Hint: Take the topological sum of preceding examples.*

**Problem 45.** Consider the space  $K$  and the sequence  $(x_n)$  as in Problem 43. Denote  $L = \overline{\{x_n; n \in \mathbb{N}\}}$ . Further, interpret the index set  $\{0, 1\}^{\mathbb{N}}$  as the power set  $\mathcal{P}(\mathbb{N})$  (identify any subset of  $\mathbb{N}$  with its characteristic function). For any element  $x \in L$  denote  $U(x) = \{A \subset \mathbb{N}; x(A) = 1\}$ .

- (1) Show that for any  $x \in L$  we have
  - $A, B \in U(x) \Rightarrow A \cap B \in U(x)$ ,
  - $A \in U(x), \mathbb{N} \supset B \supset A \Rightarrow B \in U(x)$ ,
  - $A \in U(x) \Leftrightarrow \mathbb{N} \setminus A \notin U(x)$ .
- (2) For  $x \in L \setminus \{x_n; n \in \mathbb{N}\}$  show that  $U(x)$  contains no finite set.
- (3) Show that  $L$  contains no one-to-one convergent sequence.

*Hint: (1,2) Use the definition of the product topology. (3) Proceed by contradiction. Suppose that  $(y_k)$  is a one-to-one convergent sequence in  $L$ . Due to Problem 43 we can suppose that the sequence is contained in  $L \setminus \{x_n; n \in \mathbb{N}\}$ . Using (1) and the assumption that the sequence is one-to-one construct a sequence  $(A_k)$  of disjoint infinite subsets of  $\mathbb{N}$  such that  $A_k \in U(y_k)$  for each  $k \in \mathbb{N}$ . Let  $A = \bigcup \{A_k; k \text{ odd}\}$ . Show that the sequence  $(y_k(A))$  is not convergent.*

**Problem 46.** Let  $X$  be a topological space such that  $X^n$  is Lindelöf for each  $n \in \mathbb{N}$ . (Recall that a topological space is said to be **Lindelöf** if any its open cover admits a countable subcover.) Show that the space  $(\mathcal{C}(X), \tau_p)$  has countable tightness (see Theorem XI.28).

*Hint: Show that the proof of Theorem XI.28 works in this more general case.*

**Problem 47.** Let  $X$  be a  $\sigma$ -compact topological space. Show that the space  $(\mathcal{C}(X), \tau_p)$  has countable tightness (see Theorem XI.28).

*Hint: Use Problem 46.*

**Problem 48.** Let  $X$  be a Hausdorff topological space which can be expressed as the union of a sequence of pairwise disjoint compact sets.

- (1) Show that any relatively countably compact subset of  $(\mathcal{C}(X), \tau_p)$  is relatively compact.
- (2) Show that any separable compact subset of  $(\mathcal{C}(X), \tau_p)$  is metrizable.
- (3) Deduce that  $(\mathcal{C}(X), \tau_p)$  is angelic.



**Hint:** Let  $X = \bigcup_n K_n$ , where  $K_n$  are compact and pairwise disjoint. (1) Observe that  $(\mathcal{C}(X), \tau_p)$  is homeomorphic to the product space  $\prod_n (\mathcal{C}(K_n), \tau_p)$ , use Lemma XI.27 and Tychonoff theorem. (2) Proceed similarly as in the proof of Proposition XI.29 – the statement is clear if  $X$  is metrizable (which takes place if and only if each  $K_n$  is metrizable). In the second part of proof do not take just  $\varphi(X)$  but the topological sum of  $\varphi(K_n)$ ,  $n \in \mathbb{N}$ . (3) Combine Problem 47 with (1) and (2), similarly as in the proof of Theorem XI.26.

**Problem 49.** Let  $X$  and  $Y$  be Hausdorff completely regular spaces. Assume  $X$  is angelic and there is a continuous one-to-one mapping  $f : Y \rightarrow X$ . Show that  $Y$  is angelic as well.

**Hint:** The key trick is to show the following: If  $A \subset Y$  is relatively countably compact and  $(y_n)$  is a sequence in  $A$  such that the sequence  $(f(y_n))$  is convergent in  $X$ , then the sequence  $(y_n)$  is convergent in  $Y$ .

**Problem 50.** Let  $X$  be a  $\sigma$ -compact Hausdorff space. Show that  $(\mathcal{C}(X), \tau_p)$  is angelic.

**Hint:** Let  $X = \bigcup_n K_n$  with  $K_n$  compact. Let  $Y$  be the topological sum of the spaces  $K_n$ . Find a continuous one-to-one mapping  $F : (\mathcal{C}(X), \tau_p) \rightarrow (\mathcal{C}(Y), \tau_p)$  and use Problems 49 and 48.

**Problem 51.** Let  $X$  be a metrizable LCS. Show that  $(X, w)$  is angelic.

**Hint:** Use Proposition XI.7(a) and Problems 50 and 49.

**Problem 52.** Let  $X$  be a normed linear space which is not complete. Show that there is a norm-compact set  $K \subset X$  such that  $\overline{\text{aco } K}$  is not weakly compact.

**Hint:** Show that  $K$  can be taken in the form  $K = \{0\} \cup \{x_n; n \in \mathbb{N}\}$  where  $x_n \rightarrow 0$ . To this end use Proposition XI.11, Theorem XI.12 and Mackey-Arens theorem.