

hp-Version Trefftz Discontinuous Galerkin Method for the Homogeneous Helmholtz Equation

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Joint work with

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Workshop on CENTRAL Trends in PDEs

- 1 Helmholtz Equation
- 2 Trefftz DG Spaces
 - Comparison to Polynomial DG
- 3 Derivation of Trefftz DG
- 4 Selection of Flux Parameter
 - A priori Error Estimates
 - Comparison of Flux Parameters
- 5 Adaptive Refinement
 - A posteriori Error Estimates

Let $\Omega \subset \mathbb{R}^d$, $d = 2, 3$ be a bounded polygonal/polyhedral domain.

$$-\Delta u - k^2 u = 0 \quad \text{in } \Omega,$$

$$u = 0 \quad \text{on } \Gamma_D, \quad (\text{sound-soft scattering})$$

$$\nabla u \cdot \mathbf{n} = 0 \quad \text{on } \Gamma_N, \quad (\text{sound-hard scattering})$$

$$\nabla u \cdot \mathbf{n} + ik\vartheta u = g_R \quad \text{on } \Gamma_R.$$

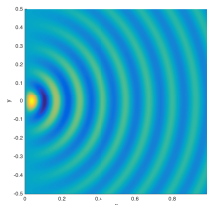
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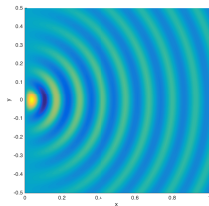
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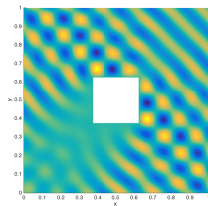
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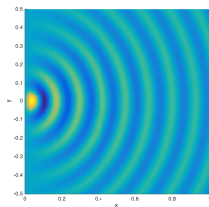
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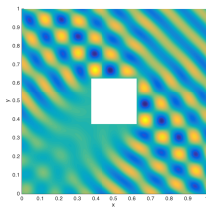
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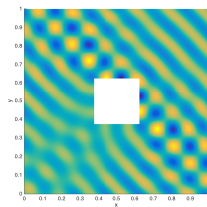
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Sound-soft Scattering



Sound-hard Scattering

Problems with FEM:

- Number of *degrees of freedom* required to obtain given accuracy increases with wave number k .
- h -version FEM affected by pollution effect [Babuška & Sauter, 2000]:

$$\|u - u_h\| \leq C(k) \inf_{v_h \in V(\mathcal{T}_h)} \|u - v_h\|$$

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We incorporate information about the frequency into the finite element space to attempt to reduce computation cost.

Polynomial DG Finite Element Spaces: DGFEM uses polynomial basis functions defined on a reference element \hat{K} :

$$V_q^{DG}(\mathcal{T}_h) := \{v \in L^2(\Omega) : v|_K \circ F_K \in \mathcal{S}_{q_K}(\hat{K}), K \in \mathcal{T}_h\}.$$

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Trefftz Finite Element Space: Use basis functions defined element-wise based on general solutions to the PDE.

First define the local Trefftz spaces

$$T(K) := \{v|_K : -\Delta u - k^2 u = 0\}$$

and let

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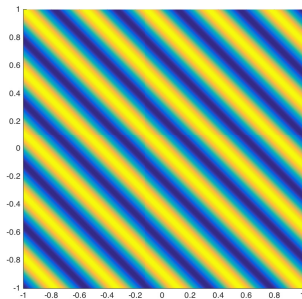
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We let $V_p(K) \subset T(K)$ be a finite dimensional local space; then, the **Trefftz FE Space** is given by

$$V_p(\mathcal{T}_h) := \{v \in T(\mathcal{T}_h) : v|_K \in V_p(K), K \in \mathcal{T}_h\}.$$

For Helmholtz we can use the following basis functions:

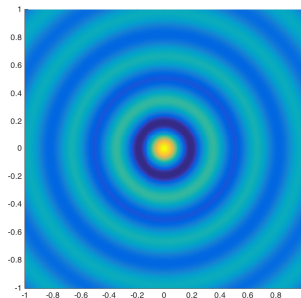
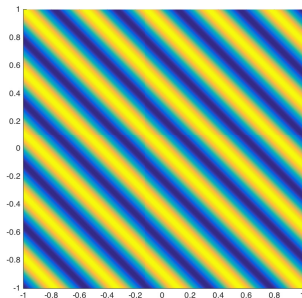
Plane Waves: $\mathbf{x} \mapsto e^{i\mathbf{k}\mathbf{d}\cdot\mathbf{x}}$, where \mathbf{d} is a direction vector.



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Circular/Spherical Waves $\mathbf{x} \mapsto \mathcal{J}_\ell(k|\mathbf{x}|)e^{i\ell\theta}$ (in 2D), where θ is the angle of \mathbf{x} in polar coordinates, $\ell \in \mathbb{Z}$, and \mathcal{J}_ℓ is the Bessel function of the first kind of order ℓ .



$$V_p(K) = \left\{ v : v(\mathbf{x}) = \sum_{\ell=1}^{p_K} \alpha_\ell e^{ik\mathbf{d}_\ell \cdot (\mathbf{x} - \mathbf{x}_K)}, \alpha_\ell \in \mathbb{C} \right\}$$

where p_K is the number of *degrees of freedom* for the element K , \mathbf{d}_l , $l = 1, \dots, N_K$ are p_K (roughly) **evenly spaced** unit direction vectors, and \mathbf{x}_K is the centre of the element.

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Basis Functions	2D	3D
DG (\mathcal{P}_q)	$(q+1)(q+2)/2$	$(q+1)(q+2)(q+3)/6$
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Trefftz DG	$2q+1$	$(q+1)^2$

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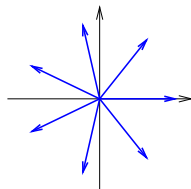
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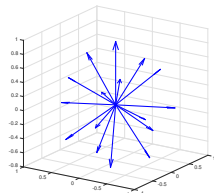
Direction Vectors

($q = 3$):

2D



3D

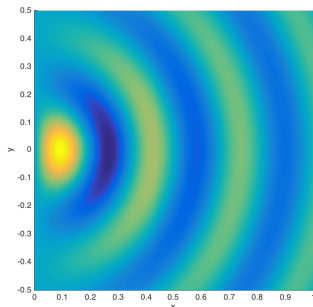


[Sloan & Womersley, 2004]

Consider the smooth (analytic) solution (for **Acoustic Wave Propagation**)

$$u(r, \theta) = \mathcal{J}_1(kr) \cos(\theta)$$

for $k = 20$ on the domain $\Omega = (0, 1) \times (-1/2, 1/2)$.



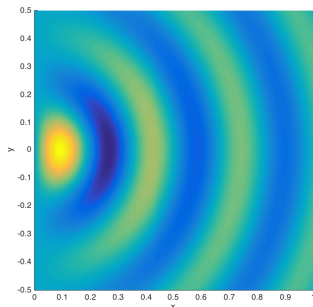
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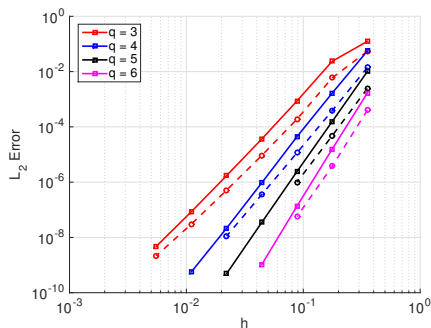
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We solve using both a DGFEM (solid line) and Trefftz DGFEM (dashed).



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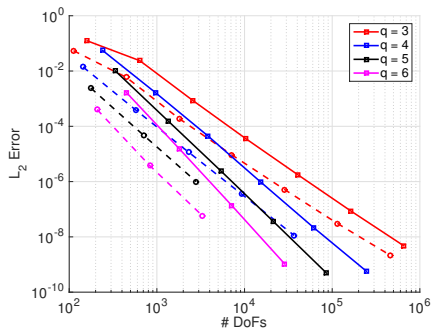
$\|u - u_{hp}\|_{L^2(\Omega)}$ vs. h
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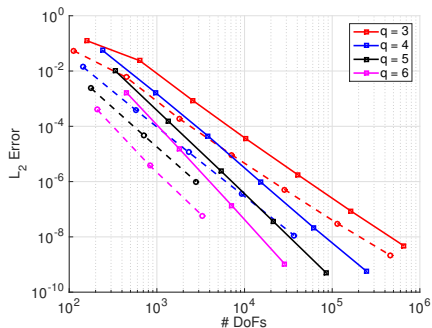
$\|u - u_{hp}\|_{L^2(\Omega)}$ vs. Degrees of Freedom
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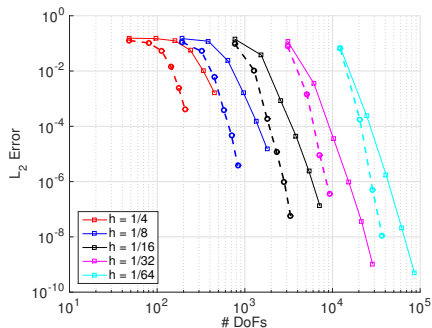
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$\|u - u_{hp}\|_{L^2(\Omega)}$ vs. Degrees of Freedom
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- Replace continuous functions by **discrete** approximations ($u_{hp}, v_{hp} \in V_p(\mathcal{T}_h)$) and traces by **numerical fluxes**

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$$\int_{\partial K} \hat{u}_{hp} \nabla \bar{v}_{hp} \cdot \mathbf{n}_K \, ds - \int_{\partial K} ik \hat{\boldsymbol{\sigma}}_{hp} \cdot \mathbf{n}_K \bar{v}_{hp} \, ds = 0, \quad \text{for all } K \in \mathcal{T}_h.$$

$$\{\{v\}\} = \frac{v^+ + v^-}{2}, \quad \llbracket v \rrbracket = v^+ \mathbf{n}^+ + v^- \mathbf{n}^-, \quad \forall \text{ scalar-valued functions } v.$$

$$\{\{\boldsymbol{\tau}\}\} = \frac{\boldsymbol{\tau}^+ + \boldsymbol{\tau}^-}{2}, \quad \llbracket \boldsymbol{\tau} \rrbracket = \boldsymbol{\tau}^+ \cdot \mathbf{n}^+ + \boldsymbol{\tau}^- \cdot \mathbf{n}^-, \quad \forall \text{ vector-valued functions } \boldsymbol{\tau}.$$

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Numerical Fluxes

$$ik\hat{\boldsymbol{\sigma}}_{hp} = \begin{cases} \{\{\nabla_h u_{hp}\}\} - \alpha ik \llbracket u_{hp} \rrbracket & \text{on interior faces,} \\ \nabla_h u_{hp} - (1 - \delta) (\nabla_h u_{hp} + ik\vartheta u_{hp} \mathbf{n} - g_R \mathbf{n}) & \text{on faces on } \Gamma_R, \\ 0 & \text{on faces on } \Gamma_N, \\ \nabla_h u_{hp} - \alpha ik u_{hp} \mathbf{n} & \text{on faces on } \Gamma_D, \end{cases}$$

$$\hat{u}_{hp} = \begin{cases} \{\{u_{hp}\}\} - \beta (ik)^{-1} \llbracket \nabla_h u_{hp} \rrbracket & \text{on interior faces,} \\ u_{hp} - \delta ((ik\vartheta)^{-1} \nabla_h u_{hp} \cdot \mathbf{n} + u_{hp} - (ik\vartheta)^{-1} g_R) & \text{on faces on } \Gamma_R, \\ u_{hp} - \beta (ik)^{-1} \nabla_h u_{hp} \cdot \mathbf{n} & \text{on faces on } \Gamma_N, \\ 0 & \text{on faces on } \Gamma_D, \end{cases}$$

with flux parameters $\alpha, \beta, 0 < \delta \leq 1/2$.

Trefftz Discontinuous Galerkin FEM for Helmholtz

Find $u_{hp} \in V_p(\mathcal{T}_h)$ such that,

$$\mathcal{A}_h(u_{hp}, v_{hp}) = \ell_h(v_{hp}),$$

for all $v_{hp} \in V_p(\mathcal{T}_h)$, where

$$\begin{aligned} \mathcal{A}_h(u, v) &= \int_{\mathcal{F}'_h \cup \mathcal{F}_h^N} \{u\} [[\nabla_h \bar{v}]] ds - \int_{\mathcal{F}'_h \cup \mathcal{F}_h^N} \beta(ik)^{-1} [[\nabla_h u]] [[\nabla_h \bar{v}]] ds \\ &\quad - \int_{\mathcal{F}'_h \cup \mathcal{F}_h^D} \{\{\nabla_h u\}\} \cdot [[\bar{v}]] ds + \int_{\mathcal{F}'_h \cup \mathcal{F}_h^D} \alpha ik [u] \cdot [[\bar{v}]] ds \\ &\quad + \int_{\mathcal{F}_h^R} (1 - \delta) u \nabla_h \bar{v} \cdot \mathbf{n} ds - \int_{\mathcal{F}_h^R} \delta (ik\vartheta)^{-1} (\nabla_h u \cdot \mathbf{n}) (\nabla_h \bar{v} \cdot \mathbf{n}) ds \\ &\quad - \int_{\mathcal{F}_h^R} \delta \nabla_h u \cdot \mathbf{n} \bar{v} ds + \int_{\mathcal{F}_h^R} (1 - \delta) ik\vartheta u \bar{v} ds, \\ \ell_h(v) &= - \int_{\mathcal{F}_h^R} \delta (ik\vartheta)^{-1} g_R \nabla_h \bar{v} \cdot \mathbf{n} ds + \int_{\mathcal{F}_h^R} (1 - \delta) g_R \bar{v} ds. \end{aligned}$$

Penalty Type	α	β	δ
DG-type Gittelsohn, Hiptmair & Perugia, 2009	$a q_K^2 / kh_K$	$b kh_K / q_K$	$d kh_K / q_K$
Constant Hiptmair, Moiola & Perugia, 2011	a	b	d
UWVF Cessenat & Després, 1998	1/2	1/2	1/2
Non-Uniform Mesh Hiptmair, Moiola & Perugia, 2014	$a h_{\max} / h_K$	$b h_{\max} / h_K$	$d h_{\max} / h_K$

For the rest of this talk we ignore Neumann boundary conditions.

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Non-Uniform Mesh Hiptmair, Moiola & Perugia, 2014	ah_{\max}/h_K	bh_{\max}/h_K	dh_{\max}/h_K

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Energy Norm

$$\begin{aligned}
 \|v\|_{\text{TDG}}^2 = & k \left\| \alpha^{1/2} \llbracket v \rrbracket \right\|_{L^2(\mathcal{F}_h^I \cup \mathcal{F}_h^D)}^2 + \frac{1}{k} \left\| \beta^{1/2} \llbracket \nabla v \rrbracket \right\|_{L^2(\mathcal{F}_h^I)}^2 \\
 & + \frac{1}{k\vartheta} \left\| \delta^{1/2} \nabla v \cdot \mathbf{n}_K \right\|_{L^2(\mathcal{F}_h^R)}^2 + k\vartheta \left\| (1 - \delta)^{1/2} v \right\|_{L^2(\mathcal{F}_h^R)}^2
 \end{aligned}$$

Define the weighted Sobolev norm

$$\|v\|_{H^s(\Omega),k} = \sum_{j=0}^s k^{2(s-j)} |v|_{H^j(\Omega)}^2.$$

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Theorem (*a priori* — Non-Uniform Mesh & Non-Uniform Parameters)

Let u be the analytical solution with $u|_K \in H^{s_K+1}(K)$, u_{hp} the TDG solution. For sufficiently large q_K (and assuming $q_K > 2s_K + 1$)

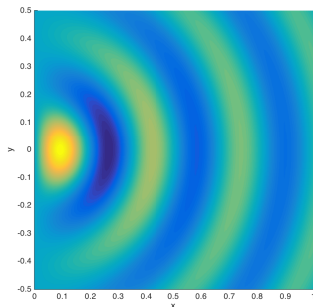
$$\begin{aligned} \|u - u_p\|_{L^2(\Omega)} &\leq C d_{\Omega}^2 [(d_{\Omega} k)^{-1} + (d_{\Omega}^{-1} h)^{s_K+1/2}] \\ &\quad \times \sum_{K \in \mathcal{T}_h} C_K h_K^{s_K-1} \left(\frac{1}{\hat{q}_K} \right)^{s_K-1/2} \|u\|_{H^{s+1}(\Omega),k}, \end{aligned}$$

where C_K depends on kh_K (as an increasing function) and s_K . Here, $\hat{q}_K = q_K / \log(q_K + 2)$. *[Hiptmair, Moiola & Perugia, 2014]*

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on the domain $\Omega = (0, 1) \times (-1/2, 1/2)$.



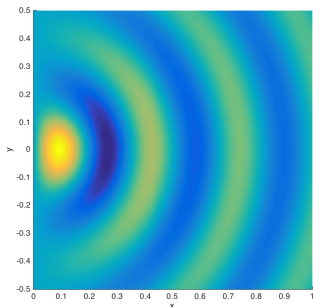
Re(Anal. Soln.) (k=20)

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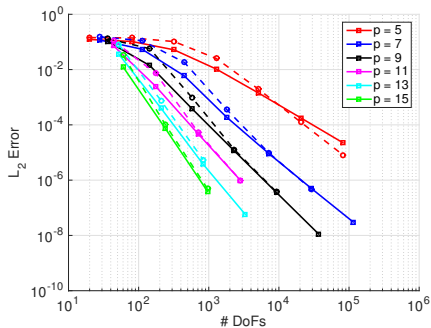
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We solve using constant (solid line) and DG-type parameters (dashed).



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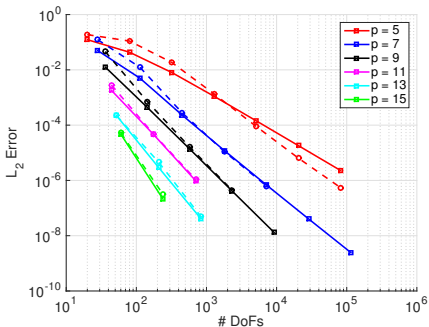
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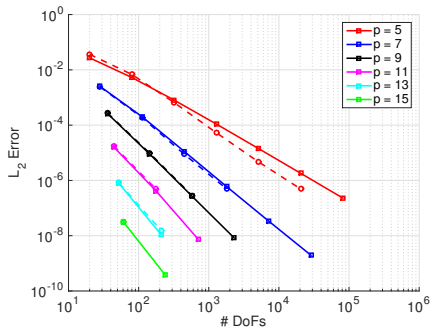
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$k = 10$

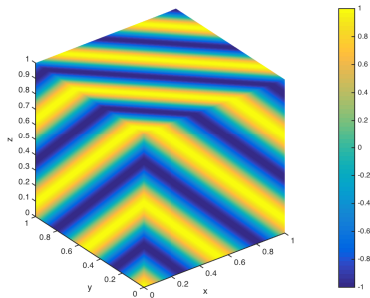


$k = 5$

Consider the smooth (analytic) solution (for Acoustic Wave Propagation)

$$u(\mathbf{x}) = e^{i\mathbf{k}\cdot\mathbf{x}}$$

on the domain $\Omega = (0, 1)^3$, with $k = 20$ and $\mathbf{d} = (1/\sqrt{3}, 1/\sqrt{3}, 1/\sqrt{3})$.



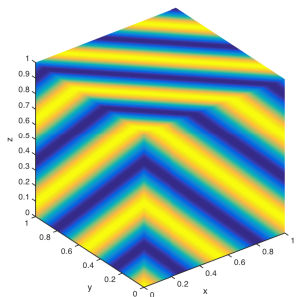
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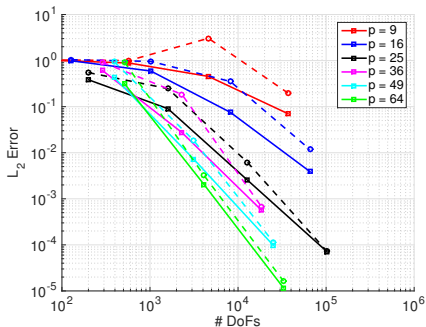
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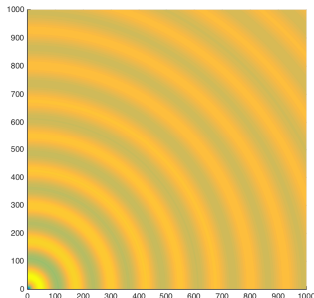


$\|u - u_{hp}\|_{L^2(\Omega)}$ vs. Degrees of Freedom

To test the non-uniform parameters, we consider the solution

$$u(x, y) = \mathcal{H}_0^{(1)}(k\sqrt{x^2 + y^2}),$$

with $k = 50$, on the domain $\Omega = (0, 1)^2$, where $\mathcal{H}_0^{(1)}$ represents the Hankel function of the first kind of order 0.

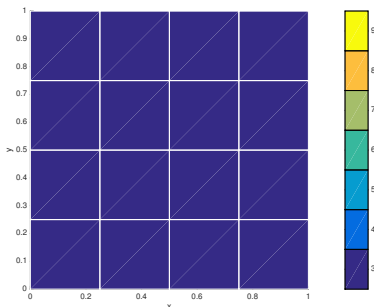


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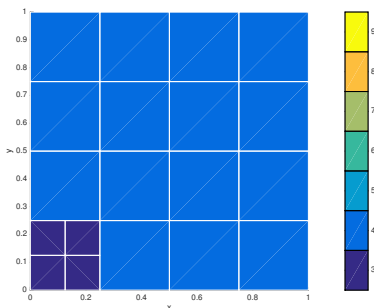


Mesh 1

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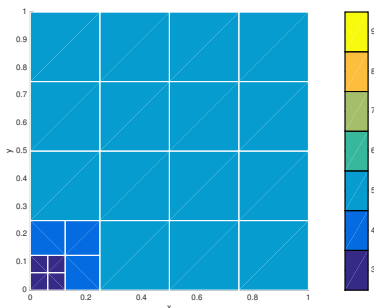


Mesh 2

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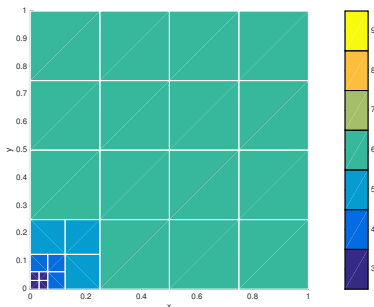


Mesh 3

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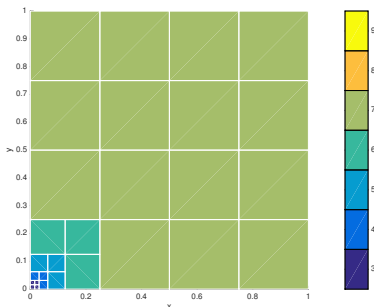


Mesh 4

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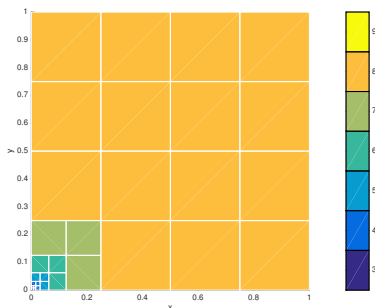


Mesh 5

To test the non-uniform parameters, we consider the solution

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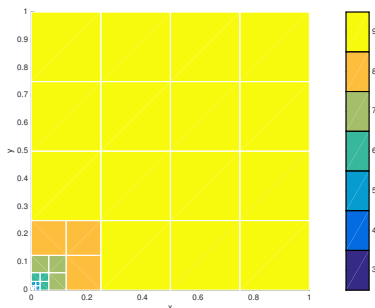


Mesh 6

To test the non-uniform parameters, we consider the solution

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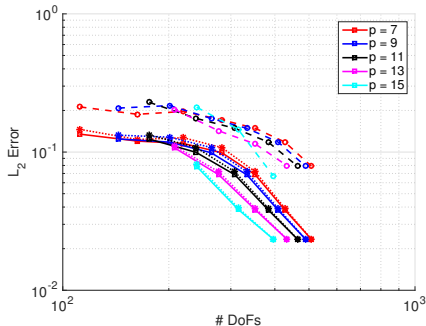


Mesh 7

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Constant (solid line), DG-type (dashed)
& non-uniform (dotted) parameters

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We require that

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The smallest value of this ration occurs when $h_K = h_{\min}$; hence,

$$\bar{d} \leq \frac{h_{\min}}{2h_{\max}}$$

and \bar{d} is, therefore, dependent on the ratio between the largest and smallest element in the mesh.

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A *posteriori* Error Bound — h -version Only

For the TDGFEM, with the non-uniform flux parameters, the following error bound holds:

$$\|u - u_{hp}\|_{L^2(\Omega)} \leq C \left\{ \left\| \alpha^{1/2} h_F^s \llbracket u_h \rrbracket \right\|_{L^2(\mathcal{F}_h^I \cup \mathcal{F}_h^D)} + \frac{1}{k} \left\| \beta^{1/2} h_F^s \llbracket \nabla u_h \rrbracket \right\|_{L^2(\mathcal{F}_h^I)} \right. \\ \left. + \frac{1}{k} \left\| \delta^{1/2} h_F^s (g_R - \nabla u_h \cdot \mathbf{n}_K + ik\vartheta u_h) \right\|_{L^2(\mathcal{F}_h^R)} \right\}$$

where s depends on the regularity of the solution to the adjoint problem ($z \in H^{3/2+s}(\Omega)$).

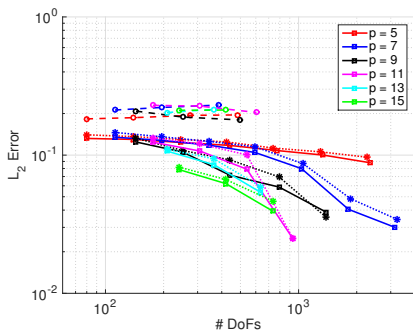
[Kapita, Monk, Warburton (2014 - Tech. Report)]

Consider again the solution

$$u(x, y) = H_0^{(1)}(k(x^2 + y^2)),$$

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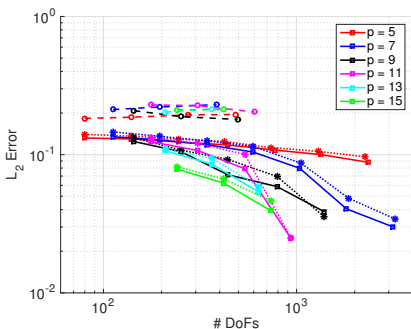
L_2 Error

Consider again the solution

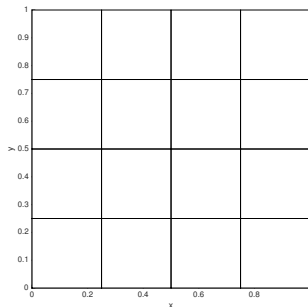
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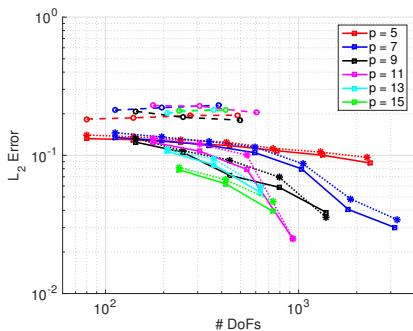
Mesh 1 ($p = 7$)

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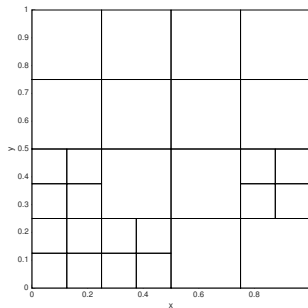
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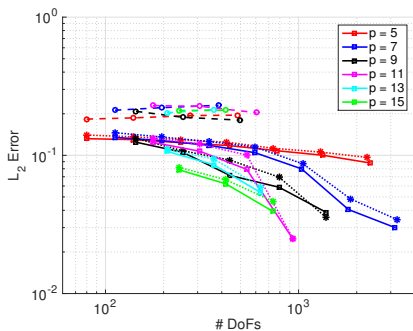
Mesh 2 ($p = 7$)

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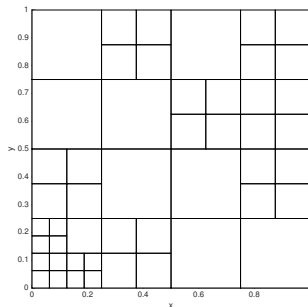
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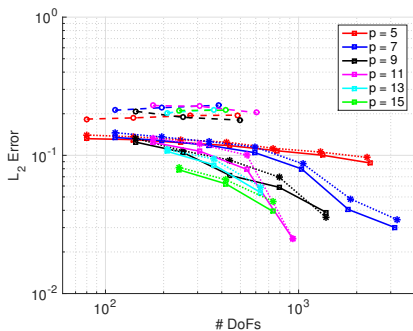
Mesh 3 ($p = 7$)

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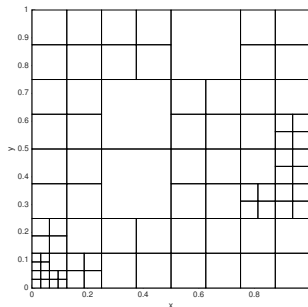
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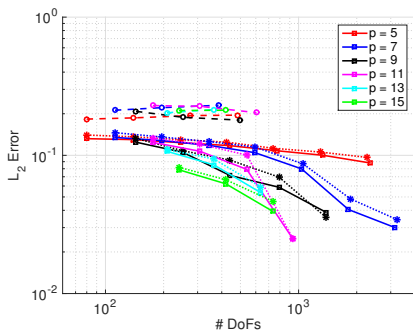
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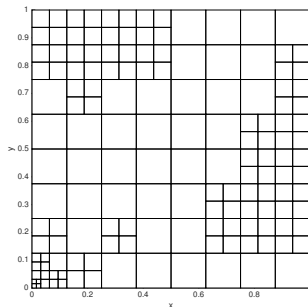
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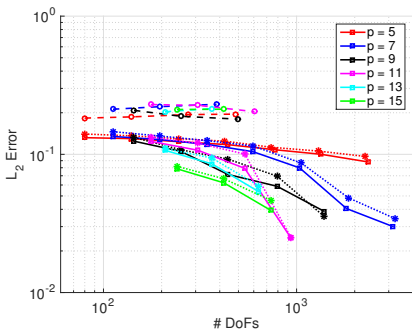
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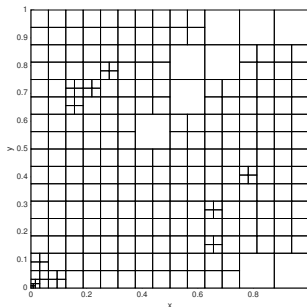
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L_2 Error



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Future Aims:

- Extend the existing *a posteriori* error analysis to *hp*-version meshes (ideally for constant flux parameters).
- Develop an algorithm for deciding on whether to perform *h* or *p* refinement.
- Analysis for Neumann boundary conditions (missing required fundamental result - stability of solution on the continuous level).