

Operators on varieties of monoids related to polynomial operators on classes of regular languages

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The **polynomial operator** assigns to each class of languages \mathcal{V} the class of all (positive) boolean combinations of the languages of the form

$$L_0 a_1 L_1 a_2 \dots a_\ell L_\ell, \quad (*)$$

where A is an alphabet, $a_1, \dots, a_\ell \in A$, $L_0, \dots, L_\ell \in \mathcal{V}(A)$ (i.e. they are over A).

The resulting classes are denoted by $\text{PPol}^{\mathcal{V}}$ and $\text{BPol}^{\mathcal{V}}$, respectively.

In the **restricted** case we fix a natural number k and we allow only $\ell \leq k$ in $(*)$. We get the classes $\text{PPol}_k^{\mathcal{V}}$ and $\text{BPol}_k^{\mathcal{V}}$, respectively.

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1. Let $\mathcal{T}(A) = \{\emptyset, A^*\}$ for each finite set A . Then $\text{PPol}\mathcal{T}$ is level 1/2 of the **Straubing-Thérien hierarchy** and $\text{BPol}\mathcal{T} = \mathcal{V}_1$ is level 1, i.e. the piecewise testable languages.

Result (Simon - 1972): Decidability of the membership problem for the class \mathcal{V}_1 .

Open problem: Decidability of the membership problem for the class $\text{BPol}\mathcal{V}_1 = \mathcal{V}_2$.

2. Let $\mathcal{S}^+(A)$ be the set of all finite unions of the languages of the form B^* , where $B \subseteq A$, for each finite set A .

Result (Pin, Straubing): $\text{BPol}\mathcal{S}^+ = \mathcal{V}_2$.

Open problem – reformulation:

Is it decidable whether a given regular language $L \subseteq A^*$ can be expressed as a boolean combination languages of the form $B_0^* a_1 B_1^* a_2 \dots a_\ell B_\ell^*$, where $a_1, \dots, a_\ell \in A$, $B_0, \dots, B_\ell \subseteq A$.

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3. Let $\mathcal{S}(A)$ be the set of all finite unions of the languages of the form \overline{B} , where $B \subseteq A$, for each finite set A . Here \overline{B} is the set of all words over A containing exactly the letters from B .

4. Let m be a fixed natural number. Let $\mathcal{A}_m(A)$ be the set of all boolean combinations of the languages of the form $L(a, r) = \{u \in A^* \mid |u|_a \equiv r \pmod{m}\}$, where $a \in A$ and $0 \leq r < m$, for each finite set A .

Notice that the classes \mathcal{T} , \mathcal{S} , \mathcal{A}_m are boolean varieties and \mathcal{S}^+ is a positive variety.

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A **boolean variety of languages** \mathcal{V} associates to every finite alphabet A a class $\mathcal{V}(A)$ of regular languages over A in such a way that

- $\mathcal{V}(A)$ is closed under finite unions, finite intersections and complements (in particular, $\emptyset, A^* \in \mathcal{V}(A)$),
- $\mathcal{V}(A)$ is closed under derivatives, i.e.
 $L \in \mathcal{V}(A)$, $u, v \in A^*$ implies
 $u^{-1}Lv^{-1} = \{w \in A^* \mid uwv \in L\} \in \mathcal{V}(A)$,
- \mathcal{V} is closed under inverse morphisms, i.e.
 $f: B^* \rightarrow A^*$, $L \in \mathcal{V}(A)$ implies
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A **pseudovariety** of finite (ordered) monoids is a class of finite monoids closed under submonoids, morphic images and products of finite families. Similarly for ordered monoids. When defining a **variety** of (ordered) monoids we use arbitrary products.

The pseudovarieties of ordered monoids can be characterized by pseudoidentities. The pseudovarieties we consider here are **equational** – they are given by identities, or equivalently, they are of the form $\text{Fin } \mathbf{V}$ where \mathbf{V} is a variety of (ordered) monoids.

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For a regular language $L \subseteq A^*$, we define the relations \sim_L and \preceq_L on A^* as follows: for $u, v \in A^*$ we have

$u \sim_L v$ if and only if $(\forall p, q \in A^*) (puq \in L \iff pvq \in L)$,

$u \preceq_L v$ if and only if $(\forall p, q \in A^*) (pvq \in L \implies puq \in L)$.

The relation \sim_L is the **syntactic congruence** of L on A^* . It is of **finite index** (i.e. there are finitely many classes), the quotient structure $M(L) = A^*/\sim_L$ is called the **syntactic monoid** of L .

