

# On non-negative integer quadratic forms

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# Outline

- 1 Integer quadratic forms
  - Definitions
  - Properties of non-negative quadratic forms
- 2 Lie algebra associated to an integer quadratic form
  - Definitions
  - Main Results

# Integer quadratic forms

An integral quadratic form  $q : \mathbb{Z}^n \rightarrow \mathbb{Z}$

$$q(x) = \sum_{i \in \overline{1, n}} q_i x_i^2 + \sum_{i < j} q_{ij} x_i x_j, \quad (q_i, q_{ij} \in \mathbb{Z}, q_{ij} = q_{ji})$$

- is **semi-integer** if  $q_{ij} \in q_i \mathbb{Z}$  for all  $i, j \in \overline{1, n}$
- is **integer** if  $\frac{q_{ij}}{q_i} \in \mathbb{Z}$  for all  $i \in \overline{1, n}$
- is **semi-unit** if  $q_i \in \{0, 1\}$  for all  $i \in \overline{1, n}$
- is **unit** if  $q_i = 1$  for all  $i \in \overline{1, n}$
- is **classic** if  $q_i > 0$  and  $q_{ij} \leq 0$  for all  $i, j \in \overline{1, n}$

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# Matrix $A$

Denote by  $(\ , \ ) = (\ , \ )_q : \mathbb{Z}^n \times \mathbb{Z}^n \rightarrow \frac{1}{2}\mathbb{Z}$

the associated symmetrical bilinear form

$$(x, y)_q = \frac{1}{2}(q(x + y) - q(x) - q(y)), \quad x, y \in \mathbb{Z}^n.$$

Let  $R = \{\alpha_1, \dots, \alpha_n\}$  be canonical base of  $\mathbb{Z}^n$ .

$$A_q = (A_{ij})_{i, j \in \overline{1, n}}$$

$$A_{ij} = \frac{2(\alpha_i, \alpha_j)}{(\alpha_i, \alpha_i)} \text{ if } q_i \neq 0 \text{ and } A_{ij} = 0 \text{ otherwise.}$$



# Reductions

Canonical base  $R$  is called the simple root base of  $q$ .

Given a root base  $R$  of  $\mathbb{Z}^n$ , the form  $q : \mathbb{Z}^n \rightarrow \mathbb{Z}$ , and  $i, j \in \overline{1, n}$ , we construct the new root base  $R' = \{\alpha'_k, k \in \overline{1, n}\}$  of  $\mathbb{Z}^n$ :

$$\begin{aligned}\alpha'_k &= \alpha_k, k \neq r, \\ \alpha'_r &= \alpha_r + \lambda \alpha_s.\end{aligned}$$

Then the form  $q'$  is uniquely defined.

If  $\lambda = -A_{sr}$  correspondent form transformation  $R_{sr}^+$  is a **Gabrielov transformation** or **reduction**.

If  $\lambda = -1$  and  $A_{sr} > 0$  it is **inflation**

If  $\lambda = 1$  and  $A_{rs} < 0$  it is **deflation**

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# G-equivalence

**Sign-inversion** is a linear transformation  $S_i$ :

$$S_i(\alpha_j) = \alpha_j, j \neq i,$$
$$S_i(\alpha_i) = -\alpha_i.$$

$q$  and  $q'$  are  **$\mathbb{Z}$ -equivalent** if one comes from another after a  $\mathbb{Z}$ -invertible linear transformation. For two  $\mathbb{Z}$ -equivalent forms  $q$  and  $q'$ ,  $q$  is non-negative (positive) iff  $q'$  is non-negative (positive).

$q$  and  $q'$  are **G-equivalent** if one comes from another after a sequence of Gabrielov transformations, sign-inversions or a permutation of the variables.

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# Associated bigraph

To an integer quadratic form  $q$  we associate

bigraph  $B_q$  with vertices  $1, \dots, n$ ,

two vertices  $i \neq j$  are jointed by  $\max\{|A_{ij}|, |A_{ji}|\}$

full edges if  $A_{ij} < 0$

dotted edges if  $A_{ij} > 0$ .

Edge starts at point with greater  $|q_i|$ .



