

# A QUANTITATIVE VERSION OF THE CONVERSE TAYLOR THEOREM: $C^{k,\omega}$ -SMOOTHNESS

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ABSTRACT. We prove a uniform version of the Converse Taylor theorem in infinite-dimensional spaces with an explicit relation between the moduli of continuity for mappings on a general open domain. We show that if the domain satisfies certain conditions (e.g. if it is convex and bounded), then we can extend the estimate up to the boundary.

The converse to the Taylor theorem is a well-known result, see e.g. [LS] or [AD]. We could not find in the literature a version of this theorem for mappings with uniformly continuous derivatives that deals explicitly with the moduli of continuity, so we prove such a version below (Theorem 9). Usually when dealing with quantitative uniform estimates for derivatives of mappings on general open domains there are troubles when we approach the boundary. We show that if the domain satisfies certain conditions (e.g. if it is convex and bounded), then these problems can be avoided.

All vector spaces considered are real. We denote by  $B(x, r)$ , resp.  $U(x, r)$  the closed, resp. open ball in a normed linear space centred at  $x$  with radius  $r > 0$ . By  $B_X$  we denote the closed unit ball of a normed linear space  $X$ , i.e.  $B_X = B(0, 1)$ . Let  $X, Y$  be normed linear spaces and  $n \in \mathbb{N}$ . By  $\mathcal{L}^s(nX; Y)$  we denote the space of symmetric  $n$ -linear mappings from  $X$  to  $Y$  with the norm  $\|M\| = \sup_{x_1, \dots, x_n \in B_X} \|M(x_1, \dots, x_n)\|$ . By  $\mathcal{P}(nX; Y)$  we denote the space of  $n$ -homogeneous polynomials from  $X$  to  $Y$  with the norm  $\|P\| = \sup_{x \in B_X} \|P(x)\|$ . By  $\mathcal{P}^n(X; Y)$  we denote the space of polynomials of degree at most  $n$  from  $X$  to  $Y$  with the norm  $\|P\| = \sup_{x \in B_X} \|P(x)\|$ . We will use the following convention: for  $k \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}$  and  $x \in X$  we denote  $\underbrace{k}_X = \underbrace{x, \dots, x}_{k \text{ times}}$ . If  $P \in \mathcal{P}(nX; Y)$ , then we denote by  $\check{P}$  the uniquely determined symmetric  $n$ -linear mapping that gives rise to the

polynomial  $P$ , i.e.  $P(x) = \check{P}(x)$ . We start by recalling a few well-known results on polynomials that will be needed later on. They can be found e.g. in [M].

**Lemma 1.** *Let  $X, Y$  be normed linear spaces,  $n \in \mathbb{N}$ ,  $P \in \mathcal{P}(nX; Y)$ , and  $x, y \in X$ . Then*

$$P(x + y) = \sum_{j=0}^n \binom{n}{j} \check{P}(j_x, n-j_y).$$

**Theorem 2.** *Let  $n \in \mathbb{N}_0$ . There are numbers  $a_{kj} \in \mathbb{R}$ ,  $k, j = 0, \dots, n$ , such that whenever  $X, Y$  are normed linear spaces,  $P \in \mathcal{P}^n(X; Y)$ , and  $P_k \in \mathcal{P}(kX; Y)$  are such that  $P = \sum_{k=0}^n P_k$ , then  $P_k(x) = \sum_{j=0}^n a_{kj} P(\frac{j}{n}x)$  for every  $x \in X$ .*

*In particular, there are constants  $K_{n,k} > 0$  such that*

$$\|Q_k(x)\| \leq K_{n,k} \max_{0 \leq j \leq n} \|Q(\frac{j}{n}x)\|$$

*whenever  $X, Y$  are normed linear spaces,  $Q \in \mathcal{P}^n(X; Y)$ ,  $x \in X$ , and  $k \in \{0, \dots, n\}$ , where  $Q_k$  is the  $k$ -homogeneous summand of  $Q$ .*

The next lemma shows that the Polarisation formula applied to a non-homogeneous polynomial extracts its ‘‘leading term’’.