The relation \preceq_L is the **syntactic quasiorder** of L and we have $\preceq_L \cap \succeq_L = \sim_L$. Hence \preceq_L induces an order on $M(L) = A^*/\sim_L$, namely: $u \sim_L \leq v \sim_L$ if and only if $u \preceq_L v$. We speak about the **syntactic ordered monoid** of L ; we denote the structure by $O(L)$.

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Result (Eilenberg, Pin)

Boolean varieties (positive varieties) of languages correspond to pseudovarieties of finite monoids (ordered monoids). The correspondence, written $\mathcal{V} \longleftrightarrow \mathbf{V}$ ($\mathcal{P} \longleftrightarrow \mathbf{P}$), is given by the following relationship: for $L \subseteq A^$ we have*

$L \in \mathcal{V}(A)$ if and only if $M(L) \in \mathbf{V}$

($L \in \mathcal{P}(A)$ if and only if $O(L) \in \mathbf{P}$).

Pseudovarieties of (ordered) monoids corresponding to the classes $\mathcal{T}, \mathcal{S}^+, \mathcal{S}, \mathcal{A}_m$ consist exactly of all finite members of the following varieties:

$$\mathbf{T} = \text{Mod}(x = y), \quad \mathbf{S}^+ = \text{Mod}(x^2 = x, xy = yx, 1 \leq x),$$

$$\mathbf{S} = \text{Mod}(x^2 = x, xy = yx), \quad \mathbf{A}_m = \text{Mod}(xy = yx, x^m = 1).$$

The names for the (ordered) monoids of the pseudovarieties $\mathbf{T}, \mathbf{S}^+, \mathbf{S}, \mathbf{A}_m$ are **trivial monoids (semilattices with the smallest element 1, semilattices and abelian groups of index m , respectively)**

Let $X = \{x_1, x_2, \dots\}$. A relation γ on X^* is **a finite characteristic** if it satisfies the following conditions:

- (i) γ is a quasiorder on X^* ;
- (ii) γ is compatible with the multiplication, i.e. for each $u, v, w \in X^*$ we have

$$u \gamma v \quad \text{implies} \quad uw \gamma vw, \quad wu \gamma wv ;$$

- (iii) γ is fully invariant, i.e. for each morphism $\varphi : X^* \rightarrow X^*$ and each $u, v \in X^*$ we have

$$u \gamma v \quad \text{implies} \quad \varphi(u) \gamma \varphi(v) ;$$

- (iv) for each finite subset Y of the set X , the set Y^* intersects only finitely many classes of $X^* / \gamma \cap \gamma^{-1}$.

Proposition

Positive varieties of languages having all $\mathcal{V}(A)$ finite correspond to finite characteristics. Namely $\mathcal{V} \mapsto \text{Id } \mathbf{V}$ and $L \in \mathcal{V}(A)$ iff $\gamma \mid A^ \times A^* \subseteq \preceq_L$.*

The classes of languages in our basic examples have the following finite characteristics:

1. $\text{Id } \mathbf{T} = X^* \times X^*$.
2. $\text{Id } \mathbf{S}^+ = \{(u, v) \in X^* \times X^* \mid c(u) \subseteq c(v)\}$.
3. $\text{Id } \mathbf{S} = \{(u, v) \in X^* \times X^* \mid c(u) = c(v)\}$.
4. $\text{Id } \mathbf{A}_m = \{(u, v) \in X^* \times X^* \mid (\forall x \in X) |u|_x \equiv |v|_x \pmod{m}\}$.

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Let k be a fixed natural number and γ be a finite characteristic.
For a word $u \in X^*$, we say that

$$f = (u_0, a_1, u_1, a_2, \dots, a_\ell, u_\ell)$$

is a **factorization** of u of length ℓ if $u_0, u_1, \dots, u_\ell \in X^*$,
 $a_1, a_2, \dots, a_\ell \in X$ and $u_0 a_1 u_1 \dots a_\ell u_\ell = u$.

The set of all factorizations of lengths at most k of the word u is
denoted by $\text{Fact}_k(u)$.

For a factorization $f = (u_0, a_1, u_1, \dots, a_\ell, u_\ell)$ of a word $u \in X^*$ and a factorization $g = (v_0, b_1, v_1, \dots, b_m, v_m)$ of a word $v \in X^*$, we write $f \leq_\gamma g$ if

- $\ell = m$,
- $a_i = b_i$ for every $i \in \{1, \dots, \ell\}$,
- $u_i \gamma v_i$ for every $i \in \{0, 1, \dots, \ell\}$.

We define the relation $p_k(\gamma)$ on the set X^* as follows:
for $u, v \in X^*$, we have $(u, v) \in p_k(\gamma)$ iff

$$(\forall g \in \text{Fact}_k(v)) (\exists f \in \text{Fact}_k(u)) f \leq_\gamma g .$$

Theorem

Let \mathcal{V} be a locally finite positive variety of languages and γ be a finite characteristic of \mathcal{V} . Then $\mathbf{PPol}_k \mathcal{V}$ is a locally finite positive variety of languages with the finite characteristic $\mathbf{p}_k(\gamma)$ and $\mathbf{BPol}_k \mathcal{V}$ is a locally finite boolean variety of languages with the finite characteristic $\mathbf{p}_k(\gamma) \cap (\mathbf{p}_k(\gamma))^{-1}$.

\cap, \cup $\cap, \cup, \text{compl.}$

$$\mathcal{S} \quad \overline{B_0}a_1\overline{B_1}a_2\dots a_\ell\overline{B_\ell} \quad \text{PPol}_k(\mathcal{S}) \subseteq \text{BPol}_k(\mathcal{S})$$

$\cup |$ $\cup |$

$$\mathcal{S}^+ \quad B_0^*a_1B_1^*a_2\dots a_\ell B_\ell^* \quad \text{PPol}_k(\mathcal{S}^+) \subseteq \text{BPol}_k(\mathcal{S}^+)$$

$$\ell \leq k, a_1, \dots, a_\ell \in A, B_0, \dots, B_\ell \subseteq A$$

Varieties of languages generated by a finite number of languages - CAI

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A positive variety \mathcal{V} is generated by a finite number of languages if and only if the corresponding pseudovariety \mathbf{V} of ordered monoids is generated by a single ordered monoid.

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For each k , the positive variety $\text{PPol}_k \mathcal{L}^+$ is generated by a finite number of languages.

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The positive variety $\text{PPol}_1 \mathcal{L}$ is generated by a finite number of languages.

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The positive variety $\text{PPol}_2 \mathcal{L}$ is not generated by a finite number of languages.

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The hierarchies $\text{PPol}_k(\mathcal{S}^+)$, $\text{PPol}_k(\mathcal{S})$, $\text{BPol}_k(\mathcal{S}^+)$ and $\text{BPol}_k(\mathcal{S})$ are strict.

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For each k , the varieties $\text{PPol}_k(\mathcal{S}^+)$, $\text{PPol}_k(\mathcal{S})$, $\text{BPol}_k(\mathcal{S}^+)$ and $\text{BPol}_k(\mathcal{S})$ are pairwise different.

Theorem

The only non-trivial inclusions are

$$\text{BPol}_1(\mathcal{S}) \subseteq \text{PPol}_2(\mathcal{S}), \text{BPol}_2(\mathcal{S}^+), \text{PPol}_3(\mathcal{S}^+)$$

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Certain identities

Let x, y be two different letters from X and $u \in X^*$ be a word which contains both x and y , i.e. $x, y \in c(u)$. The “identity”

$$uxyx = uyx, \quad \text{where } x, y \in c(u) \quad (1)$$

is equivalent to a pair of identities: we distinguish two cases $u = u_1xu_2yu_3$ and $u = u_1yu_2xu_3$ for some $u_1, u_2, u_3 \in X^*$, so the identity (1) is equivalent to the identities

$$x_1 x x_2 y x_3 \cdot x y x = x_1 x x_2 y x_3 \cdot y x,$$

$$x_1 y x_2 x x_3 \cdot x y x = x_1 y x_2 x x_3 \cdot y x.$$

We have also the dual version of the identity (1)

$$xyxu = xyu \quad \text{where } x, y \in c(u).$$

Proposition 1

Consider also

$$uxyv = uyxv, \quad \text{where } x, y \in c(u) \cap c(v). \quad (2)$$

Note that this identity represents in fact four identities.

$$yuyx \leq yuxyx \quad \text{and} \quad xyuy \leq xyxuy \quad (3)$$

$$xuxvx \leq xuvx. \quad (4)$$

Proposition

- (i) The identities (1) and (2) form a finite basis of identities for the variety of monoids corresponding to $\text{BPol}_1(\mathcal{S}^+)$.*
- (ii) The identities (2), (3) and (4) form a finite basis of identities for the variety of ordered monoids corresponding to $\text{PPol}_1(\mathcal{S}^+)$.*

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Proposition

- (i) The variety of monoids corresponding to $\text{BPol}_1(\mathcal{S})$ has a finite basis of identities.*
- (ii) The variety of ordered monoids corresponding to $\text{PPol}_1(\mathcal{S})$ has a finite basis of identities.*