# Analogue of Ovsienko's Theorem

## Theorem

*Let  $q$  be connected positive quadratic form. Then there exists a finite sequence  $R$  of reductions such that  $R(q)$  is a connected classical positive form of Dynkin type  $(A_n, D_n, B_n, C_n, G_2, F_4, E_6, E_7, E_8)$ .*

## Theorem

*If  $q$  is non-negative (positive) semi-integer form, then there is a sequence of inflation and deflations with composition  $T$  such that the bigraph of  $T(q)$  is disjoint union of unit Dynkin diagrams  $(A_n, D_n, E_6, E_7, E_8)$  multiplied by some non-negative (positive) integer.*

# Quasi-Cartan matrix of quadratic form

A square matrix with integer coefficients  $C$  is called a **quasi-Cartan matrix** if it is symmetrizable (there exists a diagonal matrix  $D$  with positive diagonal entries such that  $DC$  is symmetric) and  $C_{ii} = 2$  for all  $i$ .

A quasi-Cartan matrix is called **Cartan matrix** if it is positive definite and  $C_{ij} \leq 0$  for all  $i \neq j$ .

For positive integer form  $A_{ii} = 2$  and  $A_{ij} = \frac{q_{ij}}{q_i}$  for  $i \neq j$ , and  $A_q$  is symmetrizable by matrix  $D = \text{diag}(q_1, \dots, q_n) \Rightarrow A_q$  is quasi-Cartan matrix

$A_q$  is Cartan matrix iff form  $q$  is positive definite and classic.

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# Lie algebra

Given a positive integer form  $q$  with quasi-Cartan matrix  $A$  let  $\mathfrak{g}(q)$  be the Lie algebra defined by the generators  $\{e_i, e_{-i}, h_i\}_{i \in \overline{1, n}}$  and the relations:

$$(w.1) \quad [h_i, h_j] = 0;$$

$$(w.2) \quad [e_i, e_{-i}] = h_i, [e_i, e_{-j}] = 0 \text{ for } i \neq j;$$

$$(w.3) \quad [h_i, e_j] = A_{ij}e_j, [h_i, e_{-j}] = -A_{ij}e_{-j};$$

$$(\theta_{ij}^+) \quad \text{ad}(e_i)^{|A_{ij}|+1}(e_j) = 0, i \neq j$$

$$(\theta_{ij}^-) \quad \text{ad}(e_{-i})^{|A_{ij}|+1}(e_{-j}) = 0, i \neq j$$

# Lie algebra of a classic positive integer form.

## Theorem (Serre, [1])

*If  $q$  is positive definite and classic integer form then  $\mathfrak{g}(q)$  is a semisimple (and finite dimensional) Lie algebra.*



# Lie algebra associated to a non-negative unit form

## Theorem (Barot, [2])

*Two connected, non-negative unit forms  $q$  and  $q'$  are  $\mathbb{Z}$ -equivalent if and only if they are  $G$ -equivalent.*

## Theorem (Barot, [2])

*If  $q$  and  $q'$  are  $G$ -equivalent then  $\mathfrak{g}(q)$  and  $\mathfrak{g}(q')$  are isomorphic as graded Lie algebras.*

# Equivalence

## Theorem

*Two connected, positive integer forms  $q$  and  $q'$  are  $\mathbb{Z}$ -equivalent and define identical sets of roots if and only if they are  $G$ -equivalent.*

$x_1^2 - 3x_1x_2 + 3x_2^2$  and  $x_1^2 - x_2^2$   
are  $\mathbb{Z}$ -equivalent, but not  $G$ -equivalent.

# Lie algebra associated to a positive integer quadratic form

## Theorem

*If  $q$  and  $q'$  are  $G$ -equivalent, then  $\mathfrak{g}(q)$  and  $\mathfrak{g}(q')$  are isomorphic as graded Lie algebras.*




## Theorem

*Let  $q$  be a connected positive integer form and  $\Delta$  its Dynkin type, then algebras  $\mathfrak{g}(q) \simeq \mathfrak{g}(q_\Delta)$  are exactly finite-dimensional semisimple Lie algebras.*

# Summary

- Every positive integer form has corresponding uniquely defined Dynkin type.
- Every positive integer form defines Lie algebra in terms of the positive quasi-Cartan matrix.
- Associated Lie algebra is isomorphic to finite-dimensional semisimple Lie algebra of Dynkin type.
- Outlook
  - Properties of Lie algebra associated to non-negative integer quadratic form (non-negative quasi-Cartan matrix).
  - Properties of Lie algebra associated to any integer quadratic form (any quasi-Cartan matrix).

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