**Lemma 3** ([MO]). *Let  $X, Y$  be normed linear spaces,  $n \in \mathbb{N}$ , and let  $P \in \mathcal{P}^n(X; Y)$  be such that  $P = \sum_{k=0}^n P_k$  where  $P_k \in \mathcal{P}(kX; Y)$ . Then*

$$\check{P}_n(x_1, \dots, x_n) = \frac{1}{2^n n!} \sum_{\varepsilon_j = \pm 1} \varepsilon_1 \cdots \varepsilon_n P\left(a + \sum_{j=1}^n \varepsilon_j x_j\right)$$

*for every  $a, x_1, \dots, x_n \in X$ .*

The following lemma is useful for estimating the norm of a homogeneous polynomial using its values on an arbitrary ball.

**Lemma 4.** *Let  $X, Y$  be normed linear spaces,  $n \in \mathbb{N}$ , let  $P \in \mathcal{P}^n(X; Y)$  be such that  $P = \sum_{k=0}^n P_k$ ,  $P_k \in \mathcal{P}(kX; Y)$ , and let  $a \in X$ . Then*

$$\|P_n(x)\| \leq \frac{n^n}{n!} \sup_{t \in [-1, 1]} \|P(a + tx)\|$$

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for every  $x \in X$ . In particular, for any  $r > 0$

$$\sup_{x \in B(0,r)} \|P_n(x)\| \leq \frac{n^n}{n!} \sup_{x \in B(a,r)} \|P(x)\|.$$

*Proof.* By Lemma 3,

$$\|P_n(x)\| = n^n \left\| P_n\left(\frac{x}{n}\right) \right\| \leq \frac{n^n}{2^n n!} \sum_{\varepsilon_j = \pm 1} \left\| P\left(a + \frac{x}{n} \sum_{j=1}^n \varepsilon_j\right) \right\| \leq \frac{n^n}{n!} \sup_{t \in [-1,1]} \|P(a + tx)\|.$$

□

Let  $X, Y$  be normed linear spaces,  $U \subset X$  open,  $f: U \rightarrow Y$ , and  $x \in U$ . By  $Df(x)$  we denote the Fréchet derivative of  $f$  at  $x$ , and by  $Df(x)[h]$  we denote the evaluation of this derivative at the direction  $h \in X$ . Similarly we denote by  $D^k f(x)$  the  $k$ th Fréchet derivative of  $f$  at  $x$ . By  $d^k f(x)$  we denote the  $k$ -homogeneous polynomial corresponding to the symmetric  $k$ -linear mapping  $D^k f(x)$ , and by  $d^k f(x)[h]$  we denote its evaluation at  $h \in X$ , i.e.  $d^k f(x)[h] = D^k f(x)[h]$ .

We say that  $f$  is  $C^k$ -smooth if  $D^k f$  (i.e. the mapping  $x \mapsto D^k f(x)$ ) is continuous in the domain. We denote by  $C^k(U; Y)$  the vector space of all  $C^k$ -smooth mappings from  $U$  into  $Y$ . For convenience we put  $C^0(U; Y) = C(U; Y)$ , i.e. the continuous mappings.

**Lemma 5.** *Let  $X, Y$  be normed linear spaces,  $U \subset X$  open,  $f: U \rightarrow Y$ ,  $k \in \mathbb{N}$ , and  $a \in U$ . Then  $d^k f(a)$  exists if and only if  $D(d^{k-1}f)(a)$  exists.*

*Proof.* Denote by  $I: \mathcal{P}^{(k-1)X; Y} \rightarrow \mathcal{L}^s(k-1X; Y)$  the canonical isomorphism  $I(P) = \tilde{P}$  from the Polarisation formula. Then  $D^{k-1}f = I \circ d^{k-1}f$  and  $d^{k-1}f = I^{-1} \circ D^{k-1}f$  and so the equivalence follows from the Chain rule.

□

Notice that by the Polarisation formula  $f \in C^k(U; Y)$  if and only if the mappings  $x \mapsto d^j f(x)$ ,  $j = 1, \dots, k$ , are continuous on  $U$ . Recall the following two versions of Taylor's theorem:

**Theorem 6** (Peano's form of Taylor's theorem). *Let  $X, Y$  be normed linear spaces,  $U \subset X$  an open set,  $f: U \rightarrow Y$ ,  $a \in U$ ,  $k \in \mathbb{N}$ , and suppose that  $D^k f(a)$  exists. Then*

$$\left\| f(x) - \sum_{j=0}^k \frac{1}{j!} d^j f(a)[x-a] \right\| = o(\|x-a\|^k), \quad x \rightarrow a.$$

**Theorem 7.** *Let  $X, Y$  be normed linear spaces,  $U \subset X$  an open convex set,  $k \in \mathbb{N}$ , and  $f \in C^k(U; Y)$ . Then for any  $x \in U$  and  $h \in X$  satisfying  $x+h \in U$  we have*

$$\left\| f(x+h) - \sum_{j=0}^k \frac{1}{j!} d^j f(x)[h] \right\| \leq \frac{1}{k!} \left( \sup_{t \in [0,1]} \|d^k f(x+th) - d^k f(x)\| \right) \cdot \|h\|^k.$$

Let  $X, Y$  be normed linear spaces,  $U \subset X$  an open set,  $f: U \rightarrow Y$ , and  $k \in \mathbb{N}_0$ . We say that  $f$  is  $T^k$ -smooth at  $x \in U$  if there exists a polynomial  $P \in \mathcal{P}^k(X; Y)$  satisfying  $P(0) = f(x)$  and

$$f(x+h) - P(h) = o(\|h\|^k), \quad h \rightarrow 0. \quad (1)$$

We say that  $f$  is  $T^k$ -smooth on  $U$  if it is  $T^k$ -smooth at every point  $x \in U$ .

We remark that the polynomial in (1) is uniquely determined. It is easy to see that  $T^{k+1}$ -smoothness implies  $T^k$ -smoothness. If  $f$  is  $T^1$ -smooth at  $x$ , then  $f$  is Fréchet differentiable at  $x$  with  $Df(x) = P_1$ , the 1-homogeneous term of  $P$ .

Theorem 6 implies that a  $C^k$ -smooth mapping is also  $T^k$ -smooth and the approximating polynomial is given by  $\sum_{j=0}^k \frac{1}{j!} d^j f(x)$ . The converse is not true in general: consider  $f: \mathbb{R} \rightarrow \mathbb{R}$ ,  $f(x) = x^{k+1} \sin \frac{1}{x^k}$ ,  $f(0) = 0$ . Then  $f$  is  $T^k$ -smooth but not even  $C^1$ -smooth. Nevertheless, under certain uniformity assumptions the converse does hold.

**Theorem 8** (Converse Taylor theorem). *Let  $X, Y$  be normed linear spaces,  $U \subset X$  an open set,  $f: U \rightarrow Y$ , and  $k \in \mathbb{N}_0$ . Then  $f \in C^k(U; Y)$  if and only if  $f$  is a  $T^k$ -smooth mapping satisfying*

$$\lim_{\substack{(y,h) \rightarrow (x,0) \\ h \neq 0}} \frac{\|R(y,h)\|}{\|h\|^k} = 0 \quad (2)$$

for every  $x \in U$ , where  $R(x,h) = f(x+h) - P^x(h)$  and the polynomials  $P^x \in \mathcal{P}^k(X; Y)$  come from the definition of  $T^k$ -smoothness at  $x$ . In this case  $P^x = \sum_{j=0}^k \frac{1}{j!} d^j f(x)$ .

The proof of the uniform version below reuses significant parts of the proof of this theorem. For this reason (and also for the reader's convenience) we give our version of the proof. The main ideas are the same as in [AD].

*Proof.*  $\Rightarrow$  If  $f \in C^k(U; Y)$ , then  $f$  is  $T^k$ -smooth, and  $P^x = \sum_{j=0}^k \frac{1}{j!} d^j f(x)$  by the uniqueness of the Taylor polynomial. Fix  $x \in U$  and choose any  $\varepsilon > 0$ . Let  $\delta > 0$  be such that  $B(x, 2\delta) \subset U$  and  $\|d^k f(z) - d^k f(x)\| < \varepsilon$  for  $z \in B(x, 2\delta)$ . By Theorem 7

$$\|R(y, h)\| \leq \frac{1}{k!} \left( \sup_{z \in B(y, \delta)} \|d^k f(z) - d^k f(y)\| \right) \cdot \|h\|^k \leq \frac{2\varepsilon}{k!} \|h\|^k$$

whenever  $y \in B(x, \delta)$  and  $h \in B(0, \delta)$ , from which (2) follows.

$\Leftarrow$  We use induction on  $k$ . For  $k = 0$  the assertion is obvious, since both  $T^0$ -smoothness and  $C^0$ -smoothness mean just the continuity of  $f$ . So assume that  $k \in \mathbb{N}$  and the theorem holds for  $k - 1$ .

Fix  $x \in U$  and let  $\delta > 0$  be such that  $U(x, 2\delta) \subset U$ . We have

$$\begin{aligned} f(x + h + y) &= P^x(h + y) + R(x, h + y), \\ f(x + h + y) &= P^{x+h}(y) + R(x + h, y) \end{aligned} \quad (3)$$

for all  $h, y \in U(0, \delta)$ . Set  $q(h, y) = P^{x+h}(y) - P^x(h + y)$ . Denote by  $P_j^z$  the  $j$ -homogeneous summands of  $P^z$ ,  $j = 0, \dots, k$ . By Lemma 1 we can write  $q(h, y) = \sum_{j=0}^k q_j(h, y)$ , where

$$q_j(h, y) = P_j^{x+h}(y) - \sum_{l=j}^k \binom{l}{j} \widetilde{P}_l^x(l^{-j}h, jy).$$

Note that  $q(h, \cdot) \in \mathcal{P}^k(X; Y)$  and  $q_j(h, \cdot) \in \mathcal{P}^j(X; Y)$ ,  $j = 0, \dots, k$ , for  $h \in U(0, \delta)$ . By subtracting the equalities (3) we obtain  $q(h, y) = R(x, h + y) - R(x + h, y)$ . Thus for any  $h, y \in U(0, \delta)$  such that  $\|y\| \leq \|h\|$ ,  $y \neq 0$ , and  $y \neq -h$  we obtain

$$\|q(h, y)\| \leq \|R(x, h + y)\| + \|R(x + h, y)\| \leq \left( 2^k \frac{\|R(x, h + y)\|}{\|h + y\|^k} + \frac{\|R(x + h, y)\|}{\|y\|^k} \right) \|h\|^k.$$

It follows (using also simpler versions of the above estimate if  $y = 0$  or  $y = -h$ ) that

$$\|q(h, y)\| = o(\|h\|^k), \quad (h, y) \rightarrow (0, 0), \quad \|y\| \leq \|h\|.$$

Applying Theorem 2 we get  $\|q_j(h, y)\| \leq K_{k,j} \max_{0 \leq l \leq k} \|q(h, \frac{l}{k}y)\|$  for all  $h \in U(0, \delta)$ ,  $y \in X$ , and  $j \in \{0, \dots, k\}$ . Therefore

$$\|q_j(h, y)\| = o(\|h\|^k), \quad (h, y) \rightarrow (0, 0), \quad \|y\| \leq \|h\|.$$

So finally by taking the supremum over  $y \in B(0, \|h\|)$  and using the  $j$ -homogeneity of  $q_j(h, \cdot)$  we obtain

$$\|q_j(h, \cdot)\| = \frac{1}{\|h\|^j} \sup_{\|y\| \leq \|h\|} \|q_j(h, y)\| = \sup_{\|y\| \leq \|h\|} \frac{\|q_j(h, y)\|}{\|h\|^j} = o(\|h\|^{k-j}), \quad h \rightarrow 0 \quad (4)$$

for each  $j \in \{0, \dots, k\}$ .

Since  $q_k(h, \cdot) = P_k^{x+h} - P_k^x$ , it follows that the mapping  $x \mapsto P_k^x$  is continuous on  $U$ . Further, since for  $h \neq 0$

$$\frac{\|f(y + h) - \sum_{j=0}^{k-1} P_j^y(h)\|}{\|h\|^{k-1}} \leq \frac{\|R(y, h)\| + \|P_k^y(h)\|}{\|h\|^{k-1}} \leq \left( \frac{\|R(y, h)\|}{\|h\|^k} + \|P_k^y\| \right) \|h\|,$$

the continuity of  $x \mapsto P_k^x$  implies  $\lim_{\substack{(y,h) \rightarrow (x,0) \\ h \neq 0}} \frac{\|f(y+h) - \sum_{j=0}^{k-1} P_j^y(h)\|}{\|h\|^{k-1}} = 0$  and so by the inductive hypothesis  $f$  is  $C^{k-1}$ -smooth

and  $P_j^x = \frac{1}{j!} d^j f(x)$ ,  $j = 0, \dots, k - 1$ . Thus

$$q_{k-1}(h, y) = \frac{1}{(k-1)!} (d^{k-1} f(x + h)[y] - d^{k-1} f(x)[y]) - k \widetilde{P}_k^x(h, k^{-1}y)$$

and from (4) we get

$$\|d^{k-1} f(x + h) - d^{k-1} f(x) - k! \widetilde{P}_k^x(h, \cdot, \dots, \cdot)\| = o(\|h\|), \quad h \rightarrow 0.$$

Therefore  $d^k f(x)$  exists by Lemma 5, and consequently  $d^k f(x) = k! P_k^x$  by Theorem 6, which finishes the proof.  $\square$

A modulus is a non-decreasing function  $\omega : [0, +\infty) \rightarrow [0, +\infty]$  continuous at 0 with  $\omega(0) = 0$ . The set of all moduli will be denoted by  $\mathcal{M}$ . An important subset of all moduli consists of the sub-additive moduli. A nice feature of a sub-additive modulus  $\omega$  is that it is real-valued and uniformly continuous with modulus of continuity  $\omega$ . It is easy to check that the minimal modulus of continuity of a uniformly continuous mapping defined on a convex subset of a normed linear space is sub-additive.

Let  $X, Y$  be normed linear spaces,  $U \subset X$  an open set,  $k \in \mathbb{N}$ ,  $f \in C^k(U; Y)$ , and let  $\omega \in \mathcal{M}$ . We say that  $f$  is  $C^{k,\omega}$ -smooth on  $U$  if  $d^k f$  is uniformly continuous on  $U$  with modulus  $\omega$ .

Let  $X, Y$  be normed linear spaces,  $V \subset X$  an open set,  $f : V \rightarrow Y$ , and  $k \in \mathbb{N}_0$ . We say that  $f$  is  $UT^k$ -smooth on  $V$  if there exists  $\omega \in \mathcal{M}$  such that for each  $x \in V$  there is a polynomial  $P \in \mathcal{P}^k(X; Y)$  satisfying

$$\|f(x + h) - P(h)\| \leq \omega(\|h\|) \|h\|^k \quad \text{for } x + h \in V.$$

We note that  $UT^{k+1}$ -smoothness in general it does not imply  $UT^k$ -smoothness – the function  $f(x) = x^3$  is  $UT^2$ -smooth on  $\mathbb{R}$  but it is not  $UT^1$ -smooth on  $\mathbb{R}$ .

Theorem 7 implies that a  $C^{k,\omega}$ -smooth mapping on a convex  $U$  is  $UT^k$ -smooth on  $U$  with modulus  $\frac{1}{k!}\omega$ . The converse statement is contained in the next theorem.

**Theorem 9.** *Let  $X, Y$  be normed linear spaces,  $U \subset X$  an open set,  $f: U \rightarrow Y$ , and  $k \in \mathbb{N}$ . Suppose that  $f$  is  $UT^k$ -smooth on  $U$  and the modulus  $\omega$  from the definition of  $UT^k$ -smoothness is sub-additive. If  $V \subset U$  is an open bounded subset satisfying  $\text{dist}(V, X \setminus U) > 0$ , then  $f$  is  $C^{k,m\omega}$ -smooth on  $V$ , where  $m > 0$  is a constant depending on  $k$ ,  $\text{diam } V$ , and  $\text{dist}(V, X \setminus U)$ .*

*If moreover  $U$  is bounded and there exists  $r > 0$  such that for each  $x \in U$  there is  $a \in U$  satisfying  $\text{conv}(\{x\} \cup B(a, r)) \subset U$ , then  $f$  is  $C^{k,m\omega}$ -smooth on the whole of  $U$ , where  $m = c_k \left(\frac{\text{diam } U}{r}\right)^k$  and  $c_k > 0$  is a constant depending only on  $k$ . The same holds if  $U = X$  (in this case  $m = c_k$ ).*

*Proof.* First notice that  $f \in C^k(U; Y)$  by Theorem 8. Let  $V \subset U$  be a non-empty bounded open set for which  $\text{dist}(V, X \setminus U) > 0$ . Put  $\rho = \text{diam } V$  and  $\varepsilon = \min\{\rho, \text{dist}(V, X \setminus U)\}$ . Fix any  $x \in V$  and  $h \in X$  such that  $x + h \in V$ . We use the notation from the proof of Theorem 8. For any  $y \in X$  satisfying  $\|y\| \leq \|h\|$  and  $x + h + y \in U$  we have

$$\begin{aligned} \|q(h, y)\| &\leq \|R(x, h + y)\| + \|R(x + h, y)\| \leq \omega(\|h + y\|)\|h + y\|^k + \omega(\|y\|)\|y\|^k \\ &\leq \omega(2\|h\|)2^k \|h\|^k + \omega(\|h\|)\|h\|^k \leq (2^{k+1} + 1)\omega(\|h\|)\|h\|^k. \end{aligned} \quad (5)$$

Therefore by Theorem 2

$$\|d^k f(x + h)[y] - d^k f(x)[y]\| = k! \|q_k(h, y)\| \leq k! K_{k,k} \max_{0 \leq l \leq k} \|q(h, \frac{l}{k}y)\| \leq k! K_{k,k} (2^{k+1} + 1)\omega(\|h\|)\|h\|^k$$

for all  $y \in X$  satisfying  $\|y\| \leq \frac{\varepsilon}{\rho}\|h\|$ . Consequently, if  $h \neq 0$ ,

$$\|d^k f(x + h) - d^k f(x)\| = \frac{1}{\left(\frac{\varepsilon}{\rho}\|h\|\right)^k} \sup_{\|y\| \leq \frac{\varepsilon}{\rho}\|h\|} \|d^k f(x + h)[y] - d^k f(x)[y]\| \leq \frac{\rho^k}{\varepsilon^k} k! K_{k,k} (2^{k+1} + 1)\omega(\|h\|).$$

Note that in the case  $U = X$  we can take the supremum over  $\|y\| \leq \|h\|$  (since  $x + h + y$  always lies in the domain of  $f$ ) and thus we obtain the estimate for any  $x, h \in X$ .

Finally, let us suppose that  $U$  is bounded and there exists  $r > 0$  such that for each  $x \in U$  there is  $a \in U$  satisfying  $\text{conv}(\{x\} \cup B(a, r)) \subset U$ . Fix any  $x \in U$  and  $h \in X \setminus \{0\}$  such that  $x + h \in U$ . Put  $\alpha = \frac{r}{\text{diam } U}$  and  $s = \alpha\|h\|$ , and note that  $\alpha \leq \frac{1}{2}$  and  $s \leq r$ . Let  $a \in U$  be such that  $\text{conv}(\{x + h\} \cup B(a, r)) \subset U$ . Set  $u = a$  if  $\|a - x - h\| \leq \|h\|$  and  $u = x + h + \frac{a - x - h}{\|a - x - h\|}\|h\|$  otherwise. Note that  $B(u, s) \subset U$ . Indeed, if  $u = a$ , then we use the fact that  $s \leq r$ . Otherwise, every  $z \in B(u, s)$  can be expressed as a convex combination  $z = (1 - \frac{\|h\|}{\|a - x - h\|})(x + h) + \frac{\|h\|}{\|a - x - h\|}w$ , where  $w = a + (z - u)\frac{\|a - x - h\|}{\|h\|} \in B(a, r)$ .

Now if  $y \in B(u - x - h, s)$ , then  $x + h + y \in B(u, s) \subset U$  and  $\|y\| \leq \|u - x - h\| + s \leq \|h\| + s = (1 + \alpha)\|h\| < 2\|h\|$ . Hence, similarly as in (5),

$$\begin{aligned} \|q(h, y)\| &\leq \omega(\|h + y\|)\|h + y\|^k + \omega(\|y\|)\|y\|^k \leq \omega(3\|h\|)(2 + \alpha)^k \|h\|^k + \omega(2\|h\|)(1 + \alpha)^k \|h\|^k \\ &\leq (3(2 + \alpha)^k + 2(1 + \alpha)^k)\omega(\|h\|)\|h\|^k \leq 5(2 + \alpha)^k \omega(\|h\|)\|h\|^k. \end{aligned}$$

Thus using Lemma 4 we obtain

$$\begin{aligned} \|d^k f(x + h) - d^k f(x)\| &= \frac{1}{s^k} \sup_{y \in B(0, s)} \|d^k f(x + h)[y] - d^k f(x)[y]\| = \frac{k!}{s^k} \sup_{y \in B(0, s)} \|q_k(h, y)\| \\ &\leq \frac{k^k}{s^k} \sup_{y \in B(u - x - h, s)} \|q(h, y)\| \leq \frac{k^k}{s^k} 5(2 + \alpha)^k \omega(\|h\|)\|h\|^k = 5k^k \left(1 + \frac{2}{\alpha}\right)^k \omega(\|h\|). \end{aligned}$$

□

In the convex case the assumption on the sub-additivity of the modulus  $\omega$  can be dropped:

**Corollary 10.** *Let  $X, Y$  be normed linear spaces and let  $U \subset X$  be an open convex set that is either bounded or has the property that there are  $a \in X$ ,  $r > 0$ , and  $\{u_n\}_{n \in \mathbb{N}} \subset X$ ,  $\|u_n\| = n$  such that  $B(a + u_n, nr) \subset U$  for each  $n \in \mathbb{N}$  (this holds in particular if  $U$  contains an unbounded cone). If  $f: U \rightarrow Y$  is  $UT^k$ -smooth,  $k \in \mathbb{N}$ , and  $\omega$  is the modulus from the definition of  $UT^k$ -smoothness, then  $f$  is  $C^{k,m\omega}$ -smooth on  $U$  for some  $m > 0$ . More precisely, in the bounded case  $m = c_k e_U^k$ , where  $e_U = \frac{\text{diam } U}{\sup\{r; B(a, r) \subset U\}}$  and  $c_k > 0$  is a constant depending only on  $k$ ; in the unbounded case  $m = c_k \left(1 + \frac{1}{r}\right)^k$ .*

*Proof.* First suppose that  $U$  is bounded and  $B(a, r) \subset U$ . By the convexity the assumption of Theorem 9 is satisfied and from the proof it is easily seen that we obtain  $\|d^k f(x + h) - d^k f(x)\| \leq 2k^k \left(1 + \frac{2\text{diam } U}{r}\right)^k \omega(3\|h\|)$  for any  $x, h \in U$ . Thus  $\omega_1(t) \leq c_k e_U^k \omega(3t)$ , where  $\omega_1$  is the minimal modulus of continuity of  $d^k f$  on  $U$ . But since  $\omega_1$  is sub-additive, we get  $\omega_1(t) \leq 3\omega_1(\frac{1}{3}t) \leq 3c_k e_U^k \omega(t)$ .

In the unbounded case choose any  $n \in \mathbb{N}$  and put  $V = U \cap U(a, n + nr)$ . Then  $U(a + u_n, nr) \subset V$  and so by the first part of the proof  $f$  is  $C^{k,m\omega}$ -smooth on  $V$  for  $m = c_k \left(\frac{2(n+nr)}{nr}\right)^k = 2^k c_k \left(1 + \frac{1}{r}\right)^k$ . Since this constant is independent of  $n$ , it follows that  $f$  is  $C^{k,m\omega}$ -smooth on the whole of  $U$ . □